# Propositional Resolution 

First part

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## Last course

- Substitutions and replacement
- Normal Forms
- Boolean Algebra
- Boolean functions
- The BDDC tools


## John, Peter and Mary by simplification

$$
\begin{gathered}
(p \Rightarrow \neg j) \wedge(\neg p \Rightarrow j) \wedge(j \Rightarrow m) \Rightarrow m \vee p \\
\neg(p \Rightarrow \neg j) \vee \neg(\neg p \Rightarrow j) \vee \neg(j \Rightarrow m) \vee m \vee p
\end{gathered}
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with $x \vee(x \wedge y) \equiv x$

$$
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(\neg p \wedge \neg j) \vee(j \wedge \neg m) \vee m \vee p
$$

$$
x \vee(\neg x \wedge y) \equiv x \vee y
$$

$$
\neg j \vee j \vee m \vee p \equiv \top
$$

# Overview 

Introduction

Some definitions and notations

Correctness

Completeness

Conclusion

Propositional Resolution
Introduction

## Plan

## Introduction

## Some definitions and notations

## Correctness

Completeness

## Conclusion

## Deduction methods

- Is a formula valid?
- Is a reasoning correct?

Two methods :

## Deduction methods

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Two methods :
The truth tables and transformations

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Two methods :
The truth tables and transformations

## Problem

If the number of variables increases, these methods are very long

## Example

By a truth table, to verify
$a \Rightarrow b, b \Rightarrow c, c \Rightarrow d, d \Rightarrow e, e \Rightarrow f, f \Rightarrow g, g \Rightarrow h, h \Rightarrow i, i \Rightarrow j \models a \Rightarrow j$ we must test $2^{10}=1024$ lines.

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Or, by deduction, this is a correct reasoning :

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Or, by deduction, this is a correct reasoning :

1. By transitivity of the implication, $a \Rightarrow j \models a \Rightarrow j$.
2. By definition, the formula $a \Rightarrow j$ is a consequence of its own.

Propositional Resolution
Introduction

## Today

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- Formalisation of a deductive system (with 1 rule)


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- Formalisation of a deductive system (with 1 rule)
- How to prove a formula by resolution
- Correctness of a deductive system
- Completeness of a deductive system
- Some properties of resolution


## Intuition

Formulas are put into CNF (conjunction of clauses)

$$
a \vee \neg b, b \vee c \models a \vee c
$$

Can be seen as transitivity of implication

$$
b \Rightarrow a, \neg c \Rightarrow b \models \neg c \Rightarrow a
$$

Some definitions and notations

## Plan

## Introduction

## Some definitions and notations

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## Definitions

## Definition 2.1.1

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- A literal is a member of a clause, if it is a member of the set of literals of the clause.
- A clause $A$ is included in a clause $B$, if all literals of clause $A$ are members of clause $B$. In this case, $A$ is a sub-clause of $B$.
- Two clauses are equal if they have the same set of literals.

Propositional Resolution
Some definitions and notations

## Example 2.1.2

- $p " \in " \neg q \vee p \vee r \vee p$


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- $\neg q \vee p \vee r \vee p " \backslash p$ " $=$ " $\neg q \vee r$
- $p \vee p \vee p ">p "=" \perp$


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- $\neg q \vee p \vee r \vee p$ " $\backslash p$ " $=" \neg q \vee r$
- $p \vee p \vee p " \ " p "=" \perp$
- Adding the literal $r$ to the clause $p$ yields the clause $p \vee r$


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- Adding the literal $p$ to the clause $\perp$ yields the clause $p$


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- $p \vee \neg q$ " $\subseteq " \neg q \vee p \vee r \vee p$
- $\neg q \vee p \vee r \vee p " \backslash p$ " $=" \neg q \vee r$
- $p \vee p \vee p " \backslash " p "=" \perp$
- Adding the literal $r$ to the clause $p$ yields the clause $p \vee r$
- Adding the literal $p$ to the clause $\perp$ yields the clause $p$
- The clauses $p \vee \neg q$, $\neg q \vee p$, and $p \vee \neg q \vee p$ are equal


## Notation

$s(A)$ the set of literals of the clause $A$.
By convention $\perp$ is the empty clause and $s(\perp)=\emptyset$.

## Example 2.1.3

$$
s(\neg q \vee p \vee r \vee p \vee \neg p)=
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## Example 2.1.3

$$
s(\neg q \vee p \vee r \vee p \vee \neg p)=
$$

$$
\{\neg q, p, r, \neg p\}
$$

## Complementary literal

## Definition 2.1.4

We note $L^{C}$ the complementary literal of a literal $L$ :
If $L$ is a variable, $L^{c}$ is the negation of $L$.

If $L$ is the negation of a variable, $L^{c}$ is obtained by removing the negation of $L$.

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If $L$ is the negation of a variable, $L^{c}$ is obtained by removing the negation of $L$.

## Example 2.1.5

$x^{c}=\neg x$ and $\neg x^{c}=x$.

## Resolvent

## Definition 2.1.6

Let $A$ and $B$ be two clauses.

The clause $C$ is a resolvent of $A$ and $B$ iff there exists a literal $L$ such that $L \in s(A), L^{c} \in s(B), s(C)=(s(A)-\{L\}) \cup\left(s(B)-\left\{L^{c}\right\}\right)$.

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" $C$ is a resolvent of $A$ and $B$ " is represented by :
$A \quad B$
$C$

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" $C$ is a resolvent of $A$ and $B$ " is represented by :

$C$ is generated by $A$ and $B$
$A$ and $B$ are the parents of the clause $C$.

