

Small inversions for smaller inversions

Jean-François MONIN



Inversion, simple example

Even natural numbers

```
Inductive even :  $\forall$  n, Prop :=  
  | Ev0 : even 0  
  | Ev2 n : even n  $\rightarrow$  even (S (S n)).
```

Basic usage

```
Lemma even_plus_left n m : even n  $\rightarrow$  even (n + m)  $\rightarrow$  even m.
```

```
IHn : even (n + m)  $\rightarrow$  even m
```

```
enm : even (S (S (n + m)))
```

```
=====
```

```
even m
```

Purpose

Extract the information contained in a hypothesis H of type T

- where T is an inductive relation
- with some arguments having an inductive type

Expectations

- For each case (constructor), decompose H into ALL its components
- In particular, remove irrelevant cases

Essentially : (subtle) case analysis on H

- Simultaneous case analysis on H and its arguments
- game on dependent pattern-matching

Smaller inversion (part of the Braga method)

Joint work with Dominique Larchey Wendling [TYPES'18],
[Proof&Computation II 2021]

Half of even numbers

```
Fixpoint half n (e: even n) {struct e} : nat :=
  match n return even n → nat with
  | 0      => λ _, 0
  | 1      => λ e, match even_inv e with end
  | S (S n) => λ e, S (half n (πeven e))
  end e.
```

Projection: getting ONE STRUCTURALLY SMALLER component

```
Definition πeven n (e: even (S (S n))) : even n :=
  match e in even m return
    let n := match m with S (S n) => n | _ => n end in
    let G := match m with S (S n) => True | _ => False end in G → even n
  with
  | Ev2 n e => λ _, e
  | _      => λ fa, match fa with end
  end I.
```

Reasoning on half

Easy (induction on e)

Lemma `double_half` : $\forall n e, \text{half } n e + \text{half } n e = n$.

Less easy: induction on e and inversion on e'

Lemma `half_pirr` : $\forall n (e e' : \text{even } n), \text{half } n e = \text{half } n e'$.

`e` : `even n`

`e'` : `even (S (S n))`

=====
`S (half n e) = half (S (S n)) e'`

Again: induction on e and inversion on e'

Lemma even_unique : $\forall n (e e' : \text{even } n), e = e'$.

But proof unicity should not be overrated here

- The returned result (sort Set/Type) cannot depend on an argument of sort Prop
- Simple example: unbounded linear search algorithm (see ConstructiveEpsilon.v in the std lib)

More sophisticated inversions

- Even bounded natural numbers
- Half of even bounded natural numbers
- Proof unicity for $=$ and \leq in nat

Bounded natural numbers

```
Inductive t : nat → Set :=  
  | FO {n} : t (S n)  
  | FS {n} : t n → t (S n).
```

Failures for standard inversion.

Standard tactic of Coq: fully automated [Cornes & Terrasse, 1995 ; Murthy?]

- Improved over the years, very impressive black box
- lack of control
- big underlying terms
- failures with dependent inductive types

Small inversions: handcrafted [Monin 2010, Monin & Shi 2013]

- Flexible approach with several variants
- Developed for a big experiment with CompCert
- Attempts towards automation (Braibant, Boutillier)

TYPE'2022

- Made clearer with auxiliary inductive types
- Improvement needed for dependent types

Small inversions with auxiliary inductive types

Receipe

Given an inductive relation $\text{rel} : \text{Tx} \rightarrow \text{Ty1} \rightarrow \dots \text{Prop}$
with “input” argument $x : \text{Tx}$, define:

- For each input case (constructor C) in Tx ,
an *auxiliary inductive relation* of type $\text{Ty1} \rightarrow \dots \text{Prop}$
by copy and paste of relevant telescopes of rel
No recursion
- A *dispatch function* rel_disp from $x : \text{Tx}$ to $\text{Ty1} \rightarrow \dots \text{Prop}$
by pattern matching on x
- Inversion lemma $\text{rel_inv} : \text{rel} \rightarrow \text{rel_disp}$ (easy proof)

Usage

- Given a hypothesis $R : \text{rel} (C\dots) \text{expr}_1\dots$
perform `match rel_inv R with...`
- Boils down to the relevant *aux. inductive relation* corresponding to $(C\dots)$

