

# Introduction to Logic and Automata Theory

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# Ensuring Correctness of Hw/Sw Systems

- Uses **logic** to specify correctness properties, e.g.:
  - *the program never crashes*
  - *the program always terminates*
  - *every request to the server is eventually answered*
  - *the output of the tree balancing function is a tree, provided the input is also a tree ...*
- Given a **logical specification**, we can do either:
  - **VERIFICATION**: **prove** that a given system satisfies the specification
  - **SYNTHESIS**: **build** a system that satisfies the specification

# Approaches to Verification

- **THEOREM PROVING**: reduce the verification problem to the satisfiability of a logical formula (entailment) and invoke an off-the-shelf theorem prover to solve the latter
  - Floyd-Hoare checking of **pre-**, **post-conditions** and **invariants**
  - Certification and Proof-Carrying Code
- **MODEL CHECKING**: enumerate the states of the system and check that the transition system satisfies the property
  - **explicit-state** model checking (SPIN)
  - **symbolic** model checking (SMV)
- **COMBINED METHODS**:
  - **static analysis** (ASTREE)
  - **predicate abstraction** (SLAM, BLAST)

# Approaches to Synthesis

- TREE AUTOMATA:
  - starting point: logical specification
  - build word automaton from logic formula
  - transform into tree automaton
  - decide emptiness and build system from witness tree
- CONTROL and GAME THEORY:
  - starting point: incomplete/uncontrolled system with two types of freedom (system/environment choice) and an objective
  - the uncontrolled system is given as a game
  - controller/strategy tell how to achieve objective

## Logic and Automata Connection

Given an **automaton**  $A$ , we build a **logical formula**  $\varphi_A$  whose set of models is exactly the language of the automaton.

Given a **logical formula**  $\varphi$ , we build an **automaton**  $A_\varphi$  that recognizes the set of all structures (models) in which  $\varphi$  holds.

Assuming that  $A_\varphi$  belongs to a well-behaved class of automata, we can tackle the following problems:

- **SATISFIABILITY**:  $\varphi$  has a model if and only if  $A_\varphi$  is not empty
- **MODEL CHECKING**: a given structure is a model of  $\varphi$  if and only if it belongs to the language of  $A_\varphi$

## Overview: Word and Tree Logics

	First Order Logic	$\subset$ Monadic Second Order Logic
finite words	LTL, Star Free, Aperiodic Sets	Finite Automata
infinite words	LTL, Star Free, Aperiodic Sets	Büchi, Rabin Automata
finite trees	*	Tree Automata
infinite trees	*	Rabin Automata, Games

## Overview: Integer Logics

Presburger Arithmetic  $\subset \langle \mathbb{N}, +, V_p \rangle$

Semilinear Sets  $p$ -automata

# Preliminaries



## Words

An *alphabet* is a finite non-empty set of symbols  $\Sigma = \{a, b, c, \dots\}$ .

A *word* of length  $n$  over  $\Sigma$  is a sequence  $w = a_0a_1 \dots a_{n-1}$ , where  $a_i \in \Sigma$ , for all  $0 \leq i < n$ . An *infinite word* is an infinite sequence of elements of  $\Sigma$ .

Equivalently, a word is a function  $w : \{0, 1, \dots, n - 1\} \rightarrow \Sigma$ . The *length*  $n$  of the word  $w$  is denoted by  $|w|$ . The *empty word* is denoted by  $\epsilon$ , i.e.  $|\epsilon| = 0$ .

$\Sigma^*$  ( $\Sigma^\omega$ ) is the set of all finite (infinite) words over  $\Sigma$ , and  $\Sigma^\infty = \Sigma^* \cup \Sigma^\omega$ .

We denote  $\Sigma^+ = \Sigma^* \setminus \{\epsilon\}$ .

The *concatenation* of two words  $w$  and  $u$  is denoted as  $wu$ . The *prefix*  $u$  of  $w$  is defined as  $u \leq w$  iff there exists  $v \in \Sigma^*$  such that  $uv = w$ .

## Trees

A *prefix-closed* set  $S \in \Sigma^*$  is such that for all  $w \in S$  and  $u \in \Sigma^*$ ,  
 $u \leq w \Rightarrow u \in S$ .