## Examples with resolution

## Example 2.1.7

Give the resolvents of :

- $p \vee q \vee r$ and $p \vee \neg q \vee r$
- $p \vee \neg q$ and $\neg p \vee q \vee r$
- $p$ and $\neg p$


## Examples with resolution

## Example 2.1.7

Give the resolvents of :

- $p \vee q \vee r$ and $p \vee \neg q \vee r$

$$
p \vee q \vee r \quad p \vee \neg q \vee r
$$

$p \vee r$

- $p \vee \neg q$ and $\neg p \vee q \vee r$
- $p$ and $\neg p$


## Examples with resolution

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p \vee q \vee r \quad p \vee \neg q \vee r
$$

$$
p \vee r
$$

- $p \vee \neg q$ and $\neg p \vee q \vee r$

$$
\frac{p \vee \neg q \quad \neg p \vee q \vee r}{\neg p \vee p \vee r} \quad \frac{p \vee \neg q \quad \neg p \vee q \vee r}{\neg q \vee q \vee r}
$$

- $p$ and $\neg p$


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p \vee r
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- $p \vee \neg q$ and $\neg p \vee q \vee r$

$$
\frac{p \vee \neg q \quad \neg p \vee q \vee r}{\neg p \vee p \vee r} \quad \frac{p \vee \neg q \quad \neg p \vee q \vee r}{\neg q \vee q \vee r}
$$

- $p$ and $\neg p$

```
p \negp
```


## Property

## Property 2.1.8

If one of the parents of a resolvent is valid, the resolvent is valid or contains the other parent.

## Proof.

See exercise 40.

## Problem with $\vee$

Given two clauses $A$ and $B$, the formula $A \vee B$ is not a clause if one of the two operands of the disjunction is the empty clause.

Example : $\perp \vee p$ is not a clause.

## Solution: $\tilde{V}$

## Definition 2.1.9

Let $C$ and $D$ be two clauses.
We denote $C \tilde{\vee} D$ the following clause :

- If $C=\perp$ then $C \tilde{V} D=D$,
- else if $D=\perp$ then $C \tilde{\vee} D=C$ else $C \tilde{\vee} D=C \vee D$.


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Let $C$ and $D$ be two clauses.

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- If $C=\perp$ then $C \tilde{V} D=D$,
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Adding a literal $L$ to the clause $C$, is building $C \tilde{\vee} L$.

## Resolvent : another definition

## Definition 2.1.10

Let $A$ and $B$ be two clauses.
The clause $C$ is a resolvent of $A$ and $B$ if and only if there is a literal $L$ such that :

- L is a member of the clause $A, L^{c}$ is a member of the clause $B$
- C equals a clause $A^{\prime} \tilde{\vee} B^{\prime}$ where $A^{\prime}=A-\{L\}$ is obtained by removing $L$ from $A$ and $B^{\prime}=B-\left\{L^{c}\right\}$ is obtained by removing $L^{c}$ from $B$.


## Definition of a proof

## Definition 2.1.11

Let $\Gamma$ be a set of clauses and $C$ a clause.

A proof of $C$ starting from $\Gamma$ is a list of clauses ending by $C$. Every clause of the proof is a member of $\Gamma$ or is a resolvent of the two clauses already obtained.

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A proof of $C$ starting from $\Gamma$ is a list of clauses ending by $C$. Every clause of the proof is a member of $\Gamma$ or is a resolvent of the two clauses already obtained.

The clause $C$ is deduced from $\Gamma$ ( $\Gamma$ yields $C$, or $\Gamma$ proves $C$ ), denoted $\Gamma \vdash C$, if there is a proof of $C$ starting from $\Gamma$.

## Example

## Example 2.1.12

Let $\Gamma$ be the set of clauses $\neg p \vee q, p \vee \neg q, \neg p \vee \neg q, p \vee q$. We show that $\Gamma \vdash \perp$ :

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$$
1 \quad p \vee q \quad \text { Hypothesis }
$$

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$1 p \vee q \quad$ Hypothesis<br>$2 p \vee \neg q \quad$ Hypothesis

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| 1 | $p \vee q$ | Hypothesis |
| :--- | :--- | :--- |
| 2 | $p \vee \neg q$ | Hypothesis |
| 3 | $p$ | Resolvent of 1,2 |

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| 3 | $p$ | Resolvent of 1,2 |
| 4 | $\neg p \vee q$ | Hypothesis |

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| 4 | $\neg p \vee q$ | Hypothesis |
| 5 | $q$ | Resolvent of 3, 4 |

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| 3 | $p$ | Resolvent of 1,2 |
| 4 | $\neg p \vee q$ | Hypothesis |
| 5 | $q$ | Resolvent of 3,4 |
| 6 | $\neg p \vee \neg q$ | Hypothesis |

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| 1 | $p \vee q$ | Hypothesis |
| :--- | :--- | :--- |
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| 3 | $p$ | Resolvent of 1, 2 |
| 4 | $\neg p \vee q$ | Hypothesis |
| 5 | $q$ | Resolvent of 3, 4 |
| 6 | $\neg p \vee \neg q$ | Hypothesis |
| 7 | $\neg p$ | Resolvent of 5, 6 |

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| 1 | $p \vee q$ | Hypothesis |
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| 4 | $\neg p \vee q$ | Hypothesis |
| 5 | $q$ | Resolvent of 3, 4 |
| 6 | $\neg p \vee \neg q$ | Hypothesis |
| 7 | $\neg p$ | Resolvent of 5, 6 |
| 8 | $\perp$ | Resolvent of 3, 7 |

## Proof tree

## Example 2.1.12

Let $\Gamma$ be the set of clauses $\neg p \vee q, p \vee \neg q, \neg p \vee \neg q, p \vee q$. We show that $\Gamma \vdash \perp$ :

$$
\begin{array}{llll}
\frac{p \vee q \quad p \vee \neg q}{p} & \neg p \vee q \\
\hline & q & \neg p \vee \neg q \\
& \neg p & & \\
\hline
\end{array}
$$

$$
\perp
$$

## Definition 2.1.13

## Proof length

A proof $P$ of $C$ starting from a set of clauses $\Gamma$ is of length $n$ if it contains $n$ lines.

