

# Security Models: Proofs, Protocols and Certification

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- ① **Introduction : attack models and security properties**
- ② Security definitions and proofs - Perfect secrecy
- ③ Elementary notions in probability theory
- ④ Shannon' theorem on perfect secrecy

# Security : what cryptography should provide

## CAIN

- Confidentiality
- Authentication
- Integrity
- Non-repudiation

## Kerckhoffs' principle [1883]

*A cryptosystem should be secure even if everything about the system, except the key, is public knowledge.*

## COA : Ciphertext-Only Attack

the attacker is assumed to have access only to a set of ciphertexts

Eg : *vulnerabilities to COA :*

- *WEP : bad design ;*
- *DES : too small key space*

## KOA : Known-Plaintext Attack

the attacker has samples of both the plaintext and its encrypted version ; he uses them to get the secret key.

Eg : *vulnerabilities to KOA : encrypted ZIP archive : knowing only one unencrypted file from the archive is enough to calculate the key*

## CPA : Chosen-Plaintext Attack

the attacker chooses a plaintext and can crypt it to obtain the corresponding ciphertexts ; i.e.

**he has access to an encryption machine.**

Eg : *vulnerabilities to COA : dictionary attack on Unix passwd file. Crack, John the Ripper, L0phtCrack, Cain&Abel, ...*

## CCA : Chosen-Ciphertext Attack

the attacker chooses a ciphertext and can decrypt without knowing the key.

**He has access to a decryption machine (oracle).**

↔ Important for smart cards designers, since the attacker has full control on the device !

Eg : *vulnerabilities to CCA : ElGamal, early versions of RSA in SSL, ...*

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# Security definitions. A cryptosystem is

## Computationally secure :

if any successful attack requires at least  $N$  operations, with  $N$  large  
eg  $10^{120} \simeq 2^{400}$ .

## Provable secure :

if any attack exists, a known hard problem could be efficiently solved.  
[Proof : **reduction**, complexity, P, NP]

## Semantic secure – for *asymmetric cryptosystem* – :

knowing the public key and a ciphertext (COA), it must be infeasible for a **computationally-bounded** adversary to derive significant information about the plaintext

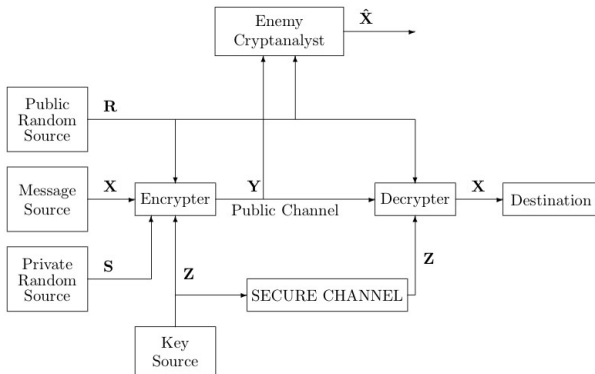
NB equivalent to the property of *ciphertext indistinguishability*<sub>[Blum,Micali]</sub>

## Unconditionally secure : ( or *perfect secrecy* )

cannot be broken, even by a **computationally-unbounded** attack

↔ "Information Theory" [Shannon]

# Model of a symmetric cryptosystem



## Shannon model

- perfect secrecy : i.e., informally,  
*the knowledge of  $Y$  gives no information on  $X$*
- $\Leftrightarrow$  definition : “Information Theory”



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- **Sample space**  $S$  : finite set whose elements are called "elementary events"  
Eg : can be viewed as a possible outcome of an experiment
- an **event** is a subset of  $S$ .  
 $\emptyset$  = the *null* event     $S$  = the *certain* event
- events  $A$  and  $B$  are **mutually exclusive** iff  $A \cap B = \emptyset$
- **Probability distribution**  
a function  $\Pr : X \subset S \mapsto [0, 1]$  satisfying probability axioms :
  - 1  $\forall$  event  $A$  :  $\Pr(A) \geq 0$  ;
  - 2 if  $A$  and  $B$  mutually exclusive :  $\Pr(A \cup B) = \Pr(A) + \Pr(B)$
  - 3  $\Pr(S) = 1$

# Discrete Random Variable (2/2)

## Definition : Discrete Random Variable

a function  $X$  from a finite space  $S$  to the real numbers.

↪ *quantity whose values are random*

For a real number  $x$ , the event  $X = x$  is  $\{s \in S : X(s) = x\}$ . Thus

$$\Pr(X = x) = \sum_{s \in S: X(s)=x} \Pr(s)$$

Experiment = rolling a pair of fair 6-sided dice



- Random variable  $X$  : the maximum of the two values
- $\Pr(X = 3) = \frac{5}{36}$

# Conditional probability and independence

Def : Conditional property of an event  $A$  given another event  $B$  :

$$\Pr(A|B) = \frac{\Pr(A \cap B)}{\Pr(B)}$$

Def : Two events  $A$  and  $B$  are **independent** iff

$$\Pr(A \cap B) = \Pr(A) \cdot \Pr(B)$$

So, if  $\Pr(B) \neq 0$ ,  $A$  and  $B$  independent  $\iff \Pr(A|B) = \Pr(A)$

Bayes' theorem

From definition,

$$\Pr(A \cap B) = \Pr(B \cap A) = \Pr(B) \Pr(A|B) = \Pr(A) \Pr(B|A).$$

This, if  $\Pr(B) \neq 0$ , we have :

$$\Pr(A|B) = \frac{\Pr(A) \Pr(B|A)}{\Pr(B)}$$

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- ④ **Information theory - Shannon' theorem on perfect secrecy**