A *prefix-free* set  $S \in \Sigma^*$  is such that for all  $u, v \in S$ ,  $u \neq v \Rightarrow u \not\leq v$  and  
 $v \not\leq u$ .

A *tree* over  $\Sigma$  is a *partial function*  $t : \mathbb{N}^* \mapsto \Sigma$  such that  $dom(t)$  is a  
prefix-closed set.

A tree  $t$  is said to be *finite-branching* iff for all  $p \in dom(t)$ , the number of  
children of  $p$  is finite. A tree  $t$  is said to be *finite* if  $dom(t)$  is finite.

**Lemma 1 (König)** *A finitely branching tree is infinite iff it has an infinite  
path.*

## Ranked Trees

A *ranked alphabet*  $\langle \Sigma, \# \rangle$  is a set of symbols together with a function  $\# : \Sigma \rightarrow \mathbb{N}$ . For  $f \in \Sigma$ , the value  $\#(f)$  is said to be the *arity* of  $f$ .

A *ranked tree*  $t$  over  $\Sigma$  is a partial function  $t : \mathbb{N}^* \mapsto \Sigma$  that satisfies the following conditions:

- $dom(t)$  is a finite prefix-closed subset of  $\mathbb{N}^*$ , and
- for each  $p \in dom(t)$ , if  $\#(t(p)) = n > 0$  then  $\{i \mid pi \in dom(t)\} = \{1, \dots, n\}$ .

A symbol of arity zero is also called a *constant*. A finite tree over a ranked alphabet is also called a *term*.

# First Order Logic

# Syntax

The *alphabet* of FOL consists of the following symbols:

- *predicate symbols*:  $p_1, p_2, \dots, =$
- *function symbols*:  $f_1, f_2, \dots$
- *constant symbols*:  $c_1, c_2, \dots$
- *first-order variables*:  $x, y, z, \dots$
- *connectives*:  $\vee, \wedge, \rightarrow, \leftrightarrow, \neg, \perp, \forall, \exists$

## Syntax

The set of *first-order terms* is defined inductively:

- any constant symbol  $c$  is a term,
- any first-order variable  $x$  is a term,
- if  $t_1, t_2, \dots, t_n$  are terms and  $f$  is a function symbol of arity  $n > 0$ , then  $f(t_1, t_2, \dots, t_n)$  is a term,
- nothing else is a term.

A term with no variable is said to be a *ground term*. An *atomic proposition* is any proposition of the form  $p(t_1, \dots, t_n)$  or  $t_1 = t_2$ , where  $t_1, t_2, \dots, t_n$  are terms.

# Syntax

The set of *first-order formulae* is defined inductively:

- $\perp$  and  $\top$  are formulae,
- if  $t_1, t_2, \dots, t_n$  are terms and  $p$  is a predicate symbol of arity  $n > 0$ , then  $p(t_1, t_2, \dots, t_n)$  is a formula,
- if  $t_1, t_2$  are terms, then  $t_1 = t_2$  is a formula,
- if  $\varphi$  and  $\psi$  are formulae, then  $\varphi \bullet \psi$ ,  $\neg\varphi$ ,  $\forall x . \varphi$  and  $\exists x . \varphi$  are formulae, for  $\bullet \in \{\vee, \wedge, \rightarrow, \leftrightarrow\}$ ,
- nothing else is a formula.

The *language* of logic FOL is the set of formulae, denoted as  $\mathcal{L}(FOL)$ .

## FOL Formulae

$$x = y$$

$$\forall x \forall y . x = y \leftrightarrow y = x$$

$$\exists x (\forall y . p(x, y)) \rightarrow q(x)$$

$$\forall x . p(x) \rightarrow q(f(x))$$

$$\forall x \exists y . f(x) = y \wedge (\forall z . f(z) = y \rightarrow z = x)$$



## FOL Formulae

The *size* of a formula is the number of subformulae it contains, in other words, the number of nodes in the syntax tree representing the formula. The size of  $\varphi$  is denoted as  $|\varphi|$ .

The variables within the scope of a quantifier are said to be *bound*. The variables that are not bound are said to be *free*. We denote by  $FV(\varphi)$  the set of free variables in  $\varphi$ . If  $FV(\varphi) = \emptyset$  then  $\varphi$  is said to be a *sentence*.

