

# Stochastic languages

Florent Garnier

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# Probabilistic automaton

Probabilistic automaton model was defined by M. Rabin [Rabin63].

- A variant of NFA.
- Express probabilistic transitions between states.
- Define stochastic languages.
- Variants are used in various domains, from learning, pattern recognition, signal processing etc ...

# Probabilistic automaton

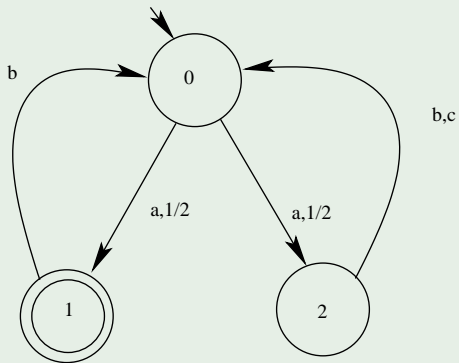
## Definition

A probabilistic automaton is a tuple  $(Q, \Sigma, q_0, F, \delta)$  with:

- $Q$  is a finite set of state.
- $\Sigma$  is an alphabet.
- $q_0$  is the initial state.
- $F$  is the set of accepting state.
- $\delta$  is a function from  $Q \times \Sigma \rightarrow \text{Dist}(Q)$ .

# Probabilistic automaton

## Example



# Language of a probabilistic automaton (Rabin)

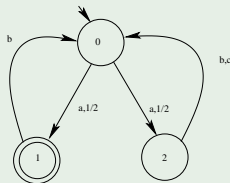
## Definition (Run of a word)

Given a word  $w = w_1 \dots w_n$  of  $\Sigma^*$ , a run of  $w$  on  $\mathcal{A}$  is a sequence  $q_1 \dots q_n$  of  $Q$  such that:

- $q_0$  is the initial state of  $\mathcal{A}$ .
- For all  $0 \leq i < n$ ,  $\delta(q_i, w_i, q_{i+1}) > 0$ .
- If  $q_n \in F$  the run is accepting.

# Runs of a p-automaton

## Example



Runs for the word *aba* are:

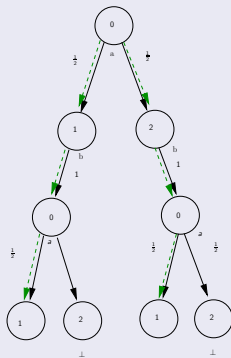
$$\{0 \xrightarrow{a} 1 \xrightarrow{b} 0 \xrightarrow{a} 1, 0 \xrightarrow{a} 2 \xrightarrow{b} 0 \xrightarrow{a} 1, 0 \xrightarrow{a} 2 \xrightarrow{b} 0 \xrightarrow{a} 2\}.$$

The two first are accepting, whereas the latter is not.

# Measure of a word

## Property

A  $p$ -automaton, associates to each word of  $\Sigma^*$  a probability:



## Measure of a word

This value corresponds to the weighted ration between the accepting runs over all the run associated to a run. This value is set by zero if a word has no associated execution.

### Definition (Probability of acceptance)

Given a word  $w = w_1 \dots w_n$ , we note by:

$$P(w) := \frac{\sum_{\rho \in \text{Accept}(w)} \prod_{i=1}^n \delta(\rho_i, w_i, \rho_{i+1})}{\sum_{\rho \in \text{Run}(w)} \prod_{i=1}^n \delta(\rho_i, w_i, \rho_{i+1})}.$$

# Stochastic languages

## Definition (Stochastic languages)

The set of recognized by probabilistic automata are called stochastic languages.

