

Lecture 1. Introduction

Definitions and one-time pad

Bruno Grenet



<https://membres-ljk.imag.fr/Bruno.Grenet/IntroCrypto.html>

Introduction to cryptology
Université Grenoble Alpes – IM²AG
M1 INFO, MOSIG & AM

What is cryptography?

Protecting secret data from adversaries

- ▶ Communications (email, web, credit card payment, ...)
- ▶ Storage (encrypted hard drive, ...)
- ▶ Computations (electronic voting, ...)
- ▶ ...

Used with various hardware

- ▶ High-end CPUs, mobile phones, microcontrollers, dedicated hardware
- ▶ Varying speed (throughput & latency), code/circuit size, energy consumption, ...

“Doing crypto”

- ▶ Designing new primitives, constructions, protocols, ...
 - ▶ Analysing existing primitives, ...
 - ▶ Deploying crypto in products
- incl. implementation

What is this course about?

- ▶ Basic concepts of cryptography
- ▶ Cryptographic constructions
- ▶ Some standard attacks
- ▶ Real-life usage

adversary model, security definition, ...
block cipher, key exchange, ...
birthday attack, ...
What's inside TLS?

But not (really) about

- ▶ Implementation
- ▶ Usage of existing standard cryptographic softwares, libraries, ...

Historical ciphers

- ▶ Shift ciphers
- ▶ Substitution ciphers
- ▶ Transposition ciphers
- ▶ Polyalphabetic cipher
- ▶ ...

Caesar (50 BC); rot13

Atbash (600-500 BC)

Scytale (400 BC)

Vigenère (1553); Enigma (1920s)

None of them is safe!

- ▶ Attacks: brute force, frequency analysis (1863), bombe (1938-40), ...
- ▶ Some lessons drawn:
 - ▶ Large enough *key space* is needed
 - ▶ Designing an encryption system is *difficult*
 - ▶ Assessing its security is (even more?) difficult

Modern cryptography

What does it mean to be *secure*?

Defining security

Achieve some *goals*

- ▶ Confidentiality
- ▶ Proof of identity
- ▶ Authenticity
- ▶ Integrity
- ▶ ...

*no adversary can read the data
that's me!*

*no adversary can impersonate the sender
no adversary can modify the data*

In the presence of *adversaries*

- ▶ Passive adversary
- ▶ Active adversary

*eavesdropper
can modify messages exchanged*

With or without *shared secret*

- ▶ Large
- ▶ Small
- ▶ None

*one-time pad
symmetric cryptography
public-key cryptography*

Example of a protocol: TLS

Goals

- ▶ Confidentiality
- ▶ Authenticity
- ▶ Integrity

no adversary can read the data
no adversary can impersonate the sender
no adversary can modify the data

Some ingredients

- ▶ Key exchange
 - ▶ *public-key (a.k.a. asymmetric) cryptography*
- ▶ Authenticated encryption
 - ▶ *symmetric cryptography*
- ▶ Signatures
 - ▶ *public-key cryptography, using symmetric primitive*

e.g. Diffie-Hellman

e.g. using AES

e.g. ECDSA

The big picture of this course

	small shared secret symmetric cryptography	no shared secret public-key cryptography
passive adversaries confidentiality	<i>symmetric encryption</i>	<i>public-key encryption</i>
active adversaries authenticity	<i>message authentication codes</i>	<i>digital signatures</i>
active adversaries confidentiality+authenticity	<i>authenticated encryption</i>	<i>signcryption</i>

Other constructions

- Confidentiality using a large secret *one-time pad & block ciphers*
- Sharing a secret in the presence of passive adversaries *key exchange*
- Combine symmetric and public-key cryptography *hybrid encryption*
- A very central tool *(cryptographic) hash functions*