**Example 1**  $FV(\forall x . x = y \wedge x = z \rightarrow p(x)) = \{y, z\} \square$

If  $x \in FV(\varphi)$ , we denote by  $\varphi[t/x]$  the formula obtained from  $\varphi$  by substituting  $x$  with the term  $t$ .

## Semantics

A *structure* is a tuple  $\mathfrak{m} = \langle U, \bar{p}_1, \bar{p}_2, \dots, \bar{f}_1, \bar{f}_2, \dots \rangle$ , where:

- $U$  is a (possible infinite) set called the *universe*,
- $\bar{p}_i \subseteq U^{\#(p_i)}$ ,  $i = 1, 2, \dots$  are the *predicates*,
- $\bar{f}_i : U^{\#(f_i)} \rightarrow U$ ,  $i = 1, 2, \dots$  are the *functions*,

The elements of the universe are called *individuals*, denoted by  $\bar{c}_1, \bar{c}_2, \dots$

**NB:** Every constant  $c$  from the alphabet of FOL has a corresponding individual  $\bar{c}$ , but not viceversa.

The symbol  $0$  has a corresponding number  $\bar{0} \in \mathbb{N}$ , and the function symbol  $s$  has a corresponding function  $x \mapsto x + 1$ . The number  $\bar{1} \in \mathbb{N}$  is denoted as  $s(\bar{0})$ , the number  $\bar{2} \in \mathbb{N}$  as  $s(s(\bar{0}))$ , etc.

## Semantics

Let  $\mathfrak{m} = \langle U, \bar{p}_1, \bar{p}_2, \dots, \bar{f}_1, \bar{f}_2, \dots \rangle$  be a *structure*.

The *interpretation* of variables is a function:

$$\iota : \{x, y, z, \dots\} \rightarrow U$$

The interpretation function is extended to terms  $t$ , denoted as  $\iota(t) \in U$ :

$$\begin{aligned} \iota(c) &= \bar{c} \\ \iota(f(t_1, \dots, t_n)) &= \bar{f}(\iota(t_1), \dots, \iota(t_n)) \end{aligned}$$

## Semantics

The *meaning of a sentence  $\varphi$  in the structure  $\mathfrak{M}$  under the interpretation  $\iota$*  is denoted as  $\llbracket \varphi \rrbracket_{\iota}^{\mathfrak{M}} \in \{\text{true}, \text{false}\}$  :

$$\llbracket \perp \rrbracket_{\iota}^{\mathfrak{M}} = \text{false}$$

$$\llbracket p(t_1, \dots, t_n) \rrbracket_{\iota}^{\mathfrak{M}} = \text{true} \quad \text{iff} \quad \langle \iota(t_1), \dots, \iota(t_n) \rangle \in \bar{p}$$

$$\llbracket t_1 = t_2 \rrbracket_{\iota}^{\mathfrak{M}} = \text{true} \quad \text{iff} \quad \iota(t_1) = \iota(t_2)$$

$$\llbracket \neg \varphi \rrbracket_{\iota}^{\mathfrak{M}} = \text{true} \quad \text{iff} \quad \llbracket \varphi \rrbracket_{\iota}^{\mathfrak{M}} = \text{false}$$

$$\llbracket \varphi \wedge \psi \rrbracket_{\iota}^{\mathfrak{M}} = \text{true} \quad \text{iff} \quad \llbracket \varphi \rrbracket_{\iota}^{\mathfrak{M}} = \llbracket \psi \rrbracket_{\iota}^{\mathfrak{M}} = \text{true}$$

$$\llbracket \exists x . \varphi \rrbracket_{\iota}^{\mathfrak{M}} = \text{true} \quad \text{iff} \quad \llbracket \varphi \rrbracket_{\iota[x \leftarrow u]}^{\mathfrak{M}} = \text{true} \quad \text{for some } u \in U$$

where  $\iota[x \leftarrow u](y) = \iota(y)$  if  $x \neq y$  and  $\iota[x \leftarrow u](x) = u$ .