## Definition (Languages recognized by a P-automaton)

Given a real number  $\eta < 1$ , a Probabilistic automaton  $\mathcal{A}$ , the language  $\mathcal{L}_\eta(\mathcal{A})$  is defined as:

$$\mathcal{L}_\eta(\mathcal{A}) := \{w \in \Sigma^* | P(w) \geq \eta\}$$

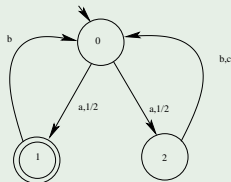
# Stochastic languages

## Property

- *If  $w \in \mathcal{L}_\eta(\mathcal{A})$ , then  $\mathcal{A}$  recognize the word  $w$  with probability at least  $\eta$ .*
- *The set  $\mathcal{L}_\eta(\mathcal{A})$  depends on the threshold  $\eta$ .*

# Example

## Example



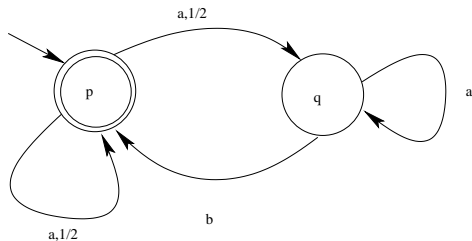
- $aca \in \mathcal{L}_{\frac{1}{2}}(\mathcal{A})$ .
- $aca \notin \mathcal{L}_{\eta}(\mathcal{A})$  for all  $\eta < \frac{1}{2}$ .

# Properties of stochastic languages

## Property

- 1 *S.L. contains the set of regular languages.*
- 2 *There exists Non regular stochastic languages.*

# A non regular but stochastic language:



## Example

PBA for  $L = \{a^{k_1} b a^{k_2} b \dots a^{k_n} b \dots \text{s.t. } \prod_{i=1}^n (1 - (1/2)^{k_i}) > \eta\}$

# Properties on cut-points

## Definition (Isolated cut-point)

Let be  $\mathcal{A}$  a probabilistic automaton. A cut-point  $\eta$  is called *isolated* cut-point if there exists  $\delta > 0$  such that:

$$|P(w) - \eta| \geq \delta, \forall w \in \Sigma^*.$$

## Property

*If  $\eta$  is an isolated cutpoint, then  $\mathcal{L}_\eta(\mathcal{A})$  is a regular language.*

# $p$ -adic languages

## Definition ( $p$ -adic languages)

A  $p$ -adic language is the set of strings in the alphabet  $\{0, \dots, (p-1)\}$ , such that:

$$\mathcal{L}_\eta(p) := \{0.n_1n_2n_3\dots \mid 0 \leq n_k < p \wedge 0.n_1n_2n_3\dots > \eta\}$$

## Property

- $\mathcal{L}_\eta(p)$  is rational iff  $\eta \in \mathbb{Q}$ .
- The number of stochastic languages is not countable.

# A really powerful model of automaton

## Property

- If  $w \in \mathcal{L}_\eta(\mathcal{P})$ , then  $P(A(w)) \geq \eta$ .
- If  $w \notin \mathcal{L}_\eta(\mathcal{P})$ , then  $P(A(w)) = 0$ .

*Stochastic languages are in the RP-complexity class.*

Question: How to test whether a word  $w$  belongs to this language with high probability ?

# The price to pay

## Theorem

*The following problem is undecidable: Given  $\mathcal{A}$  a PFA, and  $0 < \eta < 1$ , does it exist  $w \in \Sigma^*$ , such that  $P(w) > \eta$  ?*

## Remark

*Deciding language emptiness is mandatory for deducing:*

- *Universality.*
- *Equality of two languages.*
- *Language inclusion.*

# How to define a probabilistic bisimulation

## Definition

Let  $\mathcal{R}$  be an equivalence relation over the set  $X$ . Two probability spaces  $(\Omega_1, \mathcal{F}_1, P_1)$  and  $(\Omega_2, \mathcal{F}_2, P_2)$  of  $\text{Probs}(X)$  are  $\mathcal{R}$ -equivalent, written  $(\Omega_1, \mathcal{F}_1, P_1) \equiv_{\mathcal{R}} (\Omega_2, \mathcal{F}_2, P_2)$ , iff for every class  $C$  of  $X/\mathcal{R}$

$$\sum_{x \in \Omega_1 \cap C} P_1[x] = \sum_{x \in \Omega_2 \cap C} P_2[x]$$

In other words,  $(\Omega_1, \mathcal{F}_1, P_1)$  and  $(\Omega_2, \mathcal{F}_2, P_2)$  are  $\mathcal{R}$ -equivalent if they assign the same probability measure to each equivalence class of  $\mathcal{R}$ .

