

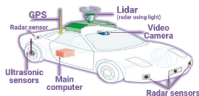
Learning Regular Languages over Large Alphabets

10 October 2017

Jury Members

| | | | |
|-----------------|--------------------|------------------|-----------|
| Oded Maler | Directeur de thèse | Laurent Fribourg | Examineur |
| Dana Angluin | Rapporteur | Eric Gaussier | Examineur |
| Peter Habermehl | Rapporteur | Frits Vaandrager | Examineur |

Tech for Self-Driving Car

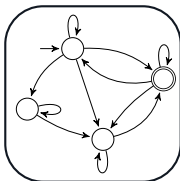


Black Box Learning



System Identification

Model



Language Identification



Inductive Inference



A Short Prehistory and History of Automaton Learning

- 1956 Edward F Moore. *Gedanken-experiments on sequential machines.*
Defines the problem as a black box model inference.
- 1967 E. Mark Gold. *Language identification in the limit.*
- 1972 E. Mark Gold. *System identification via state characterization.*
Learning finite automata is possible in finite time. He first uses the basic idea that underlies table-based methods.
- 1978 E. Mark Gold. *Complexity of automaton identification from given data.*
Finding the minimal automaton compatible with a given sample is NP-hard.
- 1987 Dana Angluin. *Learning regular sets from queries and counter-examples.*
The L^* active learning algorithm with membership and equivalence queries. Polynomial in the automaton size.
- 1993 Ronald L. Rivest and Robert E. Schapire. *Inference of finite automata using homing sequences.*
An improved version of the L^* algorithm using the breakpoint method to treat counter-examples.

Machine Learning

a small sample
 $M = \{(x, y) : x \in X, y \in Y\}$

Learn

Model

$f : X \rightarrow Y$
 $f(x) = y, \forall (x, y) \in M$
 predict or identify $f(x)$
 for all $x \in X$

Learning Regular Languages

over large or infinite alphabets

- Σ an alphabet
- $X = \Sigma^*$ set of words
- $Y = \{+, -\}$

Learn

Model

f is a language
 $L \subseteq \Sigma^*$

The model is an
symbolic automaton

Types of Learning

Off-line vs Online

The sample M is known before the learning procedure starts.

The sample M is updated during learning.

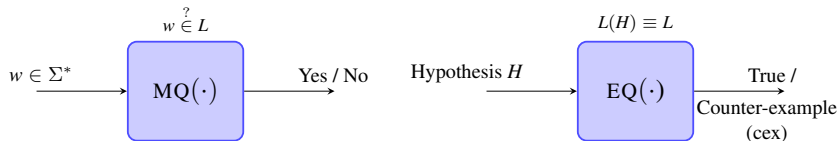
Passive vs Active

The sample M is given.

The sample M is chosen by the learning algorithm.

Learning using Queries

The learning algorithm can access queries e.g., membership queries, equivalence queries, etc.



Outline

Preliminaries

- Regular Languages and Automata

- The L^* Algorithmic Scheme

Large Alphabets

- Motivation

- Symbolic Representation of Transitions - Symbolic Automata

Learning Symbolic Automata

- Why L^* cannot be applied?

- Our Solution

- The Algorithm

Equivalence Queries and Counter-Examples

Adaptation to the Boolean Alphabet

Experimental Results

Conclusion

Outline

Preliminaries

Regular Languages and Automata

The L^* Algorithmic Scheme

Large Alphabets

Motivation

Symbolic Representation of Transitions - Symbolic Automata

Learning Symbolic Automata

Why L^* cannot be applied?

Our Solution

The Algorithm

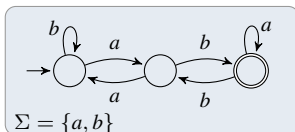
Equivalence Queries and Counter-Examples

Adaptation to the Boolean Alphabet

Experimental Results

Conclusion

Regular Languages and Automata

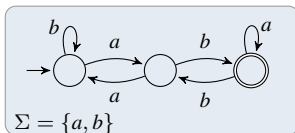


$L \subseteq \Sigma^*$ is a *language*

- Σ is an *alphabet*
- $w = a_1 \cdots a_n$ is a *word*
- Σ^* is the set of all words

| | | <i>suffixes</i> | | | | | | | |
|-----------------|------------|-----------------|----------|----------|----------|----------|----------|----------|----------|
| | | ϵ | a | b | aa | ab | ba | bb | aaa |
| <i>prefixes</i> | ϵ | — | — | — | — | + | — | — | — |
| | a | — | — | + | — | — | + | — | — |
| | b | — | — | — | — | + | — | — | — |
| | aa | — | — | — | — | + | — | — | — |
| | ab | + | + | — | + | — | — | + | + |
| | ba | — | — | + | — | — | + | — | — |
| | bb | — | — | — | — | + | — | — | — |
| | \vdots | \vdots | \vdots | \vdots | \vdots | \vdots | \vdots | \vdots | \ddots |
| | aba | + | + | — | + | — | — | + | + |
| | abb | — | — | + | — | — | + | — | — |
| | \vdots | \vdots | \vdots | \vdots | \vdots | \vdots | \vdots | \vdots | \ddots |

Regular Languages and Automata



$L \subseteq \Sigma^*$ is a *language*

Equivalence relation

$u \sim_L v$ iff $u \cdot w \in L \Leftrightarrow v \cdot w \in L$

Nerode's Theorem

L is a regular language iff \sim_L has finitely many equivalence classes.

| | <i>suffixes</i> | | | | | | | |
|---------------|-----------------|----------|----------|----------|----------|----------|----------|----------|
| | ε | a | b | aa | ab | ba | bb | aaa |
| ε | — | — | — | — | + | — | — | — |
| a | — | — | + | — | — | + | — | — |
| b | — | — | — | — | + | — | — | — |
| aa | — | — | — | — | + | — | — | — |
| ab | + | + | — | + | — | — | + | + |
| ba | — | — | + | — | — | + | — | — |
| bb | — | — | — | — | + | — | — | — |
| \vdots | \vdots | \vdots | \vdots | \vdots | \vdots | \vdots | \vdots | \ddots |
| aba | + | + | — | + | — | — | + | + |
| abb | — | — | + | — | — | + | — | — |
| \vdots | \vdots | \vdots | \vdots | \vdots | \vdots | \vdots | \vdots | \ddots |

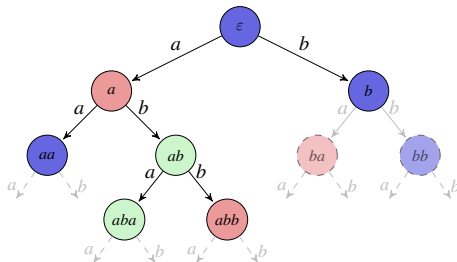
$Q = \Sigma^* / \sim$ (states in the minimal representation of L .)