Course contents

Lectures

- | | |
|---|---|
| 1. Introduction | <i>One-time pad</i> |
| 2. Block ciphers | <i>AES, DES</i> |
| 3. Symmetric encryption | <i>CBC & CTR modes of operation</i> |
| 4. Hash functions | <i>SHA-2, SHA-3</i> |
| 5. Messages authentication codes & authenticated encryption | <i>CBC-MAC, HMAC, GCM</i> |
| 6. Key exchange | <i>Diffie-Hellman</i> |
| 7. Public-key encryption & key encapsulation | <i>ElGamal</i> |
| 8. Signatures | <i>Schnorr, DSA</i> |
| 9. RSA | |
| 10. Putting it all together | <i>TLS</i> |

Common topics

- ▶ Definitions and security notions
- ▶ Proofs of security
- ▶ Examples & attacks

Contents

1. A first example: the one-time pad

2. Computational security

Contents

1. A first example: the one-time pad

2. Computational security

The one-time pad

Input: Message $m \in \{0, 1\}^\ell$ (or *plaintext*)
Secret: Key $k \in \{0, 1\}^\ell$
Output: Ciphertext $c \in \{0, 1\}^\ell$

message space: $\mathcal{M} = \{0, 1\}^\ell$
key space: $\mathcal{K} = \{0, 1\}^\ell$
ciphertext space: $\mathcal{C} = \{0, 1\}^\ell$

Encryption: $\text{Enc}_k(m) = m \oplus k$

Decryption: $\text{Dec}_k(c) = c \oplus k$

Correctness: $\text{Dec}_k(\text{Enc}_k(m)) = (m \oplus k) \oplus k = m \oplus (\cancel{k \oplus k}) = m \oplus 0 = m$

Pros

- ▶ Used during the cold war
- ▶ Used for small plaintexts/secrets
- ▶ *Perfectly secret/indistinguishable*

Cons & caveats

- ▶ Key as long as the message
- ▶ Can be used only once
- ▶ The key must be uniformly sampled

Perfect indistinguishability

a.k.a information-theoretic security, a.k.a. unconditional security

The ciphertext provides no (extra) information about the message to an adversary

Indistinguishability **game** for an encryption Enc

Adversary chooses two messages $m_0, m_1 \in \mathcal{M}$

Challenger samples $k \leftarrow \mathcal{K}$ and $b \leftarrow \{0, 1\}$ and computes $c \leftarrow \text{Enc}_k(m_b)$

Adversary receives c and outputs $\hat{b}$ (*tries to guess b*)

Definition

Enc is *perfectly indistinguishable* if whatever the adversary does, $\Pr[\hat{b} = b] = \frac{1}{2}$

(probability taken over the random choices for k and b and whatever randomness is used by the Adversary)

Theorem

One-time pad encryption is perfectly indistinguishable

Proof of the theorem

$$\mathcal{T} = \mathcal{C} = \mathcal{K} = \{0, 1\}^{\ell}$$

Theorem restated

No matter what $\mathcal{A}$ does, $\Pr[\hat{b} = b] = \frac{1}{2}$

Proof

• Lemma: Fix $m \in \mathcal{T}$ and $c \in \mathcal{C}$. Take $k \leftarrow \mathcal{K}$. Then $\Pr_k[m \oplus k = c] = \frac{1}{2^{\ell}}$

$$\text{Pf. } \Pr_k[m \oplus k = c] = \Pr_k[k = m \oplus c] = \frac{1}{2^{\ell}} \quad \square$$

$$\cdot \Pr[\hat{b} = b] = \Pr[\hat{b} = 0 | b = 0] \underbrace{\Pr[b = 0]}_{1/2} + \Pr[\hat{b} = 1 | b = 1] \underbrace{\Pr[b = 1]}_{1/2}$$