## Monotony and Composition

## Property 2.1.14

Let $\Gamma, \Delta$ be two sets of clauses and $A, B$ be two clauses.

1. Monotony of deduction : If $\Gamma \vdash A$ and if $\Gamma$ is included in $\Delta$ then $\Delta \vdash A$
2. Composition of deductions : If $\Gamma \vdash A, \Gamma \vdash B$ and if $C$ is a resolvent of $A$ and $B$ then $\Gamma \vdash C$.

## Proof.

Exercise 39

Propositional Resolution
Correctness

## Plan

## Introduction

## Some definitions and notations

Correctness

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## Conclusion

## Definition

The correctness of a logic system states that all proofs obtained in this system are <correct».

## Correctness of the resolution rule

Theorem 2.1.15
If $C$ is a resolvent of $A$ and $B$ then $A, B \models C$.

Proof.

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If $C$ is a resolvent of $A$ and $B$ then $A, B \models C$.

Proof.
If $C$ is a resolvent of $A$ and $B$, then there is a literal $L$ so that $L \in s(A), L^{c} \in s(B), s(C)=(s(A)-\{L\}) \cup\left(s(B)-\left\{L^{c}\right\}\right)$.

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Let $v$ a model truth assignment of A and B . We have $[A]_{v}=1$ and $[B]_{v}=1$

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Let $v$ a model truth assignment of A and B . We have $[A]_{v}=1$ and $[B]_{v}=1$
Let us show that $[C]_{v}=1$.

- Suppose that $[L]_{v}=1$.
- Suppose that $\left[L^{C}\right]_{v}=1$.


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Let $v$ a model truth assignment of $A$ and $B$. We have $[A]_{v}=1$ and $[B]_{v}=1$
Let us show that $[C]_{v}=1$.

- Suppose that $[L]_{v}=1$. Therefore $\left[L^{C}\right]_{v}=0 . v$ is therefore a model of a literal of $\left(s(B)-\left\{L^{c}\right\}\right)$ since $[B]_{v}=1$. Hence $[C]_{v}=1$.
- Suppose that $\left[L^{C}\right]_{v}=1$.


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If $C$ is a resolvent of $A$ and $B$ then $A, B \models C$.

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If $C$ is a resolvent of $A$ and $B$, then there is a literal $L$ so that
$L \in s(A), L^{c} \in s(B), s(C)=(s(A)-\{L\}) \cup\left(s(B)-\left\{L^{c}\right\}\right)$.
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- Suppose that $\left[L^{C}\right]_{v}=1$. Therefore $[L]_{v}=0 . v$ is therefore a model of $(s(A)-\{L\})$ since $[A]_{v}=1$. Hence $[C]_{v}=1$.


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## Proof.

If $C$ is a resolvent of $A$ and $B$, then there is a literal $L$ so that
$L \in s(A), L^{c} \in s(B), s(C)=(s(A)-\{L\}) \cup\left(s(B)-\left\{L^{c}\right\}\right)$.
Let $v$ a model truth assignment of $A$ and $B$. We have $[A]_{v}=1$ and $[B]_{v}=1$
Let us show that $[C]_{v}=1$.

- Suppose that $[L]_{v}=1$. Therefore $\left[L^{c}\right]_{v}=0 . v$ is therefore a model of a literal of $\left(s(B)-\left\{L^{c}\right\}\right)$ since $[B]_{v}=1$. Hence $[C]_{v}=1$.
- Suppose that $\left[L^{C}\right]_{v}=1$. Therefore $[L]_{v}=0 . v$ is therefore a model of $(s(A)-\{L\})$ since $[A]_{v}=1$. Hence $[C]_{v}=1$.
Since all truth assignment is model of $L$ or $L^{C}, v$ is a model of $C$.


## Correctness of the deduction

Theorem 2.1.16
Let $\Gamma$ a set of clauses and $C$ a clause. If $\Gamma \vdash C$ then $\Gamma \models C$.

## Proof.

Suppose that $\Gamma \vdash C$. There is a proof $P$ of $C$ starting from $\Gamma$. Suppose that for all proof of $D$ starting from $\Gamma$, shorter than $P$, we have $\Gamma \models D$.
Let us show that $\Gamma \models C$. There are two possible cases :

## Correctness of the deduction

Theorem 2.1.16
Let $\Gamma$ a set of clauses and $C$ a clause. If $\Gamma \vdash C$ then $\Gamma \vDash C$.

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Suppose that $\Gamma \vdash C$. There is a proof $P$ of $C$ starting from $\Gamma$. Suppose that for all proof of $D$ starting from $\Gamma$, shorter than $P$, we have $\Gamma \models D$.
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1. $C$ has the same set of literals as a member of $\Gamma$, in this case $\Gamma \models C$.