$\varepsilon \sim b \sim aa \quad a \sim ba \sim abb \quad ab \sim aba$

Regular Languages and Automata

A sufficient sample that characterizes the language

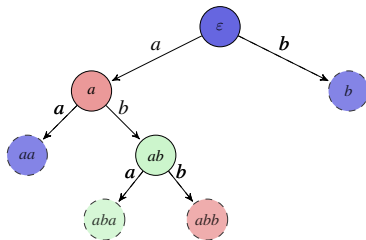
| | ϵ | a | b |
|------------|------------|-----|-----|
| ϵ | — | — | — |
| a | — | — | + |
| ab | + | + | — |
| b | — | — | — |
| aa | — | — | — |
| aba | + | + | — |
| abb | — | — | + |



Regular Languages and Automata

A sufficient sample that characterizes the language

| | | E | | |
|-----|---------------|---------------|-----|-----|
| | | ε | a | b |
| S | ε | — | — | — |
| | a | — | — | + |
| | ab | + | + | — |
| R | b | — | — | — |
| | aa | — | — | — |
| | aba | + | + | — |
| | abb | — | — | + |



S prefixes (states)

R boundary ($R = S \cdot \Sigma \setminus S$)

E suffixes (distinguishing strings)

$f : S \cup R \times E \rightarrow \{+, -\}$ classif. function

$f_s : E \rightarrow \{+, -\}$ residual functions

Regular Languages and Automata

A sufficient sample that characterizes the language

| | | E | | |
|-----|---------------|---------------|-----|-----|
| | | ε | a | b |
| S | ε | — | — | — |
| | a | — | — | + |
| | ab | + | + | — |
| R | b | — | — | — |
| | aa | — | — | — |
| | aba | + | + | — |
| | abb | — | — | + |

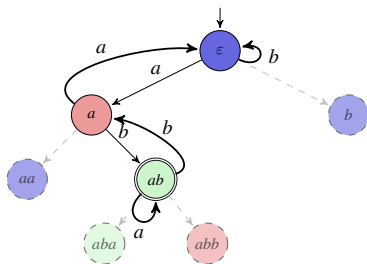
S prefixes (states)

R boundary ($R = S \cdot \Sigma \setminus S$)

E suffixes (distinguishing strings)

$f : S \cup R \times E \rightarrow \{+, -\}$ classif. function

$f_s : E \rightarrow \{+, -\}$ residual functions



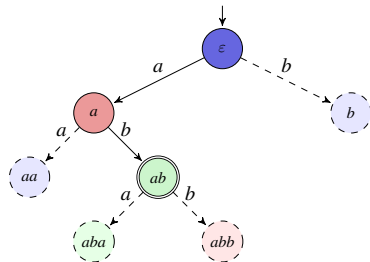
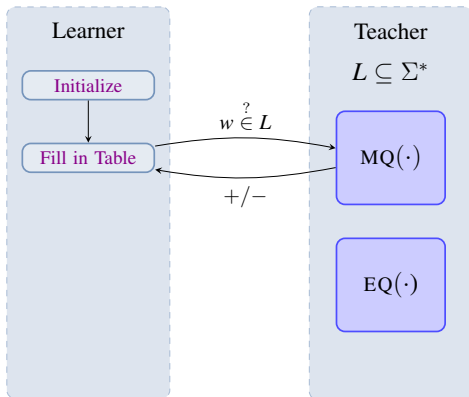
$$\mathcal{A}_L = (\Sigma, Q, q_0, \delta, F)$$

- $Q = S$
- $q_0 = [\varepsilon]$
- $\delta([u], a) = [u \cdot a]$
- $F = \{[u] : (u \cdot \varepsilon) \in L\}$

The **minimal automaton** for L

The L^* Algorithmic Scheme*

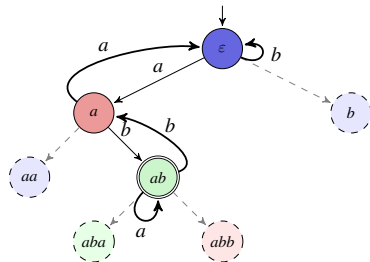
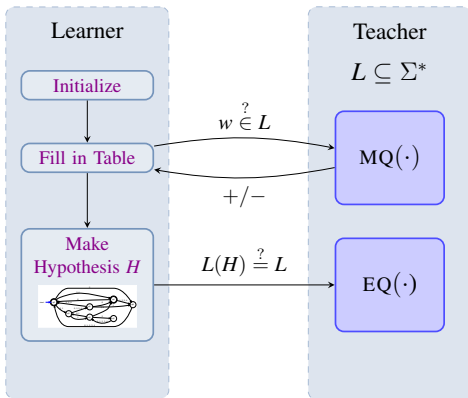
Active learning using queries



*D. Angluin. *Learning regular sets from queries and counter-examples*, 1987.

The L^* Algorithmic Scheme*

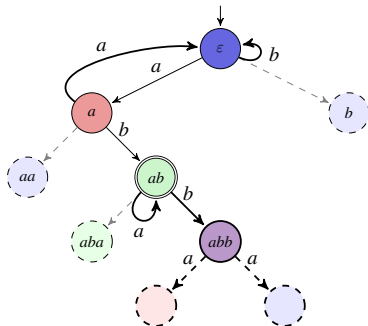
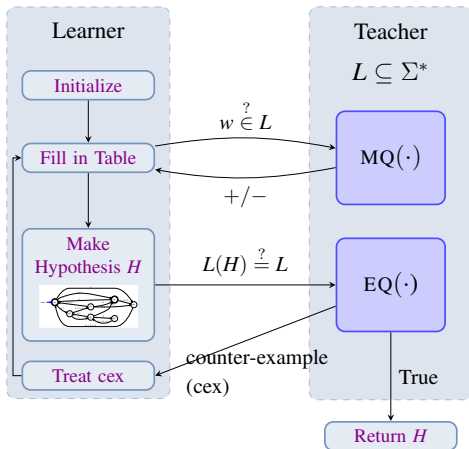
Active learning using queries



*D. Angluin. *Learning regular sets from queries and counter-examples*, 1987.

The L^* Algorithmic Scheme*

Active learning using queries



*D. Angluin. *Learning regular sets from queries and counter-examples*, 1987.

Outline

Preliminaries

Regular Languages and Automata

The L^* Algorithmic Scheme

Large Alphabets

Motivation

Symbolic Representation of Transitions - Symbolic Automata

Learning Symbolic Automata

Why L^* cannot be applied?

Our Solution

The Algorithm

Equivalence Queries and Counter-Examples

Adaptation to the Boolean Alphabet

Experimental Results

Conclusion

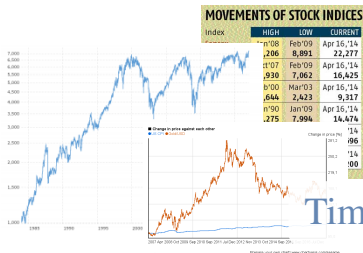
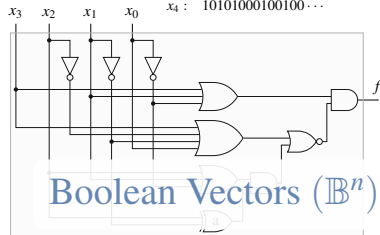
Languages over Large Alphabets

| | U+0000 | U+0001 | U+0002 | U+0003 | U+0004 | U+0005 | U+0006 | U+0007 | U+0008 | U+0009 | U+000A | U+000B | U+000C | U+000D | U+000E | U+000F |
|---|--------|--------|--------|--------|--------|--------|--------|--------|--------|--------|--------|--------|--------|--------|--------|--------|
| 0 | | | | | | | | | | | | | | | | |
| 1 | | | | | | | | | | | | | | | | |
| 2 | | | | | | | | | | | | | | | | |
| 3 | | | | | | | | | | | | | | | | |
| 4 | | | | | | | | | | | | | | | | |
| 5 | | | | | | | | | | | | | | | | |

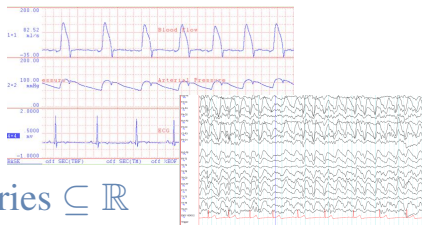
UNICODE $\subseteq \mathbb{N}$

Input:

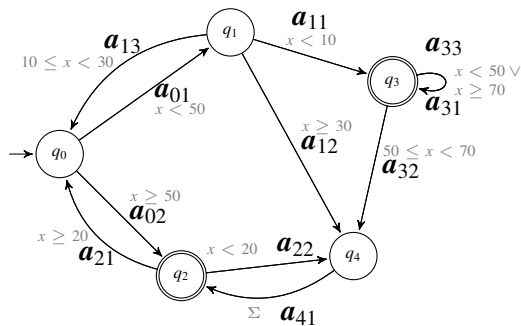
$x_1 : 10101010000100 \dots$
 $x_2 : 10100100100100 \dots$
 $x_3 : 10101000010001 \dots$
 $x_4 : 10101000100100 \dots$