• Assume that A_b is deterministic $\rightsquigarrow \mathcal{C} = \mathcal{C}_0 \sqcup \mathcal{C}_1$

$$\Pr[\hat{b} = 0 | b = 0] = \Pr_k[c \in \mathcal{C}_0 | b = 0] = \frac{\#\mathcal{C}_0}{2^{\ell}} \quad \text{and} \quad \Pr[\hat{b} = 1 | b = 1] = \frac{\#\mathcal{C}_1}{2^{\ell}}$$

$$\Rightarrow \Pr[\hat{b} = b] = \frac{1}{2} (\#\mathcal{C}_0 + \#\mathcal{C}_1) / 2^{\ell} = \frac{1}{2} 2^{\ell} / 2^{\ell} = \frac{1}{2}.$$

Proof of the theorem

Theorem restated

No matter what $\mathcal{A}$ does, $\Pr[\hat{b} = b] = \frac{1}{2}$

Proof

- Assume now that A is randomized $\leadsto$ it uses random bits $r \in \{0,1\}^*$
- For each choice of random bits, we have a deterministic alg. A_r .

$$\Pr_{b,r}[\hat{b} = b] = \sum_{r \in \{0,1\}^*} \underbrace{\Pr[\hat{b} = b \mid A \text{ uses } r \text{ as random bits}]}_{1/2} \Pr[A \text{ uses } r \dots]$$

$$= \frac{1}{2} \sum_{r \in \{0,1\}^*} \Pr[A \text{ uses } r \text{ as random bits}] = \frac{1}{2}$$

Perfect indistinguishability implies no information leak

Examples

Given $c \leftarrow \text{Enc}_k(m)$, no adversary can learn

- ▶ the least significant bit $m_{[0]}$ of m
- ▶ the parity $\bigoplus_{i=0}^{\ell-1} m_{[i]}$ of m
- ▶ whether m contains more ones than zeroes
- ▶ ...

Equivalent definition: the distribution of m does not depend on c

- ▶ For any fixed $m^* \in \mathcal{M}$ and $c^* \in \mathcal{C}$ s.t. $\Pr[c = c^*] > 0$,

$$\Pr_{m,k}[m = m^* | c = c^*] = \Pr_{m,c,k}[m = m^*]$$

Limitations of perfect secrecy: Shannon's theorem

Theorem

A perfectly indistinguishable encryption scheme must satisfy:

- (i) $\#K \geq \#M$
- (ii) if $\#K = \#M$, k must be uniformly sampled from K

Proof of (i)

- We assume $\#K < \#M$ and build A_0 s.t. $\Pr[\hat{b} = b] > 1/2$

- For $c \in C$, $\Pi_c = \{m \in M : \exists k, \text{Dec}_k(c) = m\}$

Since Dec is det., $\#\Pi_c \leq \#K < \#M$

- Take $c^* \in C$ s.t. $\Pi_{c^*} \neq \emptyset$, and $m_0 \in \Pi_{c^*}$ and $m_1 \notin \Pi_{c^*}$.

- A_0 receives c :
- if $c = c^*$, A_0 outputs $\hat{b} = 0$ (c^* cannot decrypt to m_1)
- otherwise it outputs a random bit $\hat{b}$.

$$\Pr[\hat{b} = b] = \Pr[\hat{b} = 0 \mid c = c^*] \Pr[c = c^*] + \Pr[\hat{b} = 0 \mid c \neq c^*] \Pr[c \neq c^*] = 1/2 + 1/2^P > 1/2$$

Conclusion on one-time pad

- ▶ One-time pad: perfectly indistinguishable but...
- ▶ ... perfect indistinguishability impossible with *small* keys

Relaxation of the security notion

- ▶ Allow to recover *some* (very little!) information *statistical security*
- ▶ Put a limit on the computational power of an adversary *computational security*

Another problem

An adversary can modify any message

malleability

$$\blacktriangleright c = m \oplus k \implies c \oplus m' = (m \oplus m') \oplus k$$

Contents

1. A first example: the one-time pad

2. Computational security

Principles of modern cryptography

Formal definitions

- ▶ What does *secure encryption* mean?
 - ▶ An adversary cannot recover the key
 - ▶ An adversary cannot recover the message from the ciphertext
 - ▶ An adversary cannot retrieve any character of the message from the ciphertext
 - ▶ ...