# Strong bisimulation

## Definition (Strong bisimulation)

A strong bisimulation between two simple probabilistic automata  $M_1$  and  $M_2$  is an equivalence relation  $\mathcal{R}$  over  $states(M_1) \cup states(M_2)$  such that

- (1) each start state of  $M_1$  is related to at least one start state of  $M_2$  and vice versa,
- (3) for each  $s_1 \mathcal{R} s_2$  and each transition  $s_1 \xrightarrow{a} \mathcal{P}_1$  of either  $M_1, M_2$ , there exists a transition  $s_2 \xrightarrow{a} \mathcal{P}_2$  of either  $M_1, M_2$  such that  $\mathcal{P}_1 \equiv_{\mathcal{R}} \mathcal{P}_2$ . We write  $M_1 \simeq M_2$  whenever  $acts(M_1) = acts(M_2)$  and there is a strong bisimulation between  $M_1$  and  $M_2$ .

# Strong simulation

## Definition (Strong bisimulation)

A strong bisimulation between two simple probabilistic automata  $M_1$  and  $M_2$  is an equivalence relation  $\mathcal{R}$  over  $states(M_1) \cup states(M_2)$  such that

- (1) each start state of  $M_1$  is related to at least one start state of  $M_2$ .
- (2) for each  $s_1 \mathcal{R} s_2$  and each transition  $s_1 \xrightarrow{a} \mathcal{P}_1$  of either  $M_1$  there exists a transition  $s_2 \xrightarrow{a} \mathcal{P}_2$  of either  $M_2$  such that  $\mathcal{P}_1 \equiv_{\mathcal{R}} \mathcal{P}_2$ . We write  $M_1 \sqsubseteq M_2$  whenever  $acts(M_1) = acts(M_2)$  and there is a strong bisimulation between  $M_1$  and  $M_2$ .

# Model Checking techniques in Short

Model Checking in short consists in :

- Modeling a system with a formal language.
- Expressing specification or system properties.
- Proving that every run of the system complies with the specification.

Question: Is it possible to use randomness to improve verification ?

# Modeling a system

Low level modeling formalism **Transition system**.

## Definition (Transition system)

A transition system is a tuple  $\mathcal{K} = (S, I, \mathcal{A}, \delta)$  where :

$S$  system states

$I \subseteq S$  initial states

$\mathcal{A}$  set of actions

$\delta \subseteq S \times \mathcal{A} \times S$  transition relation

For every  $s \in S$  there exists  $(a, t) \in S \times \mathcal{A}$  such that  $(s, a, t) \in \delta$ .

# Semantic of a transition system

## Definition (Run of a transition system)

A run of  $\mathcal{K}$  is an infinite sequence  $\rho = s_0 \dots s_n \dots$  of states  $s_i \in S$  such that :

- $s_0 \in I$
- For all  $i \in \mathbb{N}$   $(s_i, a_i, s_{i+1}) \in \delta$  for some  $a_i \in \mathcal{A}$

# High(er) level modeling formalism

- Kripke Structures
- Process Algebra
- Petri Nets
- Communicating agents
- Automata.
- etc ...

# Specifying properties using logics

- Linear logic : PTL, LTL.
  - Fairness, mutual exclusion, eventual acces to critical section etc ...
- Branching Logic : CTL
  - Combine temporal modalities and quantification over paths.
- Logic subsuming Linear and Branching logic : CTL\*,  $\mu$ -calcul.