Time Series $\subseteq \mathbb{R}$



Symbolic Automata



$$\mathcal{A} = (\Sigma, \Sigma, \psi, Q, \delta, q_0, F)$$

- Q finite set of states,
- q_0 initial state,
- F accepting states,
- Σ large concrete alphabet,
- $\delta \subseteq Q \times \Sigma \times Q$
- Σ finite alphabet (symbols)
- $\psi_q : \Sigma \rightarrow \Sigma_q, q \in Q$
- $\llbracket a \rrbracket = \{a \in \Sigma \mid \psi(a) = a\}$

$$\Sigma \subseteq \mathbb{R}$$

$$\llbracket a_{01} \rrbracket = \{x \in \Sigma : x < 50\}$$

$$(w = 20 \cdot 40 \cdot 60, +)$$

$$w = a_{01} \cdot a_{12} \cdot a_{41}$$

\mathcal{A} is **complete and deterministic** if $\forall q \in Q$
 $\{\llbracket a \rrbracket \mid a \in \Sigma_q\}$ forms a *partition* of Σ .

Outline

Preliminaries

Regular Languages and Automata

The L^* Algorithmic Scheme

Large Alphabets

Motivation

Symbolic Representation of Transitions - Symbolic Automata

Learning Symbolic Automata

Why L^* cannot be applied?

Our Solution

The Algorithm

Equivalence Queries and Counter-Examples

Adaptation to the Boolean Alphabet

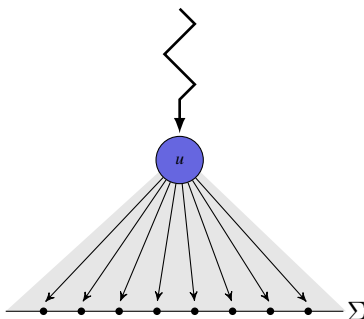
Experimental Results

Conclusion

Learning over Large Alphabets

Why L^* cannot be applied?

- The learner asks MQ's for all continuations of a state ($\forall a \in \Sigma$, ask $\text{MQ}(u \cdot a)$)
- Inefficient for large finite alphabets
- Not applicable to infinite alphabets



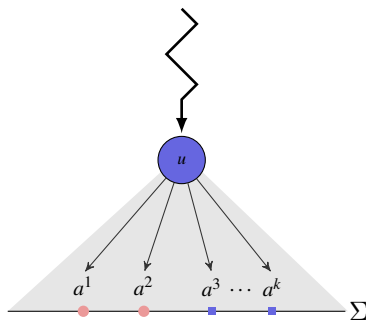
Learning over Large Alphabets

Why L^* cannot be applied?

- The learner asks MQ's for all continuations of a state ($\forall a \in \Sigma$, ask $\text{MQ}(u \cdot a)$)
- Inefficient for large finite alphabets
- Not applicable to infinite alphabets

Our solution:

- Use a finite sample of **evidences** to learn the transitions



Evidences:

$$\mu(a) = \{a^1, a^2\}$$

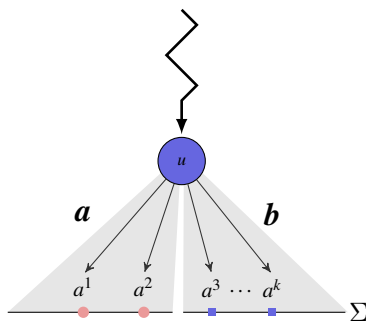
Learning over Large Alphabets

Why L^* cannot be applied?

- The learner asks MQ's for all continuations of a state ($\forall a \in \Sigma$, ask $\text{MQ}(u \cdot a)$)
- Inefficient for large finite alphabets
- Not applicable to infinite alphabets

Our solution:

- Use a finite sample of **evidences** to learn the transitions
- Form **evidence compatible** partitions
- Associate a **symbol** to each partition block



Evidences:

$$\mu(a) = \{a^1, a^2\}$$

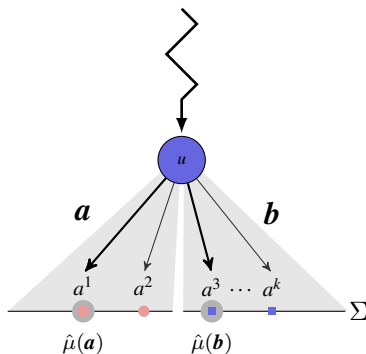
Learning over Large Alphabets

Why L^* cannot be applied?

- The learner asks MQ's for all continuations of a state ($\forall a \in \Sigma$, ask $\text{MQ}(u \cdot a)$)
- Inefficient for large finite alphabets
- Not applicable to infinite alphabets

Our solution:

- Use a finite sample of **evidences** to learn the transitions
- Form **evidence compatible** partitions
- Associate a **symbol** to each partition block
- Each symbol has one **representative** evidence



Evidences: $\mu(a) = \{a^1, a^2\}$

Representative: $\hat{\mu}(a) = a^1$

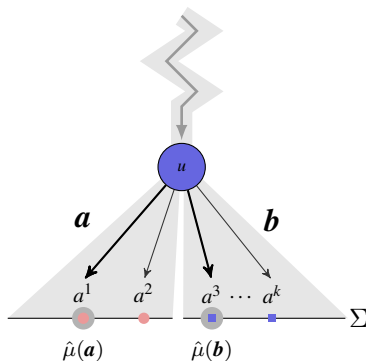
Learning over Large Alphabets

Why L^* cannot be applied?

- The learner asks MQ's for all continuations of a state ($\forall a \in \Sigma$, ask $\text{MQ}(u \cdot a)$)
- Inefficient for large finite alphabets
- Not applicable to infinite alphabets

Our solution:

- Use a finite sample of **evidences** to learn the transitions
- Form **evidence compatible** partitions
- Associate a **symbol** to each partition block
- Each symbol has one **representative** evidence
- The **prefixes** are symbolic



Evidences: $\mu(a) = \{a^1, a^2\}$

Representative: $\hat{\mu}(a) = a^1$

Symbolic Learning Algorithm

Learner

Symbolic Learning Algorithm

Learner

Initialize

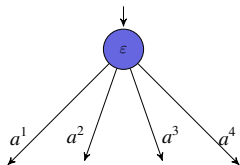


Symbolic Learning Algorithm

Learner

Initialize

Fill in Table
partially



Repeat for each new state q :

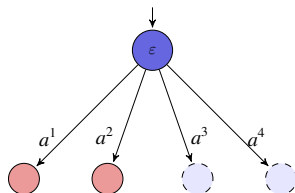
- Sample *evidences*

Symbolic Learning Algorithm

Learner

Initialize

Fill in Table
partially



Repeat for each new state q :

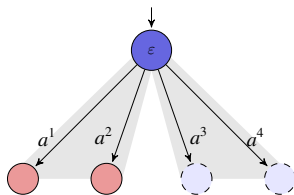
- Sample *evidences*
- Ask MQ's

Symbolic Learning Algorithm

Learner

Initialize

Fill in Table
partially



Repeat for each new state q :

- Sample *evidences*
- Ask MQ's
- Learn *partitions*

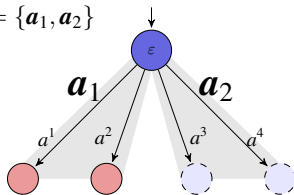
Symbolic Learning Algorithm

Learner

Initialize

Fill in Table
partially

$$\Sigma_\varepsilon = \{a_1, a_2\}$$



Repeat for each new state q :

- Sample *evidences*
- Ask MQ's
- Learn *partitions*
- Define the *symbolic alphabet* Σ_q