Small inversions with auxiliary inductive types

Receipe

Given an inductive relation $\text{rel} : \text{Tx} \rightarrow \text{Ty1} \rightarrow \dots \text{Prop}$
with “input” argument $x : \text{Tx}$, define:

- For each input case (constructor C) in Tx ,
an *auxiliary inductive relation* of type $\text{Ty1} \rightarrow \dots \text{Prop}$
by *copy and paste* of relevant telescopes of rel
No recursion
- A *dispatch function* rel_disp from $x : \text{Tx}$ to $\text{Ty1} \rightarrow \dots \text{Prop}$
by *pattern matching* on x
- Inversion lemma $\text{rel_inv} : \text{rel} \rightarrow \text{rel_disp}$ (*easy proof*)

Usage

- Given a hypothesis $R : \text{rel} (C\dots) \text{expr}_1\dots$
perform *match rel_inv R with...*
- Boils down to the relevant *aux. inductive relation* corresponding to $(C\dots)$

Small inversion for dependent (data) types

Explicit injectivity

When `R` occurs as an argument in the goal we need also the left inverse `rel_back` of `rel_inv` (trivial as well), and a proof of `R = rel_back (rel_inv R)`.

Then rewrite the occurrences of `R` with `rel_back (rel_inv R)` before the pattern-matching on `rel_inv R`.

Improvement: built-in injectivity

- In the previous recipe, *add a last argument of shape `C...`*
- *Same code for `rel_disp` and `rel_inv`*
- Bonus: inline `rel_disp` in the statement of `rel_inv`

Basic small inversion on even [2021 talks]

```
Inductive even :  $\forall$  n, Prop :=  
  | Ev0 : even 0  
  | Ev2 n : even n  $\rightarrow$  even (S (S n)).
```

```
Inductive even0 : Prop := even0_Ev0 : even0.
```

```
Inductive even1 : Prop :=.
```

```
Inductive even2 n : Prop := even2_Ev2 : even n  $\rightarrow$  even2 n.
```

```
Definition even_inv {n} (e : even n) :  
  match n return Prop with  
  | 0      => even0  
  | 1      => even1  
  | S (S n) => even2 n  
  end.
```

```
Proof. destruct e; constructor; assumption. Defined.
```

```
Definition even_back {n} (e : match n return Prop with...) : even n.
```

```
Proof... Defined.
```

```
Lemma even_inv_mono {n} (e : even n) : e = even_back (even_inv e).
```

```
Proof. destruct e; reflexivity. Qed.
```

Basic small inversion on even [2021 talks]

```
Inductive even :  $\forall$  n, Prop :=  
  | Ev0 : even 0  
  | Ev2 n : even n  $\rightarrow$  even (S (S n)).
```

```
Inductive even0 : Prop := even0_Ev0 : even0.
```

```
Inductive even1 : Prop :=.
```

```
Inductive even2 n : Prop := even2_Ev2 : even n  $\rightarrow$  even2 n.
```

```
Definition even_inv {n} (e : even n) :  
  match n return Prop with  
  | 0      => even0  
  | 1      => even1  
  | S (S n) => even2 n  
end.
```

```
Proof. destruct e; constructor; assumption. Defined.
```

```
Definition even_back {n} (e : match n return Prop with...) : even n.  
Proof... Defined.
```

```
Lemma even_inv_mono {n} (e : even n) : e = even_back (even_inv e).
```

```
Proof. destruct e; reflexivity. Qed.
```

Basic small inversion on even [2021 talks]

```
Inductive even :  $\forall$  n, Prop :=  
  | Ev0 : even 0  
  | Ev2 n : even n  $\rightarrow$  even (S (S n)).
```

```
Inductive even0 : Prop := even0_Ev0 : even0.
```

```
Inductive even1 : Prop :=.
```

```
Inductive even2 n : Prop := even2_Ev2 : even n  $\rightarrow$  even2 n.
```

```
Definition even_inv {n} (e : even n) :  
  match n return Prop with  
  | 0      => even0  
  | 1      => even1  
  | S (S n) => even2 n  
end.
```

```
Proof. destruct e; constructor; assumption. Defined.
```

```
Definition even_back {n} (e : match n return Prop with...) : even n.
```

```
Proof... Defined.
```

```
Lemma even_inv_mono {n} (e : even n) : e = even_back (even_inv e).
```

```
Proof. destruct e; reflexivity. Qed.
```