## Shannon's measure of information

- Hartley's measure of information :  $I(X) = \log_2 \frac{1}{p_i}$  bit (*logon*)
- Def : entropy  $H(X)$  (or uncertainty) of a disc. rand. var.  $X$  :

$$H(X) = - \sum_{x \in \text{Supp}(X)} \text{Pr}(X = x) \cdot \log_2 \text{Pr}(X = x)$$

i.e. the "average Hartley information".

## Basic properties of entropy

- Let  $n = \text{Card}(\text{Sample space})$ ; then  $H(X) \leq \log_2 n$   
The entropy is maximum for the uniform probability distribution Gibbs'lemma
- $H(X|Y) \leq H(X) + H(Y)$
- $H(XY|Z) = H(Y|Z) + H(X|YZ)$
- $H(X|Y) \leq H(XZ|Y)$

## Characterization of perfect secrecy

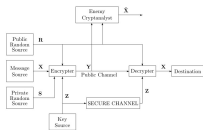
The knowledge of the ciphertext  $Y$  brings no additional information on the plaintext  $X$ , i.e.

$$H(X|Y) = H(X)$$

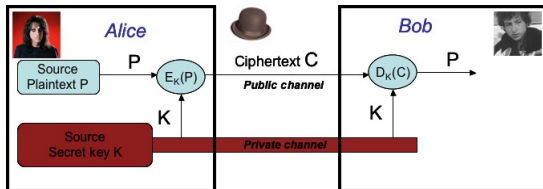
- Lecture 1 : attacks ; security defs ; unconditional security and entropy.
  - **Unconditional secure symmetric cipher**
    - Proof of Shannon's theorem : lower bound on the key size.
    - Vernam's cipher – binary and generalization to arbitrary group.
    - Generalized Vernam's cipher is unconditionally secure.
- Lecture 2 : Asymmetric protocols and provable security
  - Asymmetric cryptography is not unconditionally secure
  - Provable security : arithmetic complexity and reduction
  - Complexity and lower bounds : exponentiation
  - P, NP classes



# Model of a symmetric cryptosystem



General model



Simplified model

**Definition :** *Unconditional security* or *Perfect secrecy*

The symmetric cipher is **unconditionally secure** iff  $H(P|C) = H(P)$

*i.e. the cryptanalyst's a-posteriori probability distribution of the plaintext, after having seen the ciphertext, is identical to its a-priori distribution.*

**Shannon's theorem :** necessary condition, lower bound on K

In any unconditionally secure cryptosystem :  $H(K) \geq H(P)$ .

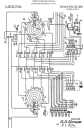
**Proof :**  $H(P) = H(P|C) \leq H((P, K)|C) = H(K|C) + H(P|(K, C)) = H(K|C) \leq H(K)$

# Vernam's cipher : unconditionally secure cryptosystem

## Shannon's Theorem part 2 : existence

It exists unconditionally secure cipher.

Example : OTP (One-Time Pad) / Vernam's cipher



**Symmetric cipher of a bit stream :**

- let  $\oplus =$  boolean *xor*; let  $n = |P|$ .
- for  $i = 1, \dots, n$  :  $C_i = P_i \oplus K_i$

*Vernam's patent, 1917*

*OTP : One-Time Pad [AT&T Bell labs]*

**NB : size of the (boolean) key  $K$  = size of the (boolean) plaintext  $P$ .**

## OTP applications

- Unbreakable if used properly. A one-time pad must be **truly random data** and must be **kept secure** in order to be unbreakable.
- intensively used for diplomatic communications security in the 20th century. E.g. telex line Moscow–Washington : keys were generated by hardware random bit stream generators and distributed via trusted couriers.
- In the 1940s, the (Soviet Union) KGB used recycled one-time pads, leading to the success of the NSA code-breakers of the project VENONA [<http://www.nsa.gov/venona/>]



# Generalized Vernam's cipher

## Generalization to a group $(G, \otimes)$ with $m = |G|$ elements

- For  $1 \leq i \leq n$ , let  $K_i$  be uniformly randomly chosen in  $G$ .
- Ciphertext  $C = E_K(P)$  is computed by :  $C_i = P_i \otimes K_i$
- What is the deciphering  $P = D_K(P)$ ?

## Theorem : Generalized Vernam's cipher is unconditionally secure

Proof : We have  $H(P) \leq \log_2 m^n = n \log_2 m$ .

Besides,

$$\Pr(P = p|C = c) = \Pr(C \otimes K^{-1} = p|C = c) = \Pr(K = p^{-1} \otimes c) = \frac{1}{m^n}$$

then  $H(P|C) \geq H(P)$ . Since  $H(P|C) \leq H(P)$ , we have  $H(P|C) = H(P)$ .

## Outlines

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## Training exercises (tutoring and home)

- probability ; entropy and secret.

## Next lecture

- 1 Provable security of Asymmetric protocols