## Correctness of the deduction

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Suppose that $\Gamma \vdash C$. There is a proof $P$ of $C$ starting from $\Gamma$. Suppose that for all proof of $D$ starting from $\Gamma$, shorter than $P$, we have $\Gamma \models D$.
Let us show that $\Gamma \models C$. There are two possible cases :

1. $C$ has the same set of literals as a member of $\Gamma$, in this case $\Gamma \models C$.
2. $\Gamma \vdash A, \Gamma \vdash B$ and


By induction hypothesis : $\Gamma \models A$ and $\Gamma \models B$. According to theorem 2.1.15: $A, B \models C$. Hence $\Gamma \models C$.

Propositional Resolution
Completeness

## Plan

Introduction

## Some definitions and notations

Correctness

## Completeness

## Conclusion

## Definition

Completeness for the refutation is the following property : If $\Gamma \models \perp$ then $\Gamma \vdash \perp$.

We prove this result for finite $\Gamma$.
$\Gamma[L:=\top]$

Definition 2.1.18
Let $\Gamma$ be a set of clauses and $L$ a literal.
$\Gamma[L:=\top]$ is the set of clauses obtained by deleting the clauses for which $L$ is a member and by removing $L^{c}$ from the other clauses.

We define $\Gamma[L:=\perp]$ as $\Gamma\left[L^{c}:=\top\right]$.

## Examples

## Example 2.1.19

Let $\Gamma$ be the set of clauses $\neg p \vee q, \neg q \vee r, p \vee q, p \vee r$. We have :

- $\Gamma[p:=\top]=$


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## Examples

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Let $\Gamma$ be the set of clauses $\neg p \vee q, \neg q \vee r, p \vee q, p \vee r$. We have :

- $\Gamma[p:=\top]=$
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- $\Gamma[p:=\perp]=$

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\{\neg q \vee r, q, r\}
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## Examples

## Example 2.1.19

Let $\Gamma$ be the set of clauses $\neg p \vee q, \neg q \vee r, p \vee q, p \vee r$. We have :

- $\Gamma[p:=\top]=$

$$
\{q, \neg q \vee r\} .
$$

- $\Gamma[p:=\perp]=$

$$
\{\neg q \vee r, q, r\} .
$$

Let us observe that :

- $(\neg T \vee q) \wedge(\neg q \vee r) \wedge(T \vee q) \wedge(T \vee r) \equiv$ $q(\neg q \vee r)=\Gamma[p:=\top]$.
- $(\neg \perp \vee q) \wedge(\neg q \vee r) \wedge(\perp \vee q) \wedge(\perp \vee r) \equiv$

$$
(\neg q \vee r) q r=\Gamma[p:=\perp] .
$$

## Notation and definition

Intuitively, $v[L \mapsto 1]$ is the truth assignment giving to $L$ the value 1 , to $L^{c}$ the value 0 and which does not change the value of the other literals.

## Definition 2.1.20

Let a truth assignment $v$, the truth assignment $v[L \mapsto 1]$ is an assignment identical to $v$ except possibly for $x$, the variable of $L$. If $L=x$ then $v[L \mapsto 1](x)=1$, if $L=\neg x$ then $v[L \mapsto 1](x)=0$.

We define $v[L \mapsto 0]$ as $v\left[L^{c} \mapsto 1\right]$.

## Property of $\Gamma[L:=x]$

## Property 2.1.21

Let $\Gamma$ a set of clauses and $L$ a literal. $\Gamma$ has a model if and only if $\Gamma[L:=\top]$ or $\Gamma[L:=\perp]$ has a model.

## Proof.

Let $v$ be a truth assignment.

## Property of $\Gamma[L:=x]$

## Property 2.1.21

Let $\Gamma$ a set of clauses and $L$ a literal. $\Gamma$ has a model if and only if $\Gamma[L:=\top]$ or $\Gamma[L:=\perp]$ has a model.

## Proof.

Let $v$ be a truth assignment.
$\Rightarrow$ The truth assignment $v$ is a model of $\Gamma$.
$\Leftarrow \Gamma[L:=\top]$ or $\Gamma[L:=\perp]$ has a model.

## First case : $v$ is model of $\Gamma$

1. Suppose that $v$ gives to $L$ the value 1 and let us show that $v$ is a model of $\Gamma[L:=\top]$.
2. Suppose that $v$ gives to $L$ the value 0 .

## First case : $v$ is model of $\Gamma$

1. Suppose that $v$ gives to $L$ the value 1 and let us show that $v$ is a model of $\Gamma[L:=\top]$. Let $C$ a clause of $\Gamma[L:=\top]$. There is in $\Gamma$ a clause $C^{\prime}$ such that $C$ is obtained by removing $L^{c}$ from $C^{\prime}$. Since $v$ is model of $\Gamma, v$ is model of $C^{\prime}$ hence of a literal which is not $L^{c}$ (since $L^{c}$ equals 0 in this truth assignment). Consequently, $v$ is model of $C$. Since $C$ is any clause of $\Gamma[L:=\top], v$ is model of $\Gamma[L:=\top]$.
2. Suppose that $v$ gives to $L$ the value 0 .