Improved small inversion on even with built-in injectivity

```
Inductive even :  $\forall$  n, Prop :=
  | Ev0 : even 0
  | Ev2 n : even n  $\rightarrow$  even (S (S n)).

Inductive is_Ev0 : even 0  $\rightarrow$  Prop := is_Ev0_intro : is_Ev0 Ev0.
Inductive no_Ev1 : even 1  $\rightarrow$  Prop :=.
Inductive is_Ev2 n : even (S (S n))  $\rightarrow$  Prop :=
  is_Ev2_intro :  $\forall$  (e : even n), is_Ev2 n (Ev2 n e).

Definition even_inv {n} (e : even n) :
  match n return even n  $\rightarrow$  Prop with
  | 0      => is_Ev0
  | 1      => no_Ev1
  | S (S n) => is_Ev2 n
  end e.

Proof. destruct e; constructor. Defined.

(* Basic version *)
Inductive even0 : Prop := even0_Ev0 : even0.
Inductive even1 : Prop :=.
Inductive even2 n : Prop := even2_Ev2 : even n  $\rightarrow$  even2 n.
```

Exercise: equality in nat with obvious UP

```
Inductive diag : nat → nat → Prop :=  
| dia0 : diag 0 0  
| diaS x y : diag x y → diag (S x) (S y).
```

(small inversion : standard receipt with built-in injectivity *)*

```
Inductive is_dia0 : diag 0 0 → Prop := ii00 : is_dia0 dia0.
```

```
Inductive is_diaS x y : diag (S x) (S y) → Prop :=  
  iiSS : ∀ (d : diag x y), is_diaS x y (diaS x y d).
```

```
Inductive no_diag x y : diag x y → Prop := .
```

```
Definition diag_inv {x y} (d : diag x y) :  
  match x, y return diag x y → Prop with  
  | 0, 0      => is_dia0  
  | S x, S y => is_diaS x y  
  | x, y      => no_diag x y  
  end d.
```

Proof. destruct d; constructor. Qed.

Exercise: equality in nat with obvious UP

```
Inductive diag : nat → nat → Prop :=  
| dia0 : diag 0 0  
| diaS x y : diag x y → diag (S x) (S y).
```

(small inversion : standard receipt with built-in injectivity *)*

```
Inductive is_dia0 : diag 0 0 → Prop := ii00 : is_dia0 dia0.
```

```
Inductive is_diaS x y : diag (S x) (S y) → Prop :=  
  iiSS : ∀ (d : diag x y), is_diaS x y (diaS x y d).
```

```
Inductive no_diag x y : diag x y → Prop := .
```

```
Definition diag_inv {x y} (d : diag x y) :  
  match x, y return diag x y → Prop with  
  | 0, 0      => is_dia0  
  | S x, S y => is_diaS x y  
  | x, y     => no_diag x y  
  end d.
```

Proof. destruct d; constructor. Qed.

Simple explicit UIP in nat

Definition `diag_refl {x} : diag x x`.

Proof. induction x as [| x IHx]; constructor. apply IHx. Defined.

Definition `eq_diag {x y} (e : x = y) : diag x y`.

Proof. case e. apply diag_refl. Defined.

Definition `diag_back {x} : $\forall y, \text{diag } x y \rightarrow x = y$` .

Proof. induction x; destruct y; intro d; destruct (diag_inv d);
[reflexivity | apply f_equal, (IHx _ d)]. Defined.

Lemma `diag_back_isrefl {x} : $\forall (d : \text{diag } x x), \text{eq_refl} = \text{diag_back } d$` .

Proof. induction x as [| x IHx]; simpl; intro d; destruct (diag_inv d);
[reflexivity | case (IHx d). cbn. reflexivity]. Qed.

Lemma `diag_mono {x y} (e : x = y) : e = diag_back (eq_diag e)`.

Proof. destruct e; destruct x as [| x]; simpl.
+ destruct (diag_inv dia0); reflexivity.
+ destruct (diag_inv (diaS x x diag_refl)) as [d]. case (diag_back_isrefl d); reflexivity.

Qed.

Corollary `UIP_nat (x: nat) (e : x = x) : eq_refl = e`.

Proof. rewrite (diag_mono e). apply diag_back_isrefl. Qed.

Simple explicit UIP in nat

Definition `diag_refl {x} : diag x x`.