# Semantics

Derived meanings:

$$\llbracket \varphi \vee \psi \rrbracket_{\iota}^{\mathfrak{m}} = \llbracket \neg(\varphi \wedge \psi) \rrbracket_{\iota}^{\mathfrak{m}}$$

$$\llbracket \varphi \rightarrow \psi \rrbracket_{\iota}^{\mathfrak{m}} = \llbracket \neg\varphi \vee \psi \rrbracket_{\iota}^{\mathfrak{m}}$$

$$\llbracket \varphi \leftrightarrow \psi \rrbracket_{\iota}^{\mathfrak{m}} = \llbracket (\varphi \rightarrow \psi) \wedge (\psi \rightarrow \varphi) \rrbracket_{\iota}^{\mathfrak{m}}$$

$$\llbracket \forall x . \varphi \rrbracket_{\iota}^{\mathfrak{m}} = \llbracket \neg\exists x . \neg\varphi \rrbracket_{\iota}^{\mathfrak{m}}$$

## Decision Problems

If  $FV(\varphi) = \emptyset$  we denote the meaning of  $\varphi$  in  $\mathfrak{m}$  by  $\llbracket \varphi \rrbracket^{\mathfrak{m}}$  (the choice of  $\iota$  is irrelevant)

If  $\llbracket \varphi \rrbracket^{\mathfrak{m}} = \text{true}$  we say that  $\mathfrak{m}$  is a *model* of  $\varphi$ , denoted as  $\mathfrak{m} \models \varphi$ .

If  $\mathfrak{m} \models \varphi$  for all structures  $\mathfrak{m}$ , we say that  $\varphi$  is *valid*, denoted as  $\models \varphi$ .

If  $\varphi$  has at least one model, we say that it is *satisfiable*.

**Satisfiability:** Given  $\varphi$  is it satisfiable?

**Model Checking:** Given  $\mathfrak{m}$  and  $\varphi$ , does  $\mathfrak{m} \models \varphi$  ?

## Examples

Let  $\leq$  be a binary predicate symbol, and  $\mathfrak{m} = \langle U, \leq \rangle$  be a structure.  $\mathfrak{m}$  is a **partially ordered set** if  $\mathfrak{m} \models \varphi_1 \wedge \varphi_2$ , where:

$$\varphi_1 : \forall x \forall y . x \leq y \wedge y \leq x \leftrightarrow x = y$$

$$\varphi_2 : \forall x \forall y \forall z . x \leq y \wedge y \leq z \rightarrow x \leq z$$

Notice that  $\models \varphi_1 \rightarrow \forall x . x \leq x$ .

$\mathfrak{m}$  is a **linearly ordered set** if  $\mathfrak{m} \models \varphi_1 \wedge \varphi_2 \wedge \varphi_3$ , where:

$$\varphi_3 : \forall x \forall y . x \leq y \vee y \leq x$$

## Exercises

**Exercise 1** *Two problems  $P$  and  $Q$  are equivalent when a method for solving  $P$  is also a method for solving  $Q$ , and viceversa. Show that satisfiability and validity of first-order sentences are equivalent problems.  $\square$*

**Exercise 2** *Prove the validity of the following sentences:*

$$\forall x \forall y \forall z . x = y \wedge y = z \rightarrow x = z$$

$$(\exists x . \varphi \vee \psi) \leftrightarrow ((\exists x . \varphi) \vee (\exists x . \psi))$$

$$(\forall x . \varphi \wedge \psi) \leftrightarrow ((\forall x . \varphi) \wedge (\forall x . \psi))$$

$$(\exists x . \varphi \wedge \psi) \rightarrow ((\exists x . \varphi) \wedge (\exists x . \psi))$$

$$\neg(((\exists x . \varphi) \wedge (\exists x . \psi)) \rightarrow (\exists x . \varphi \wedge \psi))$$

$$((\forall x . \varphi) \vee (\forall x . \psi)) \rightarrow (\forall x . \varphi \vee \psi)$$

$$\neg((\forall x . \varphi \vee \psi) \rightarrow ((\forall x . \varphi) \vee (\forall x . \psi)))$$



## Normal Forms

A formula  $\varphi \in \mathcal{L}(FOL)$  is said to be *quantifier-free* iff it contains no quantifiers.