## First case : $v$ is model of $\Gamma$

1. Suppose that $v$ gives to $L$ the value 1 and let us show that $v$ is a model of $\Gamma[L:=\top]$. Let $C$ a clause of $\Gamma[L:=\top]$. There is in $\Gamma$ a clause $C^{\prime}$ such that $C$ is obtained by removing $L^{c}$ from $C^{\prime}$. Since $v$ is model of $\Gamma, v$ is model of $C^{\prime}$ hence of a literal which is not $L^{c}$ (since $L^{c}$ equals 0 in this truth assignment). Consequently, $v$ is model of $C$. Since $C$ is any clause of $\Gamma[L:=\top], v$ is model of $\Gamma[L:=\top]$.
2. Suppose that $v$ gives to $L$ the value 0 . We get back to the previous case by exchanging $L$ and $L^{c}$ and we show that $v$ is model of $\Gamma[L:=\perp]$.

## Second case : $\Gamma[L:=\top]$ or $\Gamma[L:=\perp]$ has a model

Let $C$ be a clause of $\Gamma$.

1. Suppose that the truth assignment $v$ is model of $\Gamma[L:=\top]$. Let us show that $v[L:=T]$ is model of $\Gamma$. Let $C$ be a clause of $\Gamma$.

## Second case : $\Gamma[L:=\top]$ or $\Gamma[L:=\perp]$ has a model

Let $C$ be a clause of $\Gamma$.

1. Suppose that the truth assignment $v$ is model of $\Gamma[L:=\top]$. Let us show that $v[L:=T]$ is model of $\Gamma$. Let $C$ be a clause of $\Gamma$.
1.1 Suppose that $L$ is a literal of $C$, then $v[L:=\top]$ is model of $C$ since this truth assignment gives to $L$ the value 1 .

## Second case : $\Gamma[L:=\top]$ or $\Gamma[L:=\perp]$ has a model

## Let $C$ be a clause of $\Gamma$.

1. Suppose that the truth assignment $v$ is model of $\Gamma[L:=\top]$. Let us show that $v[L:=T]$ is model of $\Gamma$. Let $C$ be a clause of $\Gamma$.
1.1 Suppose that $L$ is a literal of $C$, then $v[L:=\top]$ is model of $C$ since this truth assignment gives to $L$ the value 1 .
1.2 Suppose that $L$ is not a literal of $C$. Then there is a clause $C^{\prime}$ member of $\Gamma[L:=\top]$ such that $C^{\prime}$ is obtained by removing $L^{C}$ from $C$. The variable of $L$ is not a variable of $C^{\prime}$. Consequently $v$ and $v[L:=\top]$ give the same value to $C^{\prime}$. Since $v$ is model of $\Gamma[L:=\top], v$ is model of $C^{\prime}$ therefore $v[L:=T]$ is model of $C^{\prime}$. Since $C^{\prime}$ is included in $C, v[L:=T]$ is model of $C$.

## Second case : $\Gamma[L:=\top]$ or $\Gamma[L:=\perp]$ has a model

## Let $C$ be a clause of $\Gamma$.

1. Suppose that the truth assignment $v$ is model of $\Gamma[L:=\top]$. Let us show that $v[L:=T]$ is model of $\Gamma$. Let $C$ be a clause of $\Gamma$.
1.1 Suppose that $L$ is a literal of $C$, then $v[L:=\top]$ is model of $C$ since this truth assignment gives to $L$ the value 1 .
1.2 Suppose that $L$ is not a literal of $C$. Then there is a clause $C^{\prime}$ member of $\Gamma[L:=\top]$ such that $C^{\prime}$ is obtained by removing $L^{C}$ from $C$. The variable of $L$ is not a variable of $C^{\prime}$. Consequently $v$ and $v[L:=\top]$ give the same value to $C^{\prime}$. Since $v$ is model of $\Gamma[L:=\top], v$ is model of $C^{\prime}$ therefore $v[L:=T]$ is model of $C^{\prime}$. Since $C^{\prime}$ is included in $C, v[L:=T]$ is model of $C$.
Since $C$ is any clause of $\Gamma, v[L:=\top]$ is model of $\Gamma$.

## Second case : $\Gamma[L:=\top]$ or $\Gamma[L:=\perp]$ has a model

## Let $C$ be a clause of $\Gamma$.

1. Suppose that the truth assignment $v$ is model of $\Gamma[L:=\top]$. Let us show that $v[L:=T]$ is model of $\Gamma$. Let $C$ be a clause of $\Gamma$.
1.1 Suppose that $L$ is a literal of $C$, then $v[L:=\top]$ is model of $C$ since this truth assignment gives to $L$ the value 1 .
1.2 Suppose that $L$ is not a literal of $C$. Then there is a clause $C^{\prime}$ member of $\Gamma[L:=T]$ such that $C^{\prime}$ is obtained by removing $L^{C}$ from $C$. The variable of $L$ is not a variable of $C^{\prime}$. Consequently $v$ and $v[L:=\top]$ give the same value to $C^{\prime}$. Since $v$ is model of $\Gamma[L:=\top], v$ is model of $C^{\prime}$ therefore $v[L:=T]$ is model of $C^{\prime}$. Since $C^{\prime}$ is included in $C, v[L:=T]$ is model of $C$.
Since $C$ is any clause of $\Gamma, v[L:=\top]$ is model of $\Gamma$.
2. Suppose the truth assignment $v$ is model of $\Gamma[L:=\perp]$. By an analogous proof, we show that $v[L:=\perp]$ is model of $\Gamma$.

## Lemma 2.1.22

## Lemma 2.1.22

Let $\Gamma$ a set of clauses, $C$ a clause and $L$ a literal. If $\Gamma[L:=\top] \vdash C$ then $\Gamma \vdash C$ or $\Gamma \vdash C \tilde{\vee} L^{c}$.