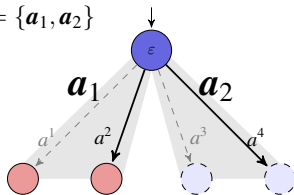
Symbolic Learning Algorithm

Learner

Initialize

Fill in Table
partially

$$\Sigma_\epsilon = \{a_1, a_2\}$$



Repeat for each new state q :

- Sample *evidences*
- Ask MQ's
- Learn *partitions*
- Define the *symbolic alphabet* Σ_q
- Select *representative* $\hat{\mu}(a), \forall a \in \Sigma_q$

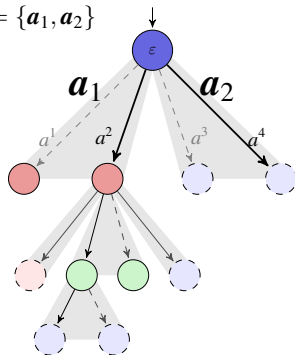
Symbolic Learning Algorithm

Learner

Initialize

Fill in Table
partially

$$\Sigma_\epsilon = \{a_1, a_2\}$$



Repeat for each new state q :

- Sample *evidences*
- Ask MQ's
- Learn *partitions*
- Define the *symbolic alphabet* Σ_q
- Select *representative* $\hat{\mu}(a), \forall a \in \Sigma_q$

Symbolic Learning Algorithm

Learner

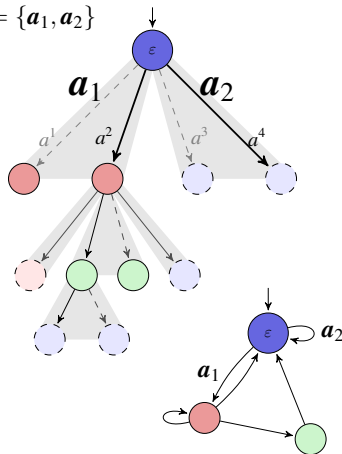
Initialize

Fill in Table
partially

Make
Hypothesis H



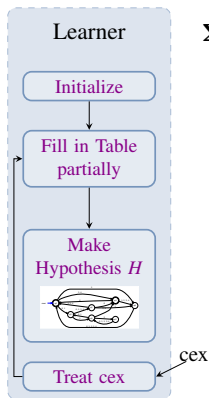
$$\Sigma_\varepsilon = \{a_1, a_2\}$$



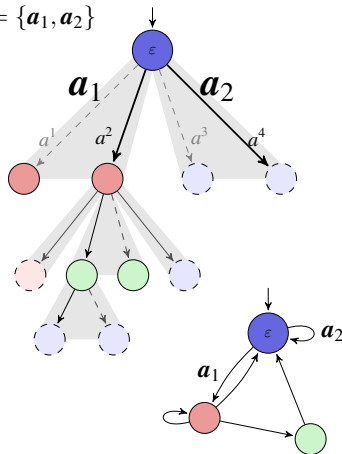
Repeat for each new state q :

- Sample *evidences*
- Ask MQ's
- Learn *partitions*
- Define the *symbolic alphabet* Σ_q
- Select *representative* $\hat{\mu}(a), \forall a \in \Sigma_q$

Symbolic Learning Algorithm



$$\Sigma_\varepsilon = \{a_1, a_2\}$$

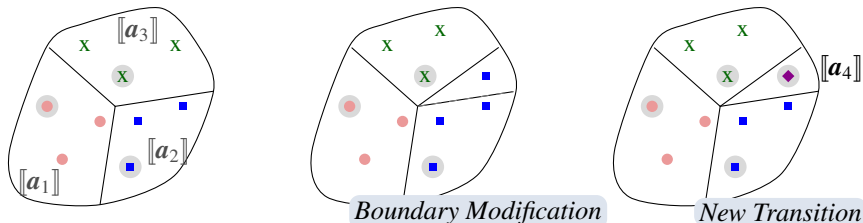


Repeat for each new state q :

- Sample *evidences*
- Ask MQ's
- Learn *partitions*
- Define the *symbolic alphabet* Σ_q
- Select *representative* $\hat{\mu}(a), \forall a \in \Sigma_q$

Evidence Compatibility

Solve Incompatibility



Evidence Compatibility

A state u is *evidence compatible*
when

$$f_{u \cdot a} = f_{u \cdot \hat{\mu}(a)}$$

for every evidence $a \in \llbracket a \rrbracket$

Evidence incompatibility at state u

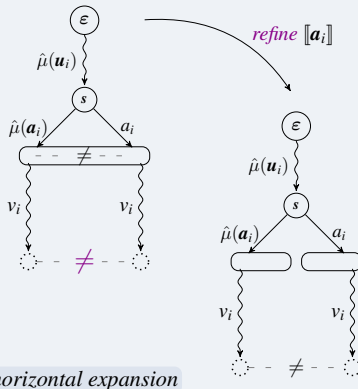
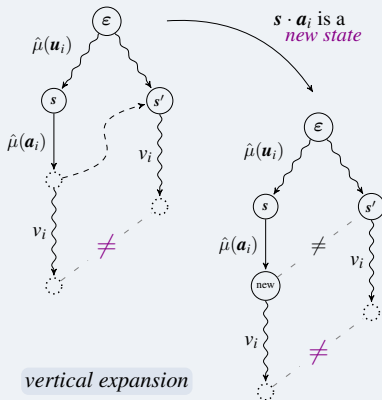
| | v | | |
|------------------------|---------|-----|---------|
| | $:$ | | |
| $u \cdot \hat{\mu}(a)$ | \dots | $+$ | \dots |
| $u \cdot a$ | \dots | $-$ | \dots |

Counter-example Treatment (Symbolic Breakpoint)

Let $w = a_1 \cdots a_i \cdots a_{|w|} = u_i \cdot a_i \cdot v_i$ be a counter-example.

$$f(\hat{\mu}(s_{i-1} \cdot a_i) \cdot v_i) \neq f(\hat{\mu}(s_i) \cdot v_i) \quad f(\hat{\mu}(s_{i-1}) \cdot a_i \cdot v_i) \neq f(\hat{\mu}(s_{i-1}) \cdot \hat{\mu}(a_i) \cdot v_i)$$

$$s_i = \delta(\varepsilon, u_i \cdot a_i)$$

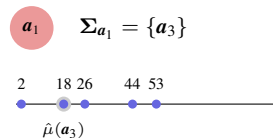
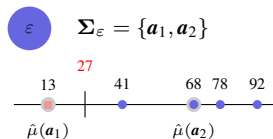


Example over the alphabet $\Sigma = [1, 100]$

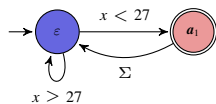
observation table

| | ε |
|--------------------|---------------|
| ε | — |
| 13 a_1 | + |
| 68 a_2 | — |
| 13 18 $a_1 a_3$ | — |

semantics



hypothesis automaton

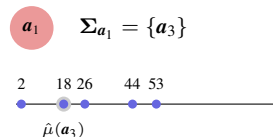
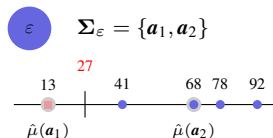


Example over the alphabet $\Sigma = [1, 100]$

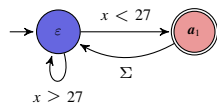
observation table

| | ε | 11 |
|--------------------|---------------|----|
| ε | — | + |
| 13 a_1 | + | — |
| 68 a_2 | — | — |
| 13 18 $a_1 a_3$ | — | + |

semantics



hypothesis automaton



Ask Equivalence Query:

counter-example:

$w = 35 \cdot 52 \cdot 11, -$

add distinguishing string 11

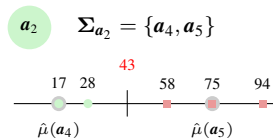
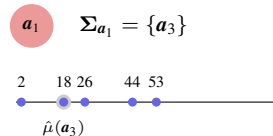
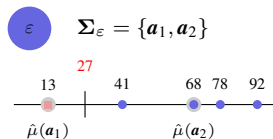
discover new state
(vertical expansion)