A quantifier-free formula  $\varphi \in \mathcal{L}(FOL)$  is said to be in *negation normal form* (NNF) iff the only subformulae appearing under negation are atomic propositions.

A formula  $\varphi \in \mathcal{L}(FOL)$  is said to be in *prenex normal form* (PNF) iff

$$\varphi = Q_1x_1Q_2x_2 \dots Q_nx_n \cdot \psi(x_1, x_2, \dots, x_n)$$

where  $Q_i \in \{\exists, \forall\}$  and  $\psi$  is a quantifier-free formula. Sometimes  $\psi$  is said to be the *matrix* of  $\varphi$ .

## Normal Forms

A quantifier-free formula  $\varphi \in \mathcal{L}(FOL)$  is said to be in *disjunctive normal form* (DNF) iff

$$\varphi = \bigvee_i \bigwedge_j \lambda_{ij}$$

where  $\lambda_{ij}$  are either atomic propositions or negations of atomic propositions.

A quantifier-free formula  $\varphi \in \mathcal{L}(FOL)$  is said to be in *conjunctive normal form* (CNF) iff

$$\varphi = \bigwedge_i \bigvee_j \lambda_{ij}$$

where  $\lambda_{ij}$  are either atomic propositions or negations of atomic propositions.

## FOL on Finite Words

Let  $\Sigma = \{a, b, \dots\}$  be a finite alphabet and  $w : \{0, 1, \dots, n - 1\} \rightarrow \Sigma$  be a **finite word**, i.e.  $w = a_0a_1 \dots a_{n-1} \in \Sigma^*$ .

The structure corresponding to  $w$  is  $\mathfrak{m}_w = \langle \text{dom}(w), \{\bar{p}_a\}_{a \in \Sigma}, \bar{\leq} \rangle$ , where:

- $\text{dom}(w) = \{0, 1, \dots, n - 1\}$ ,
- $\bar{p}_a = \{x \in \text{dom}(w) \mid w(x) = a\}$ ,
- $x \bar{\leq} y$  iff  $x \leq y$ .

$$\mathfrak{m}_{abbaab} = \langle \{0, \dots, 5\}, \bar{p}_a = \{0, 3, 4\}, \bar{p}_b = \{1, 2, 5\}, \bar{\leq} \rangle$$

## Exercises

**Exercise 3** Write a FOL formula  $S(x, y)$  which is valid for all positions  $x, y \in \mathbb{N}$  such that  $y = x + 1$ .  $\square$

**Exercise 4** Write a FOL sentence whose models are all words with  $a$  on even positions and  $b$  on odd positions. Next, (try to) write a FOL sentence whose models are all words with  $a$  on even positions.  $\square$

**Exercise 5** Write a FOL formula  $len(x)$  that is satisfied by all words of length  $x$ .  $\square$

**Exercise 6** Write a FOL sentence whose models are all finite words.  $\square$

## Subword Formulae

Let  $w = a_0a_1 \dots a_{n-1}$  be a finite word, and  $w(i, j) = a_i a_{i+1} \dots a_{j-1}$  be a subword of  $w$ ,  $0 \leq i < n$  and  $0 \leq j \leq n$ ,  $i < j$ .

**Proposition 1** *For each FOL statement  $\varphi$  there exists a formula  $\varphi(x, y)$  such that, for each  $w \in \Sigma^*$  and each  $0 \leq i < j \leq |w|$ :*

$$w(i, j) \models \varphi \iff w \models \varphi(i, j)$$

By induction on the structure of  $\varphi$ :

$$(\neg\varphi)(x, y) = \neg(\varphi(x, y))$$

$$(\varphi \wedge \psi)(x, y) = (\varphi(x, y)) \wedge (\psi(x, y))$$

$$(\exists z.\varphi)(x, y) = \exists z . x \leq z \wedge z < y \wedge \varphi(x, y)$$

## FOL on Infinite Words

Let  $w : \mathbb{N} \rightarrow \Sigma$  be an **infinite word**.