# The Linear Temporal Logic

The **LTL** logic express time dependent properties of system runs.  
Evaluated over infinite sequences of labels.

Type	Formula	$\rho \models \phi$ iff ...
<b>Atomic</b>	$p \in AP$	$p$ holds for $\rho _0$
<b>Boolean</b>	$\neg\phi$	$\rho \not\models \phi$
	$\phi \vee \psi$	$\rho \models \phi$ or $\rho \models \psi$
<b>Temporal</b>	$X\phi$	$\rho _1 \models \phi$
	$F\phi$	$\rho _i \models \phi$ for some $i \in \mathbb{N}$
	$G\phi$	$\rho _i \models \phi$ for all $i \in \mathbb{N}$
	$\phi U \psi$	There is $i \in \mathbb{N}$ s.t. $\rho _i \models \psi$ and $\rho _j \models \phi$ for all $0 \leq j < i$
	$\phi W \psi$	$\rho \models \phi U \psi$ or $\rho \models G\phi$

## LTL: Exemples

## Exemple

- *invariants*  $GP$   
 $G\neg(\text{crit}_1 \wedge \text{crit}_2)$       *mutual exclusion*  
 $G(\text{preset}_1 \vee \dots \vee \text{preset}_n)$       *deadlock freedom*
- *Response, recurence*  $G(P \Rightarrow FQ)$   
 $G(\text{try}_1 \Rightarrow F\text{crit}_1)$       *eventual acces to critical section*  
 $GF\neg\text{crit}_1$       *no starvation in critical section*
- *Reactivity, Steet*  $GFP \Rightarrow GFQ$   
 $GF(\text{try}_1 \wedge \neg\text{crit}_2) \Rightarrow GF\text{crit}_1$       *strong fairness*
- *Precedence*  $G(P_1W \dots WP_n)$   
 $G(\text{try}_1 \wedge \neg\text{try}_2 \Rightarrow \neg\text{crit}_2W\text{crit}_2W\neg\text{crit}_2W\text{crit}_1)$   
*1-bounded overtaking*

# How to check that $\mathcal{K} \models \phi$ ?

- LTL formulae can be represented by  $\omega$ -automata.
- $\omega$ -automata are expressive enough to model transition systems.
- $\omega$ -automata are finite automata that accept infinite length language, that can model program runs and runs constraints.

Let's now define and list  $\omega$ -automata properties ...

# Finite automata on $\omega$ -words

## Definition (Büchi-automata)

A  **$\omega$ -automaton** is a tuple  $\mathcal{B} = (Q, I, \delta, F)$

$Q$                                     finite set of states

$I \subseteq Q$                                 initial states

$\delta \subseteq Q \times \Sigma \times Q$             transition relation

$F \subseteq Q$                                 accepting states

## Definition (Run of $\mathcal{B}$ on $\omega$ -words $a_0 a_1 \dots \in \Sigma^\omega$ )

**sequence**                     $q_0 \xrightarrow{a_0} q_1 \xrightarrow{a_1} q_2 \dots$

**initialisation**             $q_0 \in I$

**consecution**             $(q_i, a_i, q_{i+1}) \in \delta$  for all  $i \in \mathbb{N}$

**accepting**                     $q_i \in F$  for infinitely many  $i \in \mathbb{N}$

# $\omega$ -regular languages

Definition ( $\omega$ -language defined by  $\mathcal{B}$ )

$$\mathcal{L} = \{w \in \Sigma^\omega \mid \mathcal{B} \text{ has some accepting run on } w\}$$

Definition

**$\omega$ -regular languages** class of  $\omega$  languages definable by Büchi automata.

# Büchi automata: basic properties

## Property (Decidability emptiness problem)

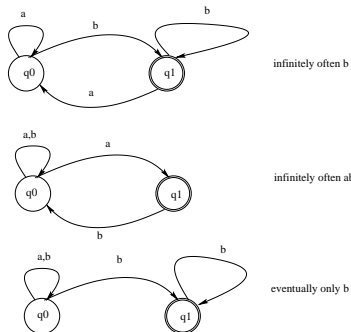
$\mathcal{L} \neq \emptyset$  iff exists  $q_0 \in I, q \in F$  such that  $q_0 \xrightarrow{\Sigma^*} q \xrightarrow{\Sigma^+} q$ .