Example over the alphabet $\Sigma = [1, 100]$

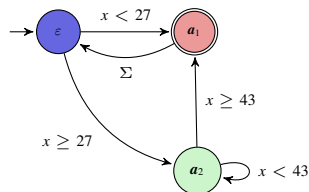
observation table

| | ε | 11 |
|--------------------|---------------|----|
| ε | — | + |
| 13 a_1 | + | — |
| 68 a_2 | — | — |
| 13 18 $a_1 a_3$ | — | + |
| 68 17 $a_2 a_4$ | — | — |
| 68 75 $a_2 a_5$ | + | — |

semantics



hypothesis automaton

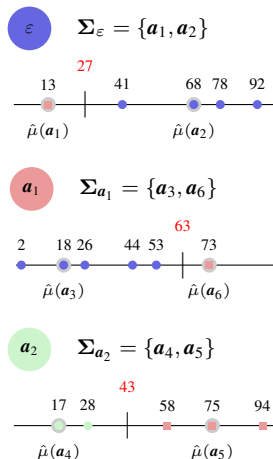


Example over the alphabet $\Sigma = [1, 100]$

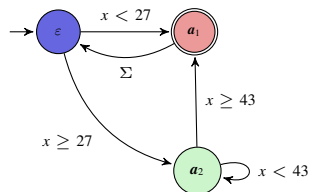
observation table

| | ε | 11 |
|--------------------|---------------|----|
| ε | — | + |
| 13 a_1 | + | — |
| 68 a_2 | — | — |
| 13 18 $a_1 a_3$ | — | + |
| 13 73 $a_1 a_6$ | + | — |
| 68 17 $a_2 a_4$ | — | — |
| 68 75 $a_2 a_5$ | + | — |

semantics



hypothesis automaton



Ask Equivalence Query:
counter-example:

$w = 12 \cdot 73 \cdot 4, -$

add 73 as evidence of a_1

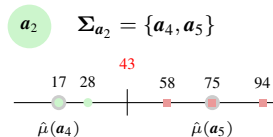
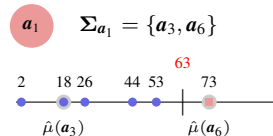
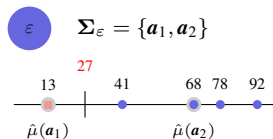
*add new transition
(horizontal expansion)*

Example over the alphabet $\Sigma = [1, 100]$

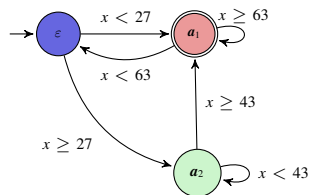
observation table

| | ε | 11 |
|--------------------|---------------|----|
| ε | — | + |
| 13 a_1 | + | — |
| 68 a_2 | — | — |
| 13 18 $a_1 a_3$ | — | + |
| 13 73 $a_1 a_6$ | + | — |
| 68 17 $a_2 a_4$ | — | — |
| 68 75 $a_2 a_5$ | + | — |

semantics



hypothesis automaton

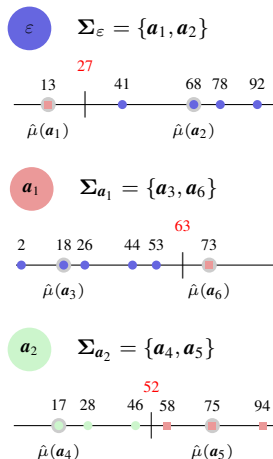


Example over the alphabet $\Sigma = [1, 100]$

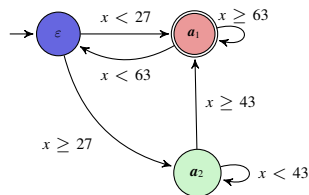
observation table

| | ε | 11 |
|--------------------|---------------|----|
| ε | — | + |
| 13 a_1 | + | — |
| 68 a_2 | — | — |
| 13 18 $a_1 a_3$ | — | + |
| 13 73 $a_1 a_6$ | + | — |
| 68 17 $a_2 a_4$ | — | — |
| 68 75 $a_2 a_5$ | + | — |

semantics



hypothesis automaton



Ask Equivalence Query:
counter-example: $w = 52 \cdot 46, -$

add 46 as evidence of a_2

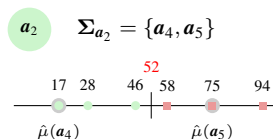
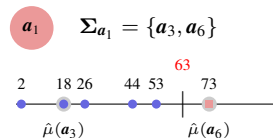
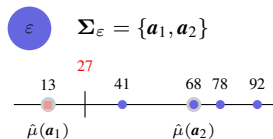
*refine existing transition
(horizontal expansion)*

Example over the alphabet $\Sigma = [1, 100]$

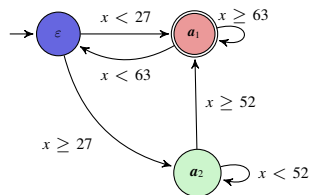
observation table

| | ε | 11 |
|--------------------|---------------|----|
| ε | — | + |
| 13 a_1 | + | — |
| 68 a_2 | — | — |
| 13 18 $a_1 a_3$ | — | + |
| 13 73 $a_1 a_6$ | + | — |
| 68 17 $a_2 a_4$ | — | — |
| 68 75 $a_2 a_5$ | + | — |

semantics



hypothesis automaton



Ask Equivalence Query:

True

return current hypothesis

return hypothesis

Outline

Preliminaries

Regular Languages and Automata

The L^* Algorithmic Scheme

Large Alphabets

Motivation

Symbolic Representation of Transitions - Symbolic Automata

Learning Symbolic Automata

Why L^* cannot be applied?

Our Solution

The Algorithm

Equivalence Queries and Counter-Examples

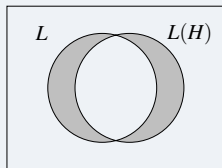
Adaptation to the Boolean Alphabet

Experimental Results

Conclusion

Equivalence Queries and Counter-Examples

What is the error?



All $w \in L \oplus L(H)$
are counter-examples

A **helpful teacher** can compute $L \oplus L(H)$ to find counter-examples.

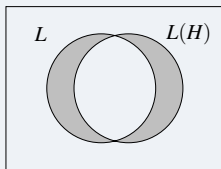
When the teacher provides *minimal counter-examples* (i.e., minimal in length-lexicographic order), then

- one evidence per partition is used
- the boundaries are exactly determined
- final hypothesis contains no error

The algorithm terminates with a correct conjecture after asking at most $\mathcal{O}(mn^2)$ MQ's and at most $\mathcal{O}(mn)$ EQ's, when Σ is totally-ordered.

Equivalence Queries and Counter-Examples

What is the error?



All $w \in L \oplus L(H)$
are counter-examples

In the absence of a helpful teacher and the learner can use only MQ's

EQ's are approximated by testing:

- select a set of words randomly
- ask MQ's for them
- check if the result matches with H
- return counter-example

A hypothesis automaton H is *Probably Approximately Correct* (PAC) iff

$$\Pr(\mathcal{P}(L \oplus L(H)) < \epsilon) > 1 - \delta.$$

Sufficient tests for a hypothesis H_i to be PAC: $r_i = \frac{1}{\epsilon}(\ln \frac{1}{\delta} + (i+1) \ln 2)$.

[Ang87]

Outline

Preliminaries

- Regular Languages and Automata

- The L^* Algorithmic Scheme

Large Alphabets

- Motivation

- Symbolic Representation of Transitions - Symbolic Automata

Learning Symbolic Automata

- Why L^* cannot be applied?