Principles of modern cryptography

Formal definitions

- ▶ What does *secure encryption* mean?
 - ▶ (good definition) Whatever information an adversary has about the message, the ciphertext only provides them with *very little* additional information

Principles of modern cryptography

Formal definitions

- ▶ What does *secure encryption* mean?
 - ▶ (good definition) Whatever information an adversary has about the message, the ciphertext only provides them with *very little* additional information
- ▶ What is an *adversary*?
 - ▶ Ciphertext-only attack COA
 - ▶ Known-plaintext attack KPA
 - ▶ Chosen-plaintext attack CPA
 - ▶ Chosen-ciphertext attack CCA

Principles of modern cryptography

Formal definition

- ▶ What does (good) cipher
- ▶ What is an
- ▶ Cipher
- ▶ Known
- ▶ Chosen
- ▶ Chosen



message, the

COA
KPA
CPA
CCA

Principles of modern cryptography

Formal definitions

- ▶ What does *secure encryption* mean?
 - ▶ (good definition) Whatever information an adversary has about the message, the ciphertext only provides them with *very little* additional information
- ▶ What is an *adversary*?
 - ▶ ~~Ciphertext-only attack~~ ————— COA
 - ▶ ~~Known-plaintext attack~~ ————— KPA
 - ▶ Chosen-plaintext attack ————— CPA
 - ▶ Chosen-ciphertext attack ————— CCA

Principles of modern cryptography

Formal definitions

- ▶ What does *secure encryption* mean?
 - ▶ (good definition) Whatever information an adversary has about the message, the ciphertext only provides them with *very little* additional information
- ▶ What is an *adversary*?
 - ▶ Ciphertext-only attack ————— COA
 - ▶ Known-plaintext attack ————— KPA
 - ▶ Chosen-plaintext attack ————— CPA
 - ▶ Chosen-ciphertext attack ————— CCA

Specific assumptions

- ▶ Computational power of an adversary (complexity theory)
- ▶ Validity of assumptions, comparison between them and *necessary* assumptions

Provable security

Proving that a protocol satisfies a *security definition*, assuming *assumptions*.

Back to perfect indistinguishability

Reminder: perfect indistinguishability for Enc using a game

Adversary chooses two messages $m_0, m_1 \in \mathcal{M}$

Challenger samples $k \leftarrow \mathcal{K}$, $b \leftarrow \{0, 1\}$ and computes $c \leftarrow \text{Enc}_k(m_b)$

Adversary outputs a bit $\hat{b}$ (tries to guess b)

► Enc is perfectly indistinguishable if $\Pr[\hat{b} = b] = \frac{1}{2}$

Characteristics

► Weak model of adversary: COA

► Adversary only sees c

► Even with access to many other ciphertexts, no difference!

► But not KPA $\rightarrow$ one pair $(m, \text{Enc}_k(m))$ reveals k *one-time pad*

► Strong guarantees: no matter how powerful, the adversary learns nothing

Need a stronger model of adversary, but weaker guarantees is sufficient

IND-CPA: Indistinguishability under Chosen-Plaintext Attack

IND-CPA game for Enc

Challenger samples $k \leftarrow \mathcal{K}$

Adversary has *oracle access* to $\text{Enc}_k(\cdot)$: on *query* x_i , gets $c_i \leftarrow \text{Enc}_k(x_i)$
creates two messages $m_0, m_1 \in \mathcal{M}$ of same length

Challenger samples $b \leftarrow \{0, 1\}$ and computes $c \leftarrow \text{Enc}_k(m_b)$

Adversary outputs a bit $\hat{b}$ (*tries to guess* b)

Remarks

- ▶ The adversary can query $\text{Enc}_k(m_0)$ and $\text{Enc}_k(m_1)$
 - ▶ $\text{Enc}_k(\cdot)$ must be *randomized*
- ▶ Messages of same length
 - ▶ IND-CPA encryption may reveal the length of the message
 - ▶ Necessary for efficiency in general
 - ▶ *Ad-hoc* solution if the length is a sensitive information

Relaxing the guarantees: IND-CPA *advantage*

What is the *advantage* of an adversary $\mathcal{A}$ compared to choosing $\hat{b}$ at random?