**Complexity** linear in  $|Q|$ .

## Property (Closure properties)

- *union*
- *intersection*
- *complement*, difficult construction  $O(2^{n \log n})$  states
- *projection*

# Exemple of Büchi automata



## Property

*Deterministic Büchi automata are strictly less expressive than Non deterministic Büchi automata.*

# From LTL to Büchi automata

## Basic insight

- Given  $\phi$  a LTL formula, let note  $\mathcal{L}$  the set of sequences of labels satisfying  $\phi$ .
- Construct automaton  $\phi$  that accepts  $\mathcal{L}(\phi)$ .

## Idea of construction

- **states**: sets of "subformulas of  $\phi$  promised to be true
- **initial states**: states containing  $\phi$
- **transition relation**: Ensures satisfaction of non-temporal formulas using recursion laws :

$$G\phi \equiv \phi \wedge XG\phi$$

$$F\phi \equiv \phi \vee XF\phi$$

$$\phi U\psi \equiv \psi \vee (\phi \wedge X(\phi U\psi))$$

- **accepting states**: defined from  $F\phi$  ou  $\phi U\psi$ .

# Model Checking

**Problem:** Given  $\mathcal{K}$  and  $\phi$ , decide whether  $\mathcal{K} \models \phi$

## Automata-theoretic solution

- Consider  $\mathcal{K}$  as a  $\omega$ -automaton with all states final
- Define  $\mathcal{L}(\mathcal{K})$  = set of computations of  $\mathcal{K}$
- The following assertions are equivalent :

$$\begin{aligned} \mathcal{K} \models \phi \\ \mathcal{L}(\mathcal{K}) \subseteq \mathcal{L}(\phi) \\ \mathcal{L}(\mathcal{K}) \cap \mathcal{L}(\neg\phi) = \emptyset \\ \mathcal{L}(\mathcal{K}) \times \mathcal{B}_{\neg\phi} = \emptyset \end{aligned}$$

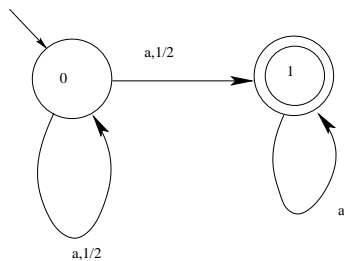
**Complexity**  $O(|\mathcal{K}| \times |\mathcal{B}_{\neg\phi}|) = O(|\mathcal{K}| \times 2^{|\phi|})$

# Using probabilities to reduce the size of automata

- The paper [BG] describes a model of PBA –resp. uPBA that accepts a subset of  $\omega$ -regular language –resp.  $\omega$ -regular languages.
- The size of uPBA can be exponentially more concise than NSA (Strong fairness).
- Emptiness is not decidable for PBA.
- Emptiness is decidable for uPBA



# Exemple of PBA



PBA for  $(a + b)^* a^\omega$

# Accepted language of a PBA

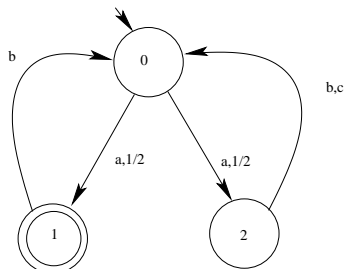
## Definition (Accepting run)

An infinite run  $\pi = s_0, \dots, s_n \dots$  of  $\mathcal{B}$  is accepting if  $\text{inf}(\pi) \cap F \neq \emptyset$ .