Proof. induction x as [| x IHx]; constructor. apply IHx. Defined.

Definition `eq_diag {x y} (e : x = y) : diag x y`.

Proof. case e. apply diag_refl. Defined.

Definition `diag_back {x} : $\forall y, \text{diag } x y \rightarrow x = y$` .

Proof. induction x; destruct y; intro d; destruct (diag_inv d);

[reflexivity | apply f_equal, (IHx _ d)]. Defined.

Lemma `diag_back_isrefl {x} : $\forall (d : \text{diag } x x), \text{eq_refl} = \text{diag_back } d$` .

Proof. induction x as [| x IHx]; simpl; intro d; destruct (diag_inv d);

[reflexivity | case (IHx d). cbn. reflexivity]. Qed.

Lemma `diag_mono {x y} (e : x = y) : e = diag_back (eq_diag e)`.

Proof. destruct e; destruct x as [| x]; simpl.

+ destruct (diag_inv dia0); reflexivity.

+ destruct (diag_inv (diaS x x diag_refl)) as [d]. case (diag_back_isrefl d); reflexivity.

Qed.

Corollary `UIP_nat (x: nat) (e : x = x) : eq_refl = e`.

Proof. rewrite (diag_mono e). apply diag_back_isrefl. Qed.

Simple explicit UIP in nat

Definition `diag_refl {x} : diag x x`.

Proof. induction x as [| x IHx]; constructor. apply IHx. Defined.

Definition `eq_diag {x y} (e : x = y) : diag x y`.

Proof. case e. apply diag_refl. Defined.

Definition `diag_back {x} : $\forall y, \text{diag } x y \rightarrow x = y$` .

Proof. induction x; destruct y; intro d; destruct (`diag_inv d`);

[reflexivity | apply f_equal, (IHx _ d)]. Defined.

Lemma `diag_back_isrefl {x} : $\forall (d : \text{diag } x x), \text{eq_refl} = \text{diag_back } d$` .

Proof. induction x as [| x IHx]; simpl; intro d; destruct (`diag_inv d`);

[reflexivity | case (IHx d). cbn. reflexivity]. Qed.

Lemma `diag_mono {x y} (e : x = y) : e = diag_back (eq_diag e)`.

Proof. destruct e; destruct x as [| x]; simpl.

+ destruct (`diag_inv dia0`); reflexivity.

+ destruct (`diag_inv (diaS x x diag_refl)`) as [d]. case (`diag_back_isrefl d`); reflexivity.

Qed.

Corollary `UIP_nat (x: nat) (e : x = x) : eq_refl = e`.

Proof. rewrite (`diag_mono e`). apply `diag_back_isrefl`. Qed.

Simple explicit UIP in nat

Definition `diag_refl {x} : diag x x`.

Proof. induction x as [| x IHx]; constructor. apply IHx. Defined.

Definition `eq_diag {x y} (e : x = y) : diag x y`.

Proof. case e. apply diag_refl. Defined.

Definition `diag_back {x} : $\forall y, \text{diag } x y \rightarrow x = y$` .

Proof. induction x; destruct y; intro d; destruct (diag_inv d);

[reflexivity | apply f_equal, (IHx _ d)]. Defined.

Lemma `diag_back_isrefl {x} : $\forall (d : \text{diag } x x), \text{eq_refl} = \text{diag_back } d$` .

Proof. induction x as [| x IHx]; simpl; intro d; destruct (diag_inv d);

[reflexivity | case (IHx d). cbn. reflexivity]. Qed.

Lemma `diag_mono {x y} (e : x = y) : e = diag_back (eq_diag e)`.

Proof. destruct e; destruct x as [| x]; simpl.

+ destruct (diag_inv dia0); reflexivity.

+ destruct (diag_inv (diaS x x diag_refl)) as [d]. case (diag_back_isrefl d); reflexivity.

Qed.

Corollary `UIP_nat (x: nat) (e : x = x) : eq_refl = e`.

Proof. rewrite (diag_mono e). apply diag_back_isrefl. Qed.

Horribly simpler proof of UIP in nat along the same scheme...

```
Fixpoint diagTF (x y : nat) : Prop :=
  match x, y with
  | 0, 0      => True
  | S x, S y => diagTF x y
  | _, _     => False
end.
```

Definition `diagTF_refl` x : `diagTF` x x :=...