- Our Solution

- The Algorithm

Equivalence Queries and Counter-Examples

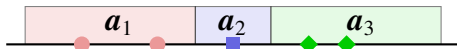
Adaptation to the Boolean Alphabet

Experimental Results

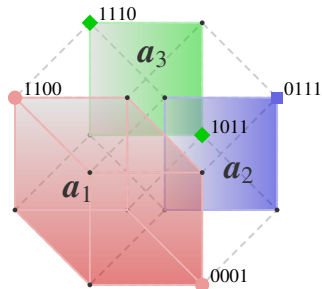
Conclusion

Adaptation to the Boolean Alphabet

Partition of \mathbb{R} (or \mathbb{N}) into finite number of intervals



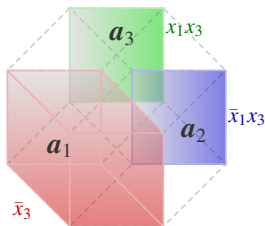
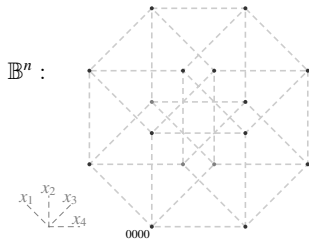
Partition of \mathbb{B}^n into finite number of cubes



Adaptation to the Boolean Alphabet

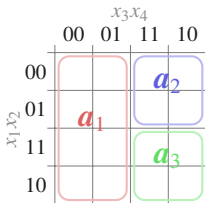
Representations of the Boolean Cube

$$\psi : \mathbb{B}^4 \rightarrow \{a_1, a_2, a_3\}$$

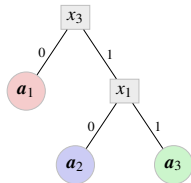


$$\psi(a) = \begin{cases} a_1, & \text{if } \bar{x}_3 \\ a_2, & \text{if } \bar{x}_1 \cdot x_3 \\ a_3, & \text{if } x_1 \cdot x_3 \end{cases}$$

Boolean Function



Karnaugh map



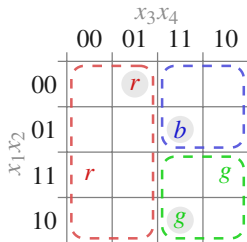
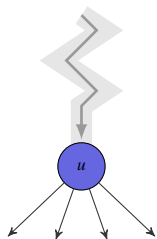
Binary Decision Tree

Adaptation to the Boolean Alphabet

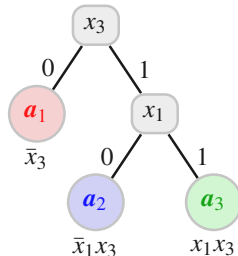
Learning Partitions

$$\Sigma = \mathbb{B}^4$$

Learning Binary Decision Trees using the Greedy Splitting Algorithm CART[†]



Best split: x_1



$$\psi(a) = \begin{cases} a_1, & \text{if } \bar{x}_3 \\ a_2, & \text{if } \bar{x}_1 \cdot x_3 \\ a_3, & \text{if } x_1 \cdot x_3 \end{cases}$$

Use Information Gain (Entropy)
Measure to find Best Split

[†]Breiman et al. *Classification and regression trees*, 1984.

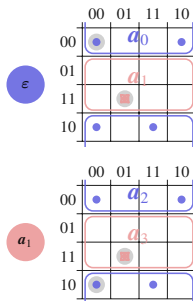
Adaptation to the Boolean Alphabet

Example over $\Sigma = \mathbb{B}^4$

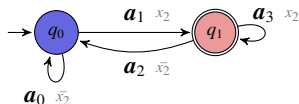
observation table

| | ε |
|---------------|---------------|
| ε | — |
| a_1 | + |
| <hr/> | |
| a_0 | — |
| $a_1 a_2$ | — |
| $a_1 a_3$ | + |

semantics



hypothesis automaton



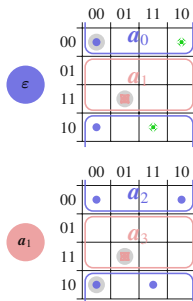
Adaptation to the Boolean Alphabet

Example over $\Sigma = \mathbb{B}^4$

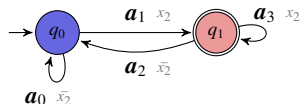
observation table

| | ε | 0000 |
|---------------|---------------|------|
| ε | — | — |
| a_1 | + | — |
| a_0 | — | — |
| $a_1 a_2$ | — | + |
| $a_1 a_3$ | + | — |

semantics



hypothesis automaton



Ask Equivalence Query:

counter-example:

$w = (1010) \cdot (0000)$, +

$w = a_0 \cdot a_0$, -

add distinguishing string (0000)

discover new state

evidence incompatibility

Adaptation to the Boolean Alphabet

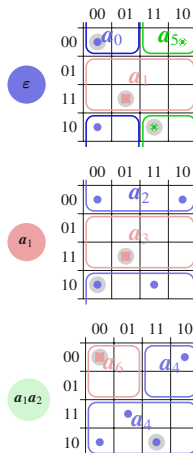
Example over $\Sigma = \mathbb{B}^4$

observation table

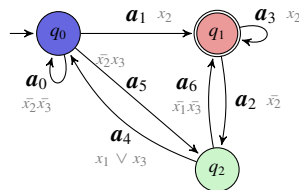
| | ε | 0000 |
|---------------|---------------|------|
| ε | — | — |
| a_1 | + | — |
| $a_1 a_2$ | — | + |

| | | |
|---------------|---|---|
| a_0 | — | — |
| a_5 | — | + |
| $a_1 a_3$ | + | — |
| $a_1 a_2 a_4$ | — | — |
| $a_1 a_2 a_6$ | + | — |

semantics



hypothesis automaton



Ask Equivalence Query:

Adaptation to the Boolean Alphabet

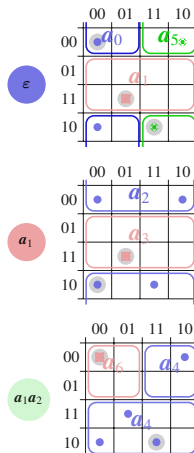
Example over $\Sigma = \mathbb{B}^4$

observation table

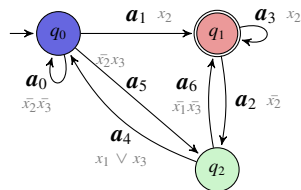
| | ε | 0000 |
|---------------|---------------|------|
| ε | — | — |
| a_1 | + | — |
| $a_1 a_2$ | — | + |

| | | |
|---------------|---|---|
| a_0 | — | — |
| a_5 | — | + |
| $a_1 a_3$ | + | — |
| $a_1 a_2 a_4$ | — | — |
| $a_1 a_2 a_6$ | + | — |

semantics



hypothesis automaton



Ask Equivalence Query:

True

terminate: Return H

Outline

Preliminaries

Regular Languages and Automata

The L^* Algorithmic Scheme

Large Alphabets

Motivation

Symbolic Representation of Transitions - Symbolic Automata

Learning Symbolic Automata

Why L^* cannot be applied?