The structure corresponding to  $w$  is  $\mathfrak{m}_w = \langle \mathbb{N}, \{\bar{p}_a\}_{a \in \Sigma}, \bar{\leq} \rangle$ .

$$\mathfrak{m}_{(ab)^\omega} = \langle \mathbb{N}, \bar{p}_a = \{2k \mid k \in \mathbb{N}\}, \bar{p}_b = \{2k + 1 \mid k \in \mathbb{N}\}, \bar{\leq} \rangle$$

## FOL on Finite Trees

Let  $\Sigma = \{f, g, \dots\}$  be an alphabet and  $t : \mathbb{N}^* \mapsto \Sigma$  be a **finite tree** over  $\Sigma$ .

The structure corresponding to  $t$  is  $\mathfrak{m}_t = \langle \text{dom}(t), \{\bar{p}_f\}_{f \in \Sigma}, \bar{\leq}, \{s_n\}_{n \in \mathbb{N}} \rangle$ , where:

- $\bar{p}_f = \{p \in \text{dom}(t) \mid t(p) = f\}$ ,
- $\bar{\leq}$  is the **prefix order** on  $\mathbb{N}^*$ ,
- $s_n(p) = pn$  for any  $n \in \mathbb{N}$ , is the  **$n$ -th successor function**.

$$\mathfrak{m}_{f(f(g,g),g)} = \langle \{\epsilon, 0, 1, 00, 01\}, \bar{p}_f = \{\epsilon, 0\}, \bar{p}_g = \{00, 01, 1\}, \bar{\leq}, \{s_n\}_{n \in \mathbb{N}} \rangle.$$

## Examples

The *lexicographic* order on  $\mathbb{N}^*$  is defined as follows:

$$x \preceq y : x \leq y \vee \exists z . s_0(z) \leq x \wedge s_1(z) \leq y$$

**Exercise 7** *A red-black tree is a tree in which all nodes are either red or black, such that the root is black, and each red node has only black children. Write a FOL sentence whose models are all red-black trees.  $\square$*



## FOL on Infinite Trees

Let  $t : \mathbb{N}^* \mapsto \Sigma$  be an **infinite tree** over  $\Sigma$ .

The structure corresponding to  $t$  is  $\mathfrak{m}_t = \langle \mathbb{N}^*, \{\bar{p}_f\}_{f \in \Sigma}, \bar{\leq}, \{s_n\}_{n \in \mathbb{N}} \rangle$ .

# Monadic Second Order Logic

## Syntax

The alphabet of MSOL consists of:

- all first-order symbols
- *set variables*:  $X, Y, Z, \dots$

The set of MSOL terms consists of all first-order terms and set variables. The set of MSOL formulae consists of:

- all first-order formulae, i.e.  $\mathcal{L}(FOL) \subseteq \mathcal{L}(MSOL)$ ,
- if  $t$  is a term and  $X$  is a set variable, then  $X(t)$  is a formula,
- if  $\varphi$  and  $\psi$  are formulae, then  $\varphi \bullet \psi$ ,  $\neg\varphi$ ,  $\forall x . \varphi$ ,  $\exists x . \varphi$ ,  $\forall X . \varphi$  and  $\exists X . \varphi$  are formulae, for  $\bullet \in \{\vee, \wedge, \rightarrow, \leftrightarrow\}$ .

$X(t)$  is sometimes written  $t \in X$ .

## Examples

Universal set:

$$\forall x . X(x)$$

$X \subseteq Y$ :

$$\forall x . X(x) \rightarrow Y(x)$$

$X \neq Y$ :

$$\exists x . (X(x) \wedge \neg Y(x)) \vee (\neg X(x) \wedge Y(x))$$

$X = \emptyset$ :

$$\forall x . \neg X(x)$$

Singleton set:

$$\forall Y . ((\forall x . Y(x) \rightarrow X(x)) \wedge \exists x . X(x) \wedge \neg Y(x)) \rightarrow \forall x . \neg Y(x)$$

## Semantics

Let  $\mathfrak{m} = \langle U, \bar{p}_1, \bar{p}_2, \dots, \bar{f}_1, \bar{f}_2, \dots \rangle$  be a *structure*.