Definition `eq_diagTF` {x y} (e : x = y) : `diagTF` x y :=...

Definition `diagTF_back` {x} : $\forall y, \text{diagTF } x \ y \rightarrow x = y :=...$

Lemma `diagTF_back_isrefl` {x} : $\forall (d : \text{diagTF } x \ x), \text{eq_refl} = \text{diagTF_back } d$.

Lemma `diagTF_mono` {x y} (e : x = y) : e = `diagTF_back` (`eq_diagTF` e).

Corollary `UIP_nat` (x : nat) (e : x = x) : `eq_refl` = e.

Proof. rewrite (`diagTF_mono` e). apply `diagTF_back_isrefl`. Qed.

... without `diag` and its inversion :(

Equality is too easy, what about \leq ?

Inversion performed “as if” \leq was defined as

```
Inductive le n : nat → Prop :=
| le_e_0   : n = 0   → n ≤ 0
| le_e_S m : n = S m → n ≤ S m
| le_S m   : n ≤ m   → n ≤ S m.
```

Definition `eq_le` n m (e : n = m) : n ≤ m :=
 match e with eq_refl => le_n n end.

```
Inductive le_0 [n] : n ≤ 0 → Prop :=
| le_0_e : ∀ e, le_0 (eq_le e).
```

```
Inductive le_Sm [m n] : n ≤ S m → Prop :=
| le_Sm_e : ∀ e, le_Sm (eq_le e)
| le_Sm_S : ∀ l, le_Sm (le_S n m l).
```

```
Lemma le_inv {n m} (l : n ≤ m) :
  match m with
  | 0 => le_0
  | S m => @le_Sm m
  end n l.
```

Equality is too easy, what about \leq ?

Inversion performed “as if” \leq was defined as

```
Inductive le n : nat → Prop :=
| le_e_0   : n = 0   → n ≤ 0
| le_e_S m : n = S m → n ≤ S m
| le_S m   : n ≤ m   → n ≤ S m.
```

Definition `eq_le` n m (e : n = m) : n ≤ m :=
 match e with eq_refl => le_n n end.

```
Inductive le_0 [n] : n ≤ 0 → Prop :=
| le_0_e : ∀ e, le_0 (eq_le e).
```

```
Inductive le_Sm [m n] : n ≤ S m → Prop :=
| le_Sm_e : ∀ e, le_Sm (eq_le e)
| le_Sm_S : ∀ l, le_Sm (le_S n m l).
```

```
Lemma le_inv {n m} (l : n ≤ m) :
  match m with
  | 0 => le_0
  | S m => @le_Sm m
  end n l.
```


Unicity of proofs of \leq

Lemma eq_is_le_n {n} (e : n = n) : le_n n = eq_le e.

Proof. rewrite (UIP_refl_nat n e). reflexivity. Qed.

Lemma lenn_unique {n} (l : n ≤ n) : le_n n = l.

Proof.

```
destruct n; destruct (le_inv l); try apply eq_is_le_n. case (lt_irrefl _ l).
```

Qed.

Inductive is_le_S {n m} : n ≤ S m → Prop :=

| is_le_S_intro : ∀ l, is_le_S (le_S n m l).

Lemma leS_is_le_S n m (lS : n ≤ S m) : n ≤ m → is_le_S lS.

Proof.

```
destruct (le_inv lS) as [ e | ll ]; intro l; try constructor.
exfalse; rewrite e in l; apply (lt_irrefl _ l).
```

Qed.

Fixpoint le_unique {n m} (p : n ≤ m) : ∀ q, p = q.

Proof.

```
destruct p as [ | m p]; intro q; cbn.
- destruct (lenn_unique q); reflexivity.
- destruct (leS_is_le_S q p). apply f_equal, le_unique.
```

Qed.

The Braga method

<https://github.com/DmxLarchey/The-Braga-Method>

 [Dominique Larchey-Wendling and Jean-François Monin.](#)

The Braga Method: Extracting Certified Algorithms from Complex Recursive Schemes in Coq, chapter 8, pages 305–386.

In Klaus Mainzer, Peter Schuster, and Helmut Schwichtenberg, editors.

Proof and Computation II: From Proof Theory and Univalent Mathematics to Program Extraction and Verification.

World Scientific, September 2021.

Small inversions

http://home/jf/www/Proof/Small_inversions/2022/