Advantage of adversary $\mathcal{A}$

$$\text{Adv}_{\text{Enc}}^{\text{IND-CPA}}(\mathcal{A}) = 2 \left| \Pr[\mathcal{A}^{\text{Enc}_k} \rightarrow b] - \frac{1}{2} \right|$$

Remarks

- ▶ Advantage between 0 (perfectly indistinguishable) and 1
- ▶ $\text{Adv}_{\text{Enc}}^{\text{IND-CPA}}(\mathcal{A}) = \left| \Pr[\mathcal{A}^{\text{Enc}_k} \rightarrow 1 | b = 1] - \Pr[\mathcal{A}^{\text{Enc}_k} \rightarrow 1 | b = 0] \right|$

The IND-CPA security

IND-CPA secure: an adversary with *bounded resources* has *negligible* advantage

Asymptotic security

complexity-theoretic definition

- ▶ Fix a *security parameter* n
- ▶ Bounded resources: randomized polynomial-time adversaries $\mathcal{A}$ poly. in n
- ▶ Negligible advantage: if $< 1/p(n)$ for every polynomial p

Concrete security

chosen in this course

- ▶ *Advantage function*: $\text{Adv}_{\text{Enc}}^{\text{IND-CPA}}(q, t) = \max_{\mathcal{A}_{q,t}} \text{Adv}_{\text{Enc}}^{\text{IND-CPA}}(\mathcal{A}_{q,t})$
where $\mathcal{A}_{q,t}$ ranges over all (randomized) algorithms that run in time $\leq t$ and make $\leq q$ queries
- ▶ No formal definition of *bounded resources* or *negligible*:
 - ▶ Compare two schemes by comparing their advantage functions
 - ▶ Bound the advantage for some fixed explicit bounds q and t

Orders of magnitude (time)

Computational time

- ▶ $t \simeq 2^{40}$: ~ 1 day on my laptop
- ▶ $t \simeq 2^{60}$: possible on a large CPU/GPU cluster
- ▶ $t \simeq 2^{80}$: possible with an ASIC cluster
- ▶ $t \simeq 2^{128}$: seems hard enough

done in academia
Bitcoin mining

Example: perform 2^{128} operations within 34 years ($\simeq 2^{30}$ seconds)

- ▶ Hypotheses:
 - ▶ Hardware at 2^{50} op/s
 - ▶ Hugely parallelizable
 - ▶ 1000 W per device
- ▶ Results:
 - ▶ Require $\simeq 2^{128} / (2^{50} \cdot 2^{30}) = 2^{48}$ machines
 - ▶ Require $\simeq 280\,000$ TW

quite fast
not always true
quite good

$> 280 \cdot 10^{12}$
 $> 1.7 \cdot 10^9$ EPR

Orders of magnitude (probabilities)

Probabilities

- ▶ $p = \frac{1}{2}$: get a TAIL with a fair coin
- ▶ $p = \frac{1}{6}$: get a 6 with a fair die
- ▶ $p \simeq 2^{-24}$: probability to win at French lottery
- ▶ $p \simeq 2^{-72}$: probability to win 3 times in a row at French lottery

Example

- ▶ An attack that takes 1 second and has a probability of success of 2^{-60} is expected to have succeeded less than once since the Big Bang
- ▶ Errors in CPU due to cosmic rays happen with much larger probabilities!