Our Solution

The Algorithm

Equivalence Queries and Counter-Examples

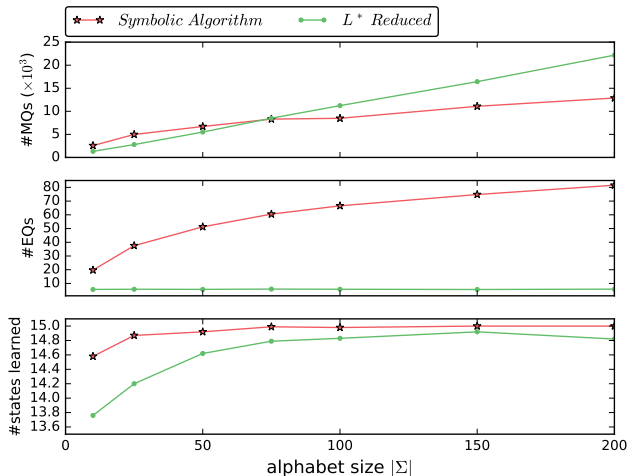
Adaptation to the Boolean Alphabet

Experimental Results

Conclusion

Empirical Results

Comparison to the best L^* algorithm[‡]



Experiment:

Target automaton:

- $\Sigma \subseteq \mathbb{N}$
- $10 \leq |\Sigma| \leq 200$
- $|Q| = 15$,
- $|\Sigma_q| \leq 5, \forall q \in Q$

Structure is fixed

PAC criterion for

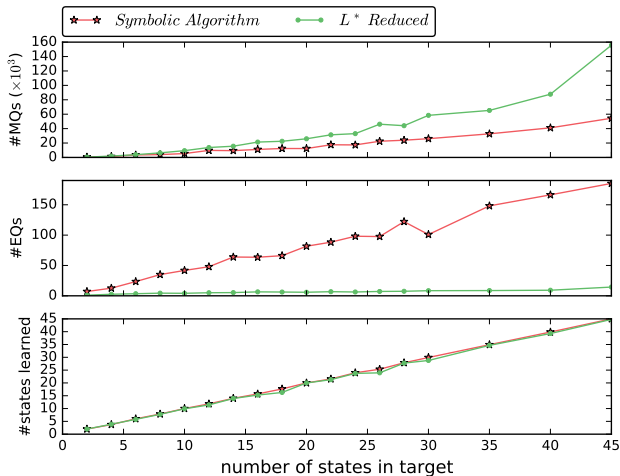
$\epsilon = \delta = 0.05$

MQ's = MQ's for
learning + MQ's for
testing

[‡]Rivest and Schapire. *Inference of finite automata using homing sequences*, 1993.

Empirical Results

Comparison to the best L^* algorithm[§]



Experiment:

Target automaton:

- $\Sigma \subseteq \mathbb{N}$
- $|\Sigma| = 150$
- $3 \leq |Q| \leq 45$
- $|\Sigma_q| \leq 5, \forall q \in Q$

Random structure

PAC criterion for

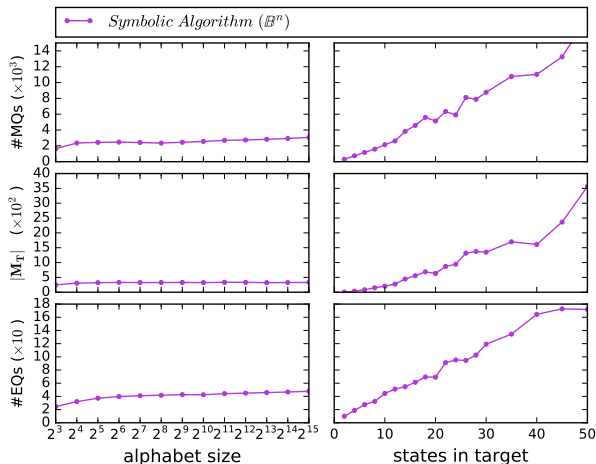
$\epsilon = \delta = 0.05$

MQ's = MQ's for
learning + MQ's for
testing

[§]Rivest and Schapire. *Inference of finite automata using homing sequences*, 1993.

Empirical Results

Applying the symbolic algorithm over the Booleans



Experiment:

Target automaton:

Left: $|Q| = 15$
 $2^3 \leq |\Sigma| \leq 2^{15}$

Right: $|\Sigma| = \mathbb{B}^8$
 $3 \leq |Q| \leq 50$

BDTs depth ≤ 4 ,
 $\forall q \in Q$

PAC criterion for
 $\epsilon = \delta = 0.05$

MQ's = MQ's for
 learning + MQ's for
 testing

Empirical Results

Valid passwords over the ASCII characters

| | | | | | | | | | | | | | | | |
|----|-----|----|-----|----|-----|----|---|----|---|----|---|-----|---|-----|-----|
| 0 | NUL | 16 | DLE | 32 | SPC | 48 | 0 | 64 | @ | 80 | P | 96 | ` | 112 | p |
| 1 | SOH | 17 | DC1 | 33 | ! | 49 | 1 | 65 | A | 81 | Q | 97 | a | 113 | q |
| 2 | STX | 18 | DC2 | 34 | " | 50 | 2 | 66 | B | 82 | R | 98 | b | 114 | r |
| 3 | ETX | 19 | DC3 | 35 | # | 51 | 3 | 67 | C | 83 | S | 99 | c | 115 | s |
| 4 | EOT | 20 | DC4 | 36 | \$ | 52 | 4 | 68 | D | 84 | T | 100 | d | 116 | t |
| 5 | ENQ | 21 | NAK | 37 | % | 53 | 5 | 69 | E | 85 | U | 101 | e | 117 | u |
| 6 | ACK | 22 | SYN | 38 | & | 54 | 6 | 70 | F | 86 | V | 102 | f | 118 | v |
| 7 | BEL | 23 | ETB | 39 | ' | 55 | 7 | 71 | G | 87 | W | 103 | g | 119 | w |
| 8 | BS | 24 | CAN | 40 | (| 56 | 8 | 72 | H | 88 | X | 104 | h | 120 | x |
| 9 | HT | 25 | EM | 41 |) | 57 | 9 | 73 | I | 89 | Y | 105 | i | 121 | y |
| 10 | LF | 26 | SUB | 42 | * | 58 | : | 74 | J | 90 | Z | 106 | j | 122 | z |
| 11 | VT | 27 | ESC | 43 | + | 59 | ; | 75 | K | 91 | [| 107 | k | 123 | { |
| 12 | FF | 28 | FS | 44 | , | 60 | < | 76 | L | 92 | \ | 108 | l | 124 | |
| 13 | CR | 29 | GS | 45 | - | 61 | = | 77 | M | 93 |] | 109 | m | 125 | } |
| 14 | SO | 30 | RS | 46 | . | 62 | > | 78 | N | 94 | ^ | 110 | n | 126 | ~ |
| 15 | SI | 31 | US | 47 | / | 63 | ? | 79 | O | 95 | _ | 111 | o | 127 | DEL |

Control Characters

Numerals

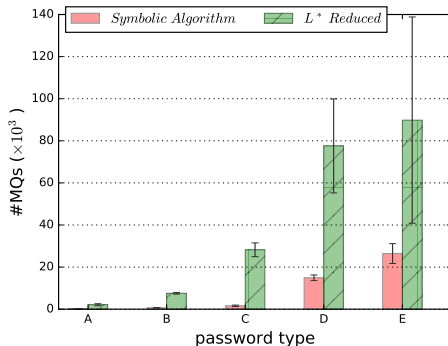
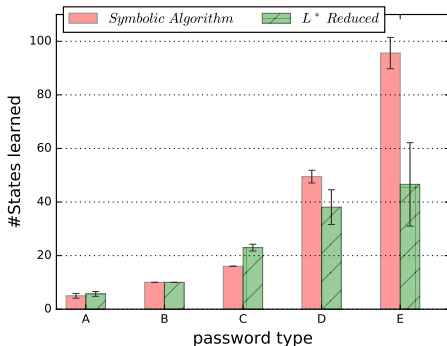
Lower-Case Letters

Punctuation Symbols

Upper-Case Letters

Empirical Results

Valid passwords over the ASCII characters
 The Symbolic Algorithm, L^* – Reduced: [RS93]



A (pin)

Length: 4 to 8.
 Contains only numbers.

B (easy)

Length: 4 to 8.
 It contains any printable character.

C (medium)

Length: 6 to 14.
 Contains any printable character but punctuation characters.