## Definition (Accepted language of a PBA)

If one note  $Pr(\rho) = P(\pi : \pi \text{ is an accepting run for } \rho)$ ,  
 $\mathcal{L}(\mathcal{B})$  consists of all words  $\rho \in \Sigma^\omega$  s.t  $Pr(\rho) > 0$

## Exemple of PBA



PBA for  $(ab + ac)^*(ab)^\omega$

# Expressiveness of PBA

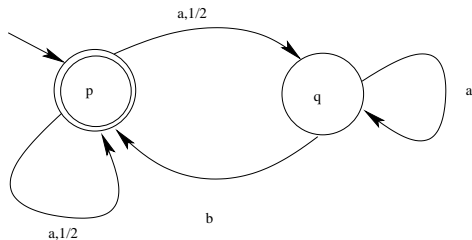
## Theorem

*The class of languages that can be accepted by a PBA strictly contains the class of  $\omega$ -regular languages.*

## Lemma

*There exists PBA that accepts non  $\omega$ -regular languages.*

# Non $\omega$ -regular accepting PBA



PBA for  $L = \{a^{k_1} b a^{k_2} b a^{k_3} b \dots s.t. \prod_{i \geq 1} (1 - (1/2)^{k_i}) > 0\}$

# Deciding emptiness of PBA

## Theorem

*The emptiness of PBA is undecidable [BBG08].*

**However**, this problem is decidable for a subclass of PBA.

# End components

## Definition (End components)

Let  $\mathcal{B} = (Q, \delta, \mu, F)$  be a PBA. An end component of  $\mathcal{B}$  is a pair

$(P, A)$  where :  $\begin{array}{l} \subseteq Q \\ A : P \rightarrow 2^\Sigma \end{array}$

- $\sum_{q \in P} \delta(p, a, q) = 1$  for  $a \in A(p)$
- The graph  $(P, A)$  is strongly connected.

$(P, A)$  is **accepting** if  $P \cap F \neq \emptyset$ .

# Uniform PBA

## Definition (Uniform PBA)

A PBA  $\mathcal{B}$  is called uniform if there exists  $\theta > 0$  s.t for all  $(P, A)$  accepting end component, all  $p \in P$  and all finite word  $w \in \Sigma^*$  one of the following condition holds :

- 1  $Pr_{(P,A)}(p \xrightarrow{w}) \leq \theta$
- 2  $Pr_{(P,A)}(p \xrightarrow{w}) = 1 \wedge Pr_{(P,A)}(p \xrightarrow{w} q) \geq \theta$

# Acceptance on uPBA

## Definition

Let be  $\mathcal{B}$  an uniform PBA and  $\rho = a_0 \dots \in \Sigma^\omega$ . Then  $\rho \in \mathcal{L}(\mathcal{B})$  iff there exists  $(P, A)$  accepting end component and a strongly prob fair run  $\pi = q_0 \dots$  for  $\rho$  s.t  $\text{inf}(\pi) = (P, A)$  and  $q_l$  s.t

$$\Pr_{(P,A)}(q_l \xrightarrow{w}) = 1$$

# Properties of uPBA

## Theorem

*uPBA exactly accept the  $\omega$ -regular languages.*

## Proof.

For any uPBA  $\mathcal{P}$  there exists a NSA  $A$  such that  $\mathcal{L}(\mathcal{P}) = \mathcal{L}(A)$ , with  $|A| = O(\exp(|P|))$ .



# Properties of uPBA

## Theorem

*The emptiness problem for uPBA is decidable (NP-hard).*

## Proof.

The transformation  $\text{uPBA} \rightarrow \text{NSA}$  is polynomial. Deciding emptiness for NSA is NP-hard. □

# uPBA can be exp better than NSA

There exists languages  $L_n$  which are accepted by uPBA with  $2n$  states, while any NSA for  $L_n$  has at least  $\frac{2^n}{n}$