Combining orders of magnitude

If $\text{Adv}_{\text{Enc}}^{\text{IND-CPA}}(2^{128}) < 2^{-60}$, Enc can be considered (IND-CPA) secure

Orders of magnitude (probabilities)

Probabilities

- ▶ $p = \frac{1}{2}$: get a TAIL with a fair coin
- ▶ $p = \frac{1}{6}$: get a 6 with a fair die
- ▶ $p \simeq 2^{-24}$: probability to win a lottery
- ▶ $p \simeq 2^{-72}$: probability to win a lottery

Example

- ▶ An attack that takes 1 second to succeed has a probability of having succeeded less than 10^{-10}
- ▶ Errors in CPU due to cosmic rays

Combining orders of magnit

$$\text{If } \text{Adv}_{\text{Enc}}^{\text{IND-CPA}}(2^{128}) < 2^{-60}, \text{ Enc}$$
[illegible]

h lottery

f success of 2^{-60} is expected to

larger probabilities!

(PA) secure

<https://xkcd.com/2379/>

Conclusion

One-time pad

- ▶ First example of encryption scheme
- ▶ Strong security... in a very weak model!
- ▶ Vastly insufficient in practice

Computational security

- ▶ Game + advantage $\rightarrow$ security notion
- ▶ Various security models, depending on the experiment
 - ▶ Fix goals & means

What's next?

- ▶ Symmetric and public-key encryption
- ▶ Authentication and integrity
- ▶ Each time:
 - ▶ What is the suitable security notion
 - ▶ How to achieve this security notion?

Recap on probability theory

Notations

- ▶ $r \leftarrow \mathcal{S}$: r uniformly sampled from $\mathcal{S}$ $\forall s \in \mathcal{S}, \Pr[r = s] = 1/\#\mathcal{S}$
- ▶ $\Pr_x[\text{event}]$: probability of event, insisting on where the randomness lies
- ▶ $\Pr[E|F]$ (“prob. of E given F ”): probability that E happens *knowing that* F happens

Law of total probabilities

proof by exhaustion/case

- ▶ If E, F are events, $\Pr[E] = \Pr[E|F] \Pr[F] + \Pr[E|\neg F] \Pr[\neg F]$
- ▶ Generalization for $\Omega = \bigsqcup_i F_i$, $\Pr[E] = \sum_i \Pr[E|F_i] \Pr[F_i]$

Other useful tools

- ▶ $\Pr[\neg E] = 1 - \Pr[E]$
- ▶ Union bound: $\Pr[E \vee F] \leq \Pr[E] + \Pr[F]$ $\Pr[\bigvee_i E_i] \leq \sum_i \Pr[E_i]$
- ▶ If E and F are independent, $\Pr[E \wedge F] = \Pr[E] \Pr[F]$

For self-learning / refresh

- ▶ B. Barak, *An Intensive Introduction to Cryptography*.
Chapter 0. [Mathematical background](#) (also available from the course webpage)

Recap on (symmetric) encryption

Encryption scheme

- ▶ Three spaces: messages $\mathcal{M}$, keys $\mathcal{K}$, ciphertexts $\mathcal{C}$
- ▶ Two functions: $\text{Enc} : \mathcal{K} \times \mathcal{M} \rightarrow \mathcal{C}$ and $\text{Dec} : \mathcal{K} \times \mathcal{C} \rightarrow \mathcal{M}$

Notations: $c \leftarrow \text{Enc}_k(m)$ and $\hat{m} \leftarrow \text{Dec}_k(c)$

Correctness: for all $m \in \mathcal{M}$ and $k \in \mathcal{K}$, $\text{Dec}_k(\text{Enc}_k(m)) = m$

IND-CPA security

Game: an Adversary

- ▶ has *oracle access* to $\text{Enc}_k(\cdot)$
- ▶ submits two *challenges* m_0 and m_1 of same length and gets c
- ▶ must decide whether c encrypts m_0 or m_1

Advantage: *distance* from the success probability of a random guess, *normalized* to $[0, 1]$

$$2 \left| \Pr[\text{success}] - \frac{1}{2} \right|$$

Security: *resource-bounded* adversaries must have *negligible* advantage