## Proof.

Starting from a proof of $C$ starting from $\Gamma[L:=\top]$, we obtain a proof of $C$ or of $C \tilde{\vee} L^{C}$ starting from $\Gamma$ by adding a literal $L^{C}$ to the clauses where it has been removed from.

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Let $\Gamma$ a set of clauses, $C$ a clause and $L$ a literal. If $\Gamma[L:=\top] \vdash C$ then $\Gamma \vdash C$ or $\Gamma \vdash C \tilde{V} L^{c}$.

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Starting from a proof of $C$ starting from $\Gamma[L:=\top]$, we obtain a proof of $C$ or of $C \tilde{\vee} L^{C}$ starting from $\Gamma$ by adding a literal $L^{C}$ to the clauses where it has been removed from.

Let us formalise this tentative proof. Suppose that $\Gamma[L:=\top] \vdash C$. There is a proof $P$ of $C$ starting from $\Gamma[L:=\top]$. Suppose that for all proof of $D$ starting from $\Gamma[L:=\top]$, shorter than $P$, we have $\Gamma \vdash D$ or $\Gamma \vdash D \tilde{\vee} L^{c}$.

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Let us formalise this tentative proof. Suppose that $\Gamma[L:=\top] \vdash C$. There is a proof $P$ of $C$ starting from $\Gamma[L:=\top]$. Suppose that for all proof of $D$ starting from $\Gamma[L:=\top]$, shorter than $P$, we have $\Gamma \vdash D$ or $\Gamma \vdash D \tilde{\vee} L^{c}$. There are two possible cases :

1. $C$ is a member of $\Gamma[L:=\top]$.
2. $C$ is resolvent of 2 clauses $A$ and $B$ preceding $C$ in the proof $P$.

## First case : $C$ is a member of $\Gamma[L:=\top]$

> Hence there is a clause $C^{\prime}$ member of $\Gamma$ such that $s\left(C^{\prime}\right)=s(C)$ or $s\left(C^{\prime}\right)=s(C) \cup\left\{L^{c}\right\}$.

Let us examine those two cases.

## First case : $C$ is a member of $\Gamma[L:=\top]$

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1. Suppose $s\left(C^{\prime}\right)=s(C)$.

$$
\text { By definition of proof } \Gamma \vdash C \text {. }
$$

## First case : $C$ is a member of $\Gamma[L:=\top]$

Hence there is a clause $C^{\prime}$ member of $\Gamma$ such that $s\left(C^{\prime}\right)=s(C)$ or $s\left(C^{\prime}\right)=s(C) \cup\left\{L^{c}\right\}$.

Let us examine those two cases.

1. Suppose $s\left(C^{\prime}\right)=s(C)$.

By definition of proof $\Gamma \vdash C$.
2. Suppose $s\left(C^{\prime}\right)=s(C) \cup\left\{L^{c}\right\}$.

We have $s\left(C^{\prime}\right)=s\left(C \tilde{\vee} L^{c}\right)$ hence by definition of proof, $\Gamma \vdash C \tilde{\vee} L^{c}$

## Second case : $C$ is resolvent of 2 clauses $A$ and $B$ preceding $C$ in the proof $P$

# Second case : $C$ is resolvent of 2 clauses $A$ and $B$ preceding $C$ in the proof $P$ 

Hence by induction hypothesis :

- $\Gamma \vdash A$ or $\Gamma \vdash A \tilde{V} L^{c}$
- $\Gamma \vdash B$ or $\Gamma \vdash B \tilde{V} L^{c}$


# Second case : $C$ is resolvent of 2 clauses $A$ and $B$ preceding $C$ in the proof $P$ 

Hence by induction hypothesis :

- $\Gamma \vdash A$ or $\Gamma \vdash A \tilde{V} L^{c}$
- $\Gamma \vdash B$ or $\Gamma \vdash B \tilde{V} L^{c}$

Which results in 4 cases to examine.

1. Suppose $\Gamma \vdash A$ and $\Gamma \vdash B$.
2. Suppose $\Gamma \vdash A$ and $\Gamma \vdash B \tilde{\vee} L^{c}$.
3. Suppose $\Gamma \vdash A \tilde{V} L^{c}$ and $\Gamma \vdash B$
4. Suppose $\Gamma \vdash A \tilde{V} L^{c}$ and $\Gamma \vdash B \tilde{V} L^{c}$

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Which results in 4 cases to examine.

1. Suppose $\Gamma \vdash A$ and $\Gamma \vdash B$.

Since $C$ is resolvent of $A$ and $B$, according to property 2.1.14, we have $\Gamma \vdash C$.
2. Suppose $\Gamma \vdash A$ and $\Gamma \vdash B \tilde{\vee} L^{c}$.
3. Suppose $\Gamma \vdash A \tilde{\vee} L^{c}$ and $\Gamma \vdash B$
4. Suppose $\Gamma \vdash A \tilde{V} L^{c}$ and $\Gamma \vdash B \tilde{V} L^{c}$