D (medium-strong)

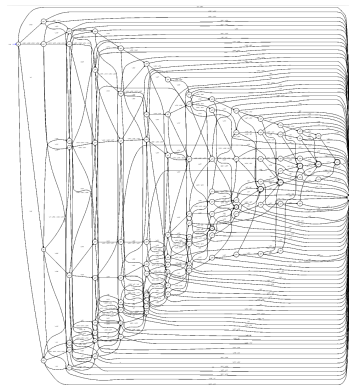
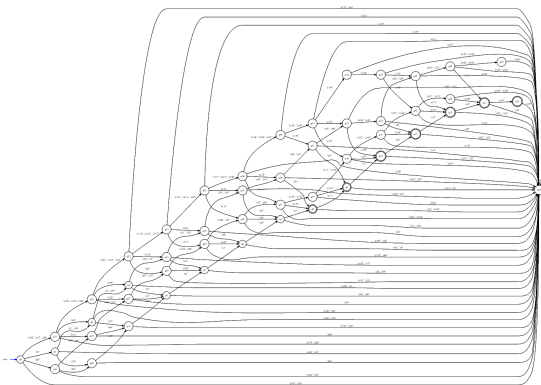
Length: 6 to 14.
 Contains at least 1 number and 1 lower-case letter. Punctuation characters are allowed.

E (strong)

Length: 6 to 14.
 Contains at least 1 character from each group.

Empirical Results

Valid passwords over the ASCII characters



A (pin)

Length: 4 to 8.
Contains only numbers.

B (easy)

Length: 4 to 8.
It contains any printable character.

C (medium)

Length: 6 to 14.
Contains any printable character but punctuation characters.

D (medium-strong)

Length: 6 to 14.
Contains at least 1 number and 1 lower-case letter. Punctuation characters are allowed.

E (strong)

Length: 6 to 14.
Contains at least 1 character from each group.

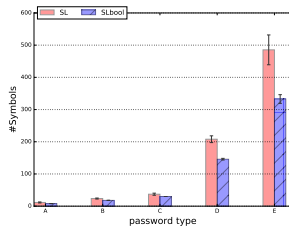
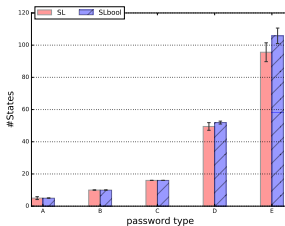
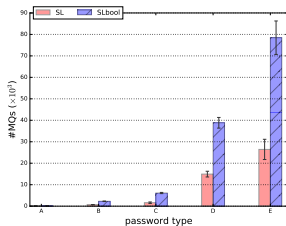
Empirical Results

Valid passwords over the ASCII characters

$$\Sigma = \{0, 1, \dots, 127\}$$

| | | | | | | | | | | | | | | | |
|----|-----|----|-----|----|-----|----|---|----|---|----|---|-----|---|-----|-----|
| 0 | NUL | 16 | DLE | 32 | SPC | 48 | 0 | 64 | @ | 80 | P | 96 | ' | 112 | p |
| 1 | SOH | 17 | DC1 | 33 | ! | 49 | 1 | 65 | A | 81 | Q | 97 | a | 113 | q |
| 2 | STX | 18 | DC2 | 34 | " | 50 | 2 | 66 | B | 82 | R | 98 | b | 114 | r |
| 3 | ETX | 19 | DC3 | 35 | # | 51 | 3 | 67 | C | 83 | S | 99 | c | 115 | s |
| 4 | EOT | 20 | DC4 | 36 | \$ | 52 | 4 | 68 | D | 84 | T | 100 | d | 116 | t |
| 5 | ENO | 21 | NAK | 37 | % | 53 | 5 | 69 | E | 85 | U | 101 | e | 117 | u |
| 6 | ACK | 22 | SYN | 38 | & | 54 | 6 | 70 | F | 86 | V | 102 | f | 118 | v |
| 7 | BEL | 23 | ETB | 39 | ' | 55 | 7 | 71 | G | 87 | W | 103 | g | 119 | w |
| 8 | BS | 24 | CAN | 40 | (| 56 | 8 | 72 | H | 88 | X | 104 | h | 120 | x |
| 9 | HT | 25 | EM | 41 |) | 57 | 9 | 73 | I | 89 | Y | 105 | i | 121 | y |
| 10 | LF | 26 | SUB | 42 | * | 58 | : | 74 | J | 90 | Z | 106 | j | 122 | z |
| 11 | VT | 27 | ESC | 43 | + | 59 | ; | 75 | K | 91 | [| 107 | k | 123 | { |
| 12 | FF | 28 | FS | 44 | , | 60 | < | 76 | L | 92 | \ | 108 | l | 124 | |
| 13 | CR | 29 | GS | 45 | = | 61 | = | 77 | M | 93 |] | 109 | m | 125 | } |
| 14 | SO | 30 | RS | 46 | . | 62 | > | 78 | N | 94 | ^ | 110 | n | 126 | ~ |
| 15 | SI | 31 | US | 47 | / | 63 | ? | 79 | O | 95 | _ | 111 | o | 127 | DEL |

$$\Sigma = \mathbb{B}^7$$



Outline

Preliminaries

- Regular Languages and Automata

- The L^* Algorithmic Scheme

Large Alphabets

- Motivation

- Symbolic Representation of Transitions - Symbolic Automata

Learning Symbolic Automata

- Why L^* cannot be applied?

- Our Solution

- The Algorithm

Equivalence Queries and Counter-Examples

Adaptation to the Boolean Alphabet

Experimental Results

Conclusion

Related Work

Ideas similar to ours have been suggested and explored in a series of papers, which also adapt automaton learning and the L^* algorithm to large alphabets.

F Howar, B Steffen, and M Merten (2011).

Automata learning with automated alphabet abstraction refinement.

M Isberner, F Howar, and B Steffen (2013).

Inferring automata with state-local alphabet abstractions.

- The hypothesis is a partially defined hypothesis where the transition function is not defined outside the observed evidence.

T Berg, B Jonsson, and H Raffelt (2006).

Regular inference for state machines with parameters.

- Based on alphabet refinement that generates new symbols indefinitely.

Related Work

Ideas similar to ours have been suggested and explored in a series of papers, which also adapt automaton learning and the L^* algorithm to large alphabets.

S Drews and L D'Antoni (2017). *Learning symbolic automata*.

- Gives a more general justification for a learning scheme like ours by providing that learnability is closed under product and disjoint union.

M Botinčan and D Babić (2013). *Sigma*: Symbolic learning of input-output specifications*.

- Weaker termination results that is related to the counter-example guided abstraction refinement procedure. Handles transducers instead of automata.

Contribution

O Maler and IE Mens. Learning regular languages over large alphabets. *In TACAS*, vol 8413 of LNCS, pages 485–499. Springer, 2014.

O Maler and IE Mens. Learning regular languages over large ordered alphabets. *Logical Methods in Computer Science (LMCS)*, 11(3), 2015.

O Maler and IE Mens. A Generic Algorithm for Learning Symbolic Automata from Membership Queries. *In Models, Algorithms, Logics and Tools*, vol 10460 of LNCS, pages 146-169. Springer, 2017.

Conclusions

- We presented an algorithm for learning regular languages over large alphabets using symbolic automata.
- We decomposed the problem into learning new states (as in standard automaton learning) and learning the alphabet partitions in each state.
- Modification of alphabet partitions are treated in a rigorous way that does not introduce superfluous symbols.
- It can be done as static learning of concepts/partitions in the alphabet domain.
- We defined the notion of evidence compatibility which is an invariance of the algorithm and extended the breakpoint method to detect its violation.
- We explored in detail and implemented the cases where alphabets are numbers or Boolean vectors.
- We handle both helpful and non-helpful teachers.

Future Work

- Extend the algorithm to alphabets such as \mathbb{R}^n and $\mathbb{R}^n \times \mathbb{B}^n$ using regression trees.
- Explore the use of other “deep learning” methods to learn the alphabet partitions.
- Study more realistic situations where the learner does not have full control over the sample and when some noise is present.
- Make more experiments and algorithmic improvement for the Boolean case.
- Find and explore a convincing class of applications.

Thank you !