The *interpretation* of variables is a function:

$$\iota : \{x, y, z, \dots\} \cup \{X, Y, Z, \dots\} \rightarrow U \cup 2^U$$

such that:

- $\iota(x) \in U$  for each individual variable  $x$
- $\iota(X) \in 2^U$  for each set variable  $X$

$$\llbracket \exists X . \varphi \rrbracket_{\iota}^{\mathfrak{m}} = \text{true} \quad \text{iff} \quad \llbracket \varphi \rrbracket_{\iota[X \leftarrow S]}^{\mathfrak{m}} = \text{true} \quad \text{for some } S \subseteq U$$

## MSOL Example

**Example 2** *The MSOL formula that characterizes all partitions  $\langle X, Y \rangle$  of  $Z$ :*

$$\text{partition}(X, Y, Z) : (\forall x \forall y . X(x) \wedge Y(y) \rightarrow \neg x = y) \wedge (\forall x . Z(x) \leftrightarrow X(x) \vee Y(x))$$

□

## MSOL on Words: (W)S1S

Let  $\Sigma = \{a, b, \dots\}$  be a finite alphabet. The alphabet of the **sequential calculus** is composed of:

- the function symbol  $s$  denotes the **successor**,
- the set constants  $\{p_a \mid a \in \Sigma\}$ ;  $p_a$  denotes the set of positions of  $a$
- the first and second order variables and connectives.

**(W)ea**k indicates that quantification is over finite sets only.

**Q:** Let  $m_{abbaab} = \langle \{0, \dots, 5\}, \bar{p}_a = \{0, 3, 4\}, \bar{p}_b = \{1, 2, 5\}, \bar{\leq} \rangle$  be a finite word. How much is  $s(5)$  ?

## Examples

The **order**  $x \leq y$  on positions is defined as:

- $closed(X) : \forall x . X(x) \rightarrow X(s(x))$
- $x \leq y : \forall X . X(x) \wedge closed(X) \rightarrow X(y)$

The set of positions of a word is defined by  $pos(X) : \forall x . X(x)$ .



## Examples

The first position is:

$$zero(x) : \forall y . x \leq y$$

The set of even positions is defined by

$$\begin{aligned} even(X) : & \exists z . zero(z) \wedge \exists Y, Z . pos(Z) \wedge partition(X, Y, Z) \wedge \\ & \forall x, y . X(x) \wedge s(x) = y \rightarrow Y(y) \wedge \\ & \forall x, y . Y(x) \wedge s(x) = y \rightarrow Y(x) \wedge X(z) \end{aligned}$$

The set of all words having  $a$ 's on even positions is the set of models of the sentence:

$$\exists X . even(X) \wedge \forall x . X(x) \rightarrow p_a(x)$$

## Exercise

**Exercise 8** Write a *S1S* formula whose models are exactly all infinite words starting with an even number of 0's followed by an infinite number of 1's.  $\square$

## MSOL on Trees: (W)S $\omega$ S

Let  $\Sigma = \{a, b, \dots\}$  be a tree alphabet. The alphabet of (W)S $\omega$ S is:

- the function symbols  $\{s_i \mid i \in \mathbb{N}\}$ ;  $s_i(x)$  denotes the *i*-th successor of  $x$
- the set constants  $\{p_a \mid a \in \Sigma\}$ ;  $p_a$  denotes the set of positions of  $a$
- the first and second order variables and connectives.

In FOL on trees we had  $\leq$  (prefix) instead of  $s_i$ . Why ?

## Examples

Let us consider binary trees, i.e. the alphabet of S2S.

- The formula  $closed(X) : \forall x . X(x) \rightarrow X(s_0(x)) \wedge X(s_1(x))$  denotes the fact that  $X$  is a **downward-closed** set.
- The **prefix ordering** on tree positions is defined by  $x \leq y : \forall X . closed(X) \wedge X(x) \rightarrow X(y)$ .
- The **root** of a tree is defined by  $root(x) : \forall y . x \leq y$ .

## Exercise

**Exercise 9** Define the set of binary trees  $t : \{0, 1\}^* \rightarrow \{a, b\}$  such that  $t(p) = a$  if  $p$  is of even length and  $t(p) = b$  if  $p$  is of odd length.  $\square$

**Exercise 10** Write a  $S\omega S$  formula  $path(X)$  that defines the set of all paths in a binary tree.  $\square$

**Exercise 11** Write a  $S\omega S$  sentence whose models are all finite trees.  $\square$