## Second case : $C$ is resolvent of 2 clauses $A$ and $B$ preceding $C$ in the proof $P$

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Since $C$ is resolvent of $A$ and $B$, according to property 2.1.14, we have $\Gamma \vdash C$.
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$\left(s(B)-\left\{M^{c}\right\}\right) \cup\left\{L^{c}\right\}=\left(s(B) \cup\left\{L^{c}\right\}\right)-\left\{M^{c}\right\}=\left(s\left(B \tilde{\vee} L^{c}\right)-\left\{M^{c}\right\}\right)$. We therefore have
$s\left(C \tilde{\vee} L^{c}\right)=(s(A)-\{M\}) \cup\left(s(B)-\left\{M^{c}\right\}\right) \cup\left\{L^{c}\right\}=(s(A)-\{M\}) \cup\left(s\left(B \tilde{\vee} L^{c}\right)-\left\{M^{c}\right\}\right)$
And consequently $C \tilde{\vee} L^{C}$ is a resolvent of $A$ and $B \tilde{\vee} L^{C}$. Hence according to property 2.1.14, $\Gamma \vdash C \tilde{V} L^{C}$.
3. Suppose $\Gamma \vdash A \tilde{\vee} L^{c}$ and $\Gamma \vdash B$
4. Suppose $\Gamma \vdash A \tilde{V} L^{c}$ and $\Gamma \vdash B \tilde{V} L^{c}$

## Second case : $C$ is resolvent of 2 clauses $A$ and $B$ preceding $C$ in the proof $P$

Hence by induction hypothesis :

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And consequently $C \tilde{\vee} L^{C}$ is a resolvent of $A$ and $B \tilde{\vee} L^{C}$. Hence according to property 2.1.14, $\Gamma \vdash C \tilde{V} L^{C}$.
3. Suppose $\Gamma \vdash A \tilde{V} L^{C}$ and $\Gamma \vdash B$, by exchanging in the above case the roles of $A$ and $B$, we obtain $\Gamma \vdash C \tilde{\vee} L^{c}$.
4. Suppose $\Gamma \vdash A \tilde{V} L^{c}$ and $\Gamma \vdash B \tilde{V} L^{c}$

## Second case : $C$ is resolvent of 2 clauses $A$ and $B$ preceding $C$ in the proof $P$

Hence by induction hypothesis :

- 「 $\vdash A$ or $\Gamma \vdash A \tilde{V} L^{c}$
- $\Gamma \vdash B$ or $\Gamma \vdash B \tilde{V} L^{c}$

Which results in 4 cases to examine.

1. Suppose $\Gamma \vdash A$ and $\Gamma \vdash B$.

Since $C$ is resolvent of $A$ and $B$, according to property 2.1.14, we have $\Gamma \vdash C$.
2. Suppose $\Gamma \vdash A$ and $\Gamma \vdash B \tilde{\vee} L^{C}$. Since $C$ is resolvent of $A$ and $B$, there is $M$ such that $M \in A$ and $M^{c} \in B$ and $s(C)=(s(A)-\{M\}) \cup\left(s(B)-\left\{M^{c}\right\}\right)$. No clause of $\Gamma[L:=\top]$ involves the literal $L^{c}$. Hence $B$ which deducts from it, does not contain the literal $L^{c}$ (see exercise 41) and consequently $L^{C} \neq M^{c}$. Consequently
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$s\left(C \tilde{\vee} L^{c}\right)=(s(A)-\{M\}) \cup\left(s(B)-\left\{M^{c}\right\}\right) \cup\left\{L^{c}\right\}=(s(A)-\{M\}) \cup\left(s\left(B \tilde{\vee} L^{c}\right)-\left\{M^{c}\right\}\right)$
And consequently $C \tilde{\vee} L^{C}$ is a resolvent of $A$ and $B \tilde{\vee} L^{C}$. Hence according to property 2.1.14, $\Gamma \vdash C \tilde{V} L^{C}$.
3. Suppose $\Gamma \vdash A \tilde{V} L^{C}$ and $\Gamma \vdash B$, by exchanging in the above case the roles of $A$ and $B$, we obtain $\Gamma \vdash C \tilde{V} L^{c}$.
4. Suppose $\Gamma \vdash A \tilde{V} L^{c}$ and $\Gamma \vdash B \tilde{\vee} L^{c}$, as above we obtain $\Gamma \vdash C \tilde{\vee} L^{c}$.

## Second case : $C$ is resolvent of 2 clauses $A$ and $B$ preceding $C$ in the proof $P$

Hence by induction hypothesis :

- 「 $\vdash A$ or $\Gamma \vdash A \tilde{V} L^{c}$
- $\Gamma \vdash B$ or $\Gamma \vdash B \tilde{V} L^{c}$

Which results in 4 cases to examine.

1. Suppose $\Gamma \vdash A$ and $\Gamma \vdash B$.

Since $C$ is resolvent of $A$ and $B$, according to property 2.1.14, we have $\Gamma \vdash C$.
2. Suppose $\Gamma \vdash A$ and $\Gamma \vdash B \tilde{\vee} L^{C}$. Since $C$ is resolvent of $A$ and $B$, there is $M$ such that $M \in A$ and $M^{c} \in B$ and $s(C)=(s(A)-\{M\}) \cup\left(s(B)-\left\{M^{c}\right\}\right)$. No clause of $\Gamma[L:=\top]$ involves the literal $L^{c}$. Hence $B$ which deducts from it, does not contain the literal $L^{c}$ (see exercise 41) and consequently $L^{C} \neq M^{c}$. Consequently
$\left(s(B)-\left\{M^{c}\right\}\right) \cup\left\{L^{c}\right\}=\left(s(B) \cup\left\{L^{c}\right\}\right)-\left\{M^{c}\right\}=\left(s\left(B \tilde{\vee} L^{c}\right)-\left\{M^{c}\right\}\right)$. We therefore have
$s\left(C \tilde{\vee} L^{c}\right)=(s(A)-\{M\}) \cup\left(s(B)-\left\{M^{c}\right\}\right) \cup\left\{L^{c}\right\}=(s(A)-\{M\}) \cup\left(s\left(B \tilde{\vee} L^{c}\right)-\left\{M^{c}\right\}\right)$
And consequently $C \tilde{\vee} L^{C}$ is a resolvent of $A$ and $B \tilde{\vee} L^{C}$. Hence according to property 2.1.14, $\Gamma \vdash C \tilde{V} L^{C}$.
3. Suppose $\Gamma \vdash A \tilde{V} L^{C}$ and $\Gamma \vdash B$, by exchanging in the above case the roles of $A$ and $B$, we obtain $\Gamma \vdash C \tilde{V} L^{c}$.
4. Suppose $\Gamma \vdash A \tilde{V} L^{c}$ and $\Gamma \vdash B \tilde{V} L^{c}$, as above we obtain $\Gamma \vdash C \tilde{V} L^{c}$.

Conseauentlv in the four cases we have $\Gamma \vdash C$ or $\Gamma \vdash C \tilde{\vee} I^{c}$

## Lemma 2.1.23

Lemma 2.1.23
Let $\Gamma$ a set of clauses, $C$ a clause and $L$ a literal.
If $\Gamma[L:=\perp] \vdash C$ then $\Gamma \vdash C$ or $\Gamma \vdash C \tilde{\vee} L$.

## Proof.

## Lemma 2.1.23

Lemma 2.1.23
Let $\Gamma$ a set of clauses, $C$ a clause and $L$ a literal.
If $\Gamma[L:=\perp] \vdash C$ then $\Gamma \vdash C$ or $\Gamma \vdash C \tilde{\vee} L$.
Proof.
Suppose $\Gamma[L:=\perp] \vdash C$.

## Lemma 2.1.23

## Lemma 2.1.23

Let $\Gamma$ a set of clauses, $C$ a clause and $L$ a literal.
If $\Gamma[L:=\perp] \vdash C$ then $\Gamma \vdash C$ or $\Gamma \vdash C \tilde{\vee} L$.

## Proof.

Suppose $\Gamma[L:=\perp] \vdash C$. Since $\Gamma[L:=\perp]=\Gamma\left[L^{c}:=\top\right]$ and since $L^{C C}=L$, according to lemma 2.1.22 we have $\Gamma \vdash C$ or $\Gamma \vdash C \tilde{\vee} L$.

## Completeness of propositional resolution

## Theorem 2.1.24

Let $\Gamma$ a finite set of clauses. If $\Gamma$ is unsatisfiable then $\Gamma \vdash \perp$.

## Proof.

Suppose that $\Gamma$ is unsatisfiable.
We show that $\Gamma \vdash \perp$ by induction on the number of variables of $\Gamma$.

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## Completeness of propositional resolution

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Let $\Gamma$ unsatisfiable with $n$ variables. Let us show that $\Gamma \vdash \perp$. We distinguish two cases depending on whether $n$ is null or not.

## The base case (basis)

Suppose that $n$ is null.
Hence $\Gamma=\emptyset$ or $\Gamma=\{\perp\}$. The first case is impossible, since the empty set is valid (any truth assignment is a model of it). Hence $\Gamma=\{\perp\}$ and consequently $\Gamma \vdash \perp$.

## Inductive step

Suppose that $n$ is not null.
Let $x$ a variable appearing in $\Gamma$.

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Suppose that $n$ is not null.
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Since the variable $x$ does not appear in these two sets of clauses, the induction hypothesis applies, hence : $\Gamma[x:=\perp] \vdash \perp$ and $\Gamma[x:=\top] \vdash \perp$.

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Suppose that $n$ is not null.
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$\Gamma[x:=\perp]$ and $\Gamma[x:=\top]$ are unsatisfiable.
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$\Gamma[x:=\top] \vdash \perp$. From lemmas 2.1.22 and 2.1.23, we deduce either
$\Gamma \vdash \perp$, or $\Gamma \vdash \neg x$ and $\Gamma \vdash x$.

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## Inductive step

Suppose that $n$ is not null.
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Since the variable $x$ does not appear in these two sets of clauses, the induction hypothesis applies, hence : $\Gamma[x:=\perp] \vdash \perp$ and
$\Gamma[x:=\top] \vdash \perp$. From lemmas 2.1.22 and 2.1.23, we deduce either $\Gamma \vdash \perp$, or $\Gamma \vdash \neg x$ and $\Gamma \vdash x$. In the first case, the proof is finished. In the second case, since $\perp$ is a resolvent of $\neg x$ and $x$, we also have $\Gamma \vdash \perp$.

## Conclusion

Corollary 2.1.25
Let $\Gamma$ a finite set of clauses. $\Gamma$ is unsatisfiable if and only if $\Gamma \vdash \perp$.

## Plan

## Introduction

## Some definitions and notations

Correctness

Completeness

## Conclusion

## Conclusion : Today

- Formalisation of a deductive system
- Correctness of the system
- Completeness of the system


## Conclusion : Next course

- Comprehensive strategy
- Davis-Putnam


## Homework

Hypotheses:

- (H1) : If Peter is old, then John is not the son of Peter
- (H2) : If Peter is not old, then John is the son of Peter
- (H3) : If John is Peter's son then Mary is the sister of John

Conclusion (C) : Either Mary is the sister of John or Peter is old.
Prove, using resolution, that we can derive the conclusion C from the premises H1, H2, H3.

Hint : Transform into clauses the premises and the negation of the conclusion.

## Conclusion

# Thank you for your attention. 

